

ANALYTICITY OF GREEN'S FUNCTIONS OF DILUTE QUANTUM GASES

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In this note we point out that Ginibre's results on the reduced density matrices of quantum gases<sup>1)</sup> have immediate implications for the existence and analyticity of Green's functions. If  $H_\Lambda$  is the Hamiltonian in the bounded region  $\Lambda$ , we define Green's functions by

$$G_\Lambda(\underline{x}_1, \dots, \underline{x}_m; \zeta_1, \dots, \zeta_m) = Z^{-1} \text{Tr} (A_1(\underline{x}_1) e^{-(\zeta_2 - \zeta_1) H_\Lambda} A_2(\underline{x}_2) \dots e^{-(\zeta_m - \zeta_{m-1}) H_\Lambda} A_m(\underline{x}_m) e^{-(\beta + \zeta_1 - \zeta_m) H_\Lambda})$$

where  $Z = \text{Tr} e^{-\beta H_\Lambda}$  and  $A_k(\underline{x}_k) = a^*(x_{k1}') \dots a^*(x_{kp}'(k)) a(x_{k1}'') \dots a(x_{kq}(k)'')$ .

Let  $\varphi_k \in L^2(\mathbb{R}^{\nu} \setminus \mathbb{R}^{p(k)+q(k)})$  for Fermi statistics, or  $\varphi_k = \varphi_k' \varphi_k''$  with  $\varphi_k' \in L^2(\mathbb{R}^{\nu} \setminus \mathbb{R}^{p(k)})$ ,  $\varphi_k'' \in L^2(\mathbb{R}^{\nu} \setminus \mathbb{R}^{q(k)})$  for Bose statistics, we write

$$G_\Lambda^\varphi(\zeta_1, \dots, \zeta_m) = \int_{\Lambda^{p(1)+q(1)}} dx_1 \dots \int_{\Lambda^{p(m)+q(m)}} dx_m \varphi_1(x_1) \dots \varphi_m(x_m) G_\Lambda(\underline{x}_1, \dots, \underline{x}_m; \zeta_1, \dots, \zeta_m)$$

In the case of a system of particles interacting through a suitable pair potential  $\Phi$  and for small activity the operator  $e^{-\lambda H_\Lambda}$ , with  $\lambda > 0$ , may be defined in terms of Wiener integrals and is of trace class. The operators  $e^{-\lambda H_\Lambda} A_k(\underline{x}_k) e^{-\lambda H_\Lambda}$  can also be expressed in terms of Wiener integrals and are of trace class.

When  $\lambda$  is complex and  $\text{Re } \lambda > 0$ ,  $e^{-\lambda H_\Lambda}$  is defined and analytic, therefore  $G_\Lambda$  is an analytic function of the complex variables  $\zeta_k = \beta_k - it_k$  in the domain

$$\mathcal{D} = \{(\zeta_1, \dots, \zeta_m) : \beta_1 < \dots < \beta_m < \beta_1 + \beta\}$$

If  $t_1 = \dots = t_m$ , and  $\beta_1 < \dots < \beta_m < \beta_1 + \beta$ ,  $G_\Lambda$  can be expressed in terms of Wiener integrals and it follows from Ginibre's analysis<sup>2)</sup> that when  $\Lambda \rightarrow \infty$  (e.g.  $\Lambda$  is a sphere centered at the origin and with radius tending to infinity),

$$G_\Lambda(x_1, \dots, x_m; \beta_1, \dots, \beta_m) \rightarrow G(x_1, \dots, x_m; \beta_1, \dots, \beta_m) \quad (1)$$

uniformly on compacts with respect to  $x_1, \dots, x_m$ , and

$$\begin{aligned} & G_\Lambda^\varphi(\beta_1, \dots, \beta_m) \rightarrow G^\varphi(\beta_1, \dots, \beta_m) \\ = & \int dx_1 \dots dx_m \varphi_1(x_1) \dots \varphi_m(x_m) G(x_1, \dots, x_m; \beta_1, \dots, \beta_m) \end{aligned} \quad (2)$$

1. Proposition. There exists a function  $G(x_1, \dots, x_m; \zeta_1, \dots, \zeta_m)$  analytic with respect to  $(\zeta_1, \dots, \zeta_m) \in \mathcal{D}$  and such that

$$\lim_{\Lambda \rightarrow \infty} G_\Lambda(x_1, \dots, x_m; \zeta_1, \dots, \zeta_m) = G(x_1, \dots, x_m; \zeta_1, \dots, \zeta_m)$$

uniformly on compacts with respect to  $x_1, \dots, x_m, \zeta_1, \dots, \zeta_m$

Furthermore, if  $(\zeta_1, \dots, \zeta_m) \in \mathcal{D}$ ,

$$\begin{aligned} & \lim_{\Lambda \rightarrow \infty} G_\Lambda^\varphi(\zeta_1, \dots, \zeta_m) = G^\varphi(\zeta_1, \dots, \zeta_m) \\ = & \int dx_1 \dots dx_m \varphi_1(x_1) \dots \varphi_m(x_m) G(x_1, \dots, x_m; \zeta_1, \dots, \zeta_m) \end{aligned}$$

We notice first that  $\mathcal{D}$  is the union (over  $n > 0$ ) of the sets

$$\mathcal{K}_n = \{ (\zeta_1, \dots, \zeta_m) \in \mathcal{D} : \beta_2 - \beta_1 \geq \frac{\beta}{2n}, \dots, \beta_m - \beta_{m-1} \geq \frac{\beta}{2n}, \beta + \beta_1 - \beta_m \geq \frac{\beta}{2n} \}$$

If  $(\zeta_1, \dots, \zeta_m) \in \mathcal{K}_n$  we may express  $G_\Lambda$  in terms of operators  
 $e^{(it_k - \frac{1}{4n} \beta)H_\Lambda} A_k(x_k) e^{-(it_k + \frac{1}{4n} \beta)H_\Lambda}$ , and  $e^{-\lambda H_\Lambda}$  with  $0 < \lambda < \beta$ .

Using Hölder's inequality<sup>3)</sup> we find an upper bound for  $|G_\Lambda|$  in terms of the expressions

$$Z^{-1} \text{Tr} \left[ \left( e^{-\frac{1}{4n} \beta H_\Lambda} A_k(x_k) * e^{-\frac{1}{2n} \beta H_\Lambda} A_k(x_k) e^{-\frac{1}{4n} \beta H_\Lambda} \right)^n \right]$$

which are known by (1) to have a limit when  $\Lambda \rightarrow \infty$ . We may thus assume that  $G_\Lambda$  is bounded on each  $\mathcal{K}_n$  uniformly with respect to  $\Lambda$  and  $x_1, \dots, x_m$  in a compact, and the convergence of  $G_\Lambda$  on the real points of  $\mathcal{D}$  implies its uniform convergence on the compacts of  $\mathcal{D}$ . The uniformity of the convergence with respect to  $x_1, \dots, x_m$  on compacts follows from the uniformity of (1).

The proof of the convergence of  $G_\Lambda^\varphi$  proceeds like the proof of the convergence of  $G_\Lambda$  and shows in particular that the limit of  $G_\Lambda^\varphi$  is a bounded multilinear functional of  $\varphi_1, \dots, \varphi_m$  (Fermi) or  $\varphi_1', \dots, \varphi_m''$  (Bose) on the product of the relevant  $L^2$  spaces, identification of the limit follows from (2), taking  $\varphi_1, \dots, \varphi_m$  with compact supports.

2. Proposition. Let  $m=2$  and let the pair potential  
 $\Phi \in L^1(\mathbb{R}^V) \cap L^2(\mathbb{R}^V)$ . Then  $G^\varphi$  extends to a bounded continuous function  
on  $\bar{\mathcal{D}}$  such that

$$\lim_{\Lambda \rightarrow \infty} G_\Lambda^\varphi(\zeta_1, \zeta_2) = G^\varphi(\zeta_1, \zeta_2)$$

uniformly on the compacts of the closure  $\bar{\mathfrak{D}}$  of  $\mathfrak{D}$

The operators  $A_k(\varphi_k) e^{-\lambda H_\wedge}$  are of trace class [consider  $e^{-\lambda H_\wedge} A_k(\varphi_k)^* A_k(\varphi_k) e^{-\lambda H_\wedge}$ ] and, if  $\beta_2 = \beta_1$  or  $\beta_2 = \beta_1 + \beta$ , we have

$$|G_\wedge^\varphi(\xi_1, \xi_2)| \leq [G_{1\wedge} G_{2\wedge}]^{1/2} \quad (3)$$

$$G_{k\wedge} = Z^{-1} \text{Tr}[(A_k(\varphi_k)^* A_k(\varphi_k) + A_k(\varphi_k) A_k(\varphi_k)^*) e^{-\beta H_\wedge}] \quad (4)$$

We assume first Bose statistics. The reduced density matrices are integral kernels of bounded operators in  $L^2$ . When  $(\wedge_n)$  tends to infinity these bounded operators form a bounded sequence converging in the strong operator topology<sup>1)</sup>. Therefore there exists  $C > 0$  such that

$$G_{k\wedge_n} \leq C \int dx_k |\varphi_k(x_k)|^2 \quad (5)$$

for all  $n$ . Since  $G_\wedge^\varphi$  is analytic and bounded, (3) holds for all  $(\xi_1, \dots, \xi_2)$  in  $\bar{\mathfrak{D}}$  and, using (5), this gives

$$|G_\wedge^\varphi(\xi_1, \xi_2)| \leq C \|\varphi_1\|_2 \|\varphi_2\|_2 \quad (6)$$

for all  $n$  and  $(\xi_1, \xi_2) \in \bar{\mathfrak{D}}$ . In the case of Fermi statistics,

(6) holds again (with  $C=1$ ) because

$$\|A_k(\varphi_k)\| \leq \|\varphi_k\|_2$$

In view of (6) it suffices to prove the proposition when  $A_k(\varphi_k)$  is of the form

$$A_k(\varphi_k) = a^*(\psi_{k'}') \dots a^*(\psi_{kp(k)}') a(\psi_{k'}'') \dots a(\psi_{kq(k)}'')$$

where  $\psi_{k'}', \dots, \psi_{kq(k)}''$  are of class  $C^2$  with compact support.

We have

$$\frac{d}{d\xi_2} G_{\Lambda}^{\varphi} = Z^{-1} \text{Tr} \{ A_1(\varphi_1) e^{-(\xi_2 - \xi_1) H_{\Lambda}} [A_2(\varphi_2), H_{\Lambda}] e^{-(\beta + \xi_1 - \xi_2) H_{\Lambda}} \}$$

and therefore

$$\left| \frac{d}{d\xi_2} G_{\Lambda}^{\varphi} \right| \leq [G_{1\Lambda} G_{2\Lambda}']^{1/2}$$

where  $G_{2\Lambda}'$  is given by (4) with  $A_k(\varphi_k)$  replaced by  $[A_2(\varphi_2), H_{\Lambda}]$ . Since  $\psi_{21}', \dots, \psi_{2q(2)}''$  are of class  $C^2$  with compact support, the commutator of  $A_2(\varphi_2)$  with the kinetic energy part of  $H_{\Lambda}$  is again of the form  $A(\varphi)$ . In view of this  $G_{2\Lambda}'$  is a sum of integrals of reduced density matrices  $G_{\Lambda}'(x_1, \dots, x_r)$  multiplied by continuous functions  $\psi(x_i)$  with compact support, and the pair potential  $\Phi(x_k - x_j)$ . The pair potential appears as factor 0, 1 or 2 times; if  $\Phi(x_k - x_j)$  appears there also appears a factor  $\psi(x_j)$  or  $\psi(x_k)$ ; for each variable  $x_i$  in  $G_{\Lambda}'(x_1, \dots, x_r)$  which does not appear in a factor  $\Phi(x_j - x_i)$  there is a factor  $\psi(x_i)$ . Using the condition  $\Phi \in L^1(\mathbb{R}^{\nu}) \cap L^2(\mathbb{R}^{\nu})$  and the fact that the reduced density matrices  $G_{\Lambda}'$  are bounded functions, uniformly in  $\Lambda$  we obtain a bound on  $G_{2\Lambda}'$  which is independent of  $\Lambda$ .

Therefore  $\left| \frac{d}{d\zeta_2} G_\wedge^\varphi \right| = \left| \frac{d}{d\zeta_1} G_\wedge^\varphi \right|$  is bounded on  $\mathfrak{D}$  uniformly in  $\wedge$ . The convergence of  $G_\wedge^\varphi$  in  $\mathfrak{D}$  implies then its uniform convergence on the compacts of  $\overline{\mathfrak{D}}$ .

3. Remark. Let  $m=3$ ,  $\Phi \in L^1(\mathbb{R}^V) \cap L^2(\mathbb{R}^V)$ . In the case of Fermi statistics,  $G^\varphi$  extends to a bounded continuous function on  $\overline{\mathfrak{D}}$  such that

$$\lim_{\wedge \rightarrow \infty} G_\wedge^\varphi(\zeta_1, \zeta_2, \zeta_3) = G^\varphi(\zeta_1, \zeta_2, \zeta_3)$$

uniformly on the compacts of the closure  $\overline{\mathfrak{D}}$  of  $\mathfrak{D}$ .

To estimate  $\left| \frac{d}{d\zeta_i} G_\wedge^\varphi \right|$  it suffices to consider the expression

$$Z^{-1} \text{Tr} \left\{ e^{(-\beta+it)H_\wedge} [A_i, H_\wedge] e^{it'H_\wedge} A_j e^{it''H_\wedge} A_k \right\}$$

and similar ones where  $[A_i, H_\wedge]$  and  $A_j, A_k$  are circularly permuted. If  $[A_i, H_\wedge]$  occupies the middle position we rewrite the expression in terms of  $[A_j, H_\wedge], [A_k, H_\wedge]$ . The rest of the argument goes as for  $m=2$  (using the boundedness of  $A_j$ ).

4. Proposition. In the case of Fermi statistics, introduce the operators

$$A_k(\varphi_k, f_k) = \int dt f(t) e^{it H_\wedge} A_k(\varphi_k) e^{-it H_\wedge}$$

where  $f_k \in L^1(\mathbb{R})$ , then the limit

$$\lim_{\Lambda \rightarrow \infty} Z^{-1} \text{Tr}(A_1(\varphi_1, f_1) \dots A_m(\varphi_m, f_m) e^{-\beta H_\Lambda})$$

exists.

It is sufficient to prove this for  $f_k$  of class  $C^1$  with compact support. We construct Green's functions with the operators  $A_k(\varphi_k, f_k)$  instead of  $A_k(\varphi_k)$  and use the fact that the derivatives of these functions are bounded in  $\mathcal{D}$  uniformly with respect to  $\Lambda$ .

5. Remark. Proposition 4 has obvious implications for the description of time evolution of a dilute Fermi gas. It does not, however, exhibit this time evolution as a group of automorphisms of the  $C^*$ -algebra of the anticommutation relations. Streater<sup>4)</sup> and Hepp<sup>5)</sup> have shown that such a group of automorphisms exists for some non local interactions.

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Footnotes.

1) See J. Ginibre. J. Math. Phys. 6, 238-251 (1965); 6, 252-262 (1965); 6; 1432-1446 (1965); J. Ginibre p. 148 in Statistical Mechanics (proceedings of the I.U.P.A.P. meeting, Copenhagen, 1966) edited by T. Bak, Benjamin, New York, 1967.

2) This result is contained in C. Gruber's thesis [Princeton, 1968, unpublished], see also J. Ginibre and C. Gruber, Commun. Math. Phys. 11, 198-213 (1969).

3) See N. Dunford and J. Schwartz, Linear Operators, Interscience, New York, 1963, Lemma XI. 9-20, p 1105.

4) R.F. Streater. Commun. Math. Phys. 7, 93-98 (1968)

5) K. Hepp. Unpublished.