

# *Gravity localization & AdS4 / CFT3*

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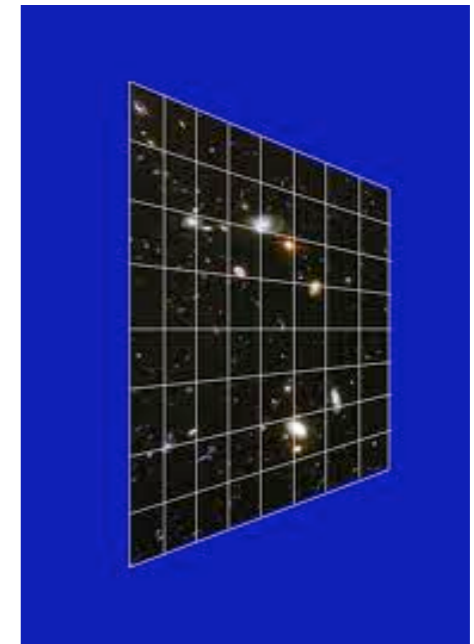
**IHES - IHP, november 2011**

CB, J. Estes, *arXiv:1103.2800 [hep-th]*

B. Assel, CB, J. Estes, J. Gomis, *1106.4253 [hep-th]*

*& in preparation*

# Can there be **non-compact** extra dimensions ?



Requires that the observed particles and their interactions be confined to a **3-brane** world.

Rubakov, Shaposhnikov '83

**D-branes** allow us to achieve this within string theory, for all particles with **spin = 1 or less**.

Polchinski '95

## But how about gravity ?

The question has received much attention, starting with  
Randall & Sundrum '99

Closely related to the following:

Can the graviton have mass ?

Could it be a resonance ?

Are sectors "hidden" from gravity possible ?

Other IR modifications of Einstein equations ?

The subject has a long history, to which I will not do justice here .....

The short answer is: **we still don't know .**

In our work we adopted a conservative attitude:

- \* Restrict to 2-derivative (super)gravity
- \* Assume negative-curvature brane world  
(to avoid problems of horizon, vDVZ discontinuity etc)

... but we demand supersymmetry and string-theory embedding.

(to guarantee stability against quantum corrections)

$\Lambda < 0$  not the “real” problem, but let’s push on !

# Summary

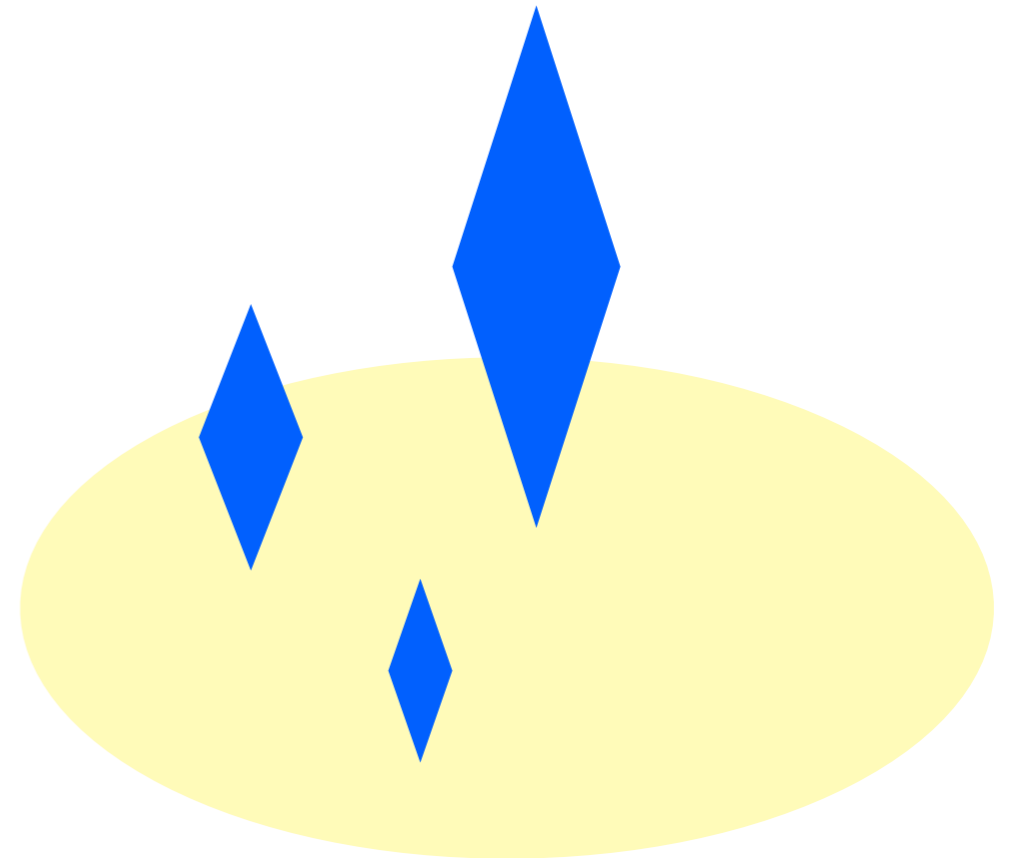
1. KK reduction of spin 2
2. *AdS*-brane world model
3. Defect CFTs & Holography
  
4. The UCLA solutions
5. Page charges & parameters
6. New *AdS*<sub>4</sub> / SCFT<sub>3</sub>
7. Limiting geometries

# 1. KK reduction for spin 2

Interested in *warped-(A)dS* background geometries,

$$\widehat{ds}^2 = e^{2A(y)} \bar{g}_{\mu\nu}(x) dx^\mu dx^\nu + \hat{g}_{ab}(y) dy^a dy^b$$

$$\begin{aligned} \bar{\mathcal{M}}_4 &= \text{AdS}_4, \mathbb{M}_4, \text{dS}_4 \\ k &= -1, 0, 1 \end{aligned}$$



Consider (consistent reduction of) metric perturbations:

$$ds^2 = e^{2A} (\bar{g}_{\mu\nu} + h_{\mu\nu}) dx^\mu dx^\nu + \hat{g}_{ab} dy^a dy^b ,$$

$$\text{with } h_{\mu\nu}(x, y) = h_{\mu\nu}^{[tt]}(x) \psi(y)$$

where

$$(\bar{\square}_x^{(2)} - \lambda) h_{\mu\nu}^{[tt]} = 0 \quad \text{and} \quad \bar{\nabla}^\mu h_{\mu\nu}^{[tt]} = \bar{g}^{\mu\nu} h_{\mu\nu}^{[tt]} = 0 .$$

Pauli-Fierz equations  $(\lambda = m^2 + 2k)$

Linearizing the Einstein equations  $R_{MN} - \frac{1}{2}g_{MN}R = T_{MN}$

leads to a **Schrödinger problem** in the 6D transverse space:

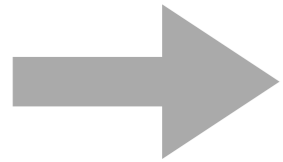
$$-\frac{e^{-2A}}{\sqrt{[\hat{g}]}} (\partial_a \sqrt{[\hat{g}]} \hat{g}^{ab} e^{4A} \partial_b) \psi = m^2 \psi$$

PS. This is also equivalent to the **scalar-Laplace** equation in 10 dimensions :

$$\frac{1}{\sqrt{\hat{g}}} (\partial_M \sqrt{\hat{g}} \hat{g}^{MN} \partial_N) h_{\mu\nu}(x, y) = 0 .$$



## Important remark:



The linearized equation is **universal**, i.e. it depends only on the geometry - not on details of the “matter” fields.

Brandhuber, Sfetsos '99  
Csaki, Erlich, Hollowood, Shirman '00  
CB, JE '11

*NB: this is specific to 2-derivative gravity, and it is not true for the non-linear terms.*

Hence: **localization of spin-2 can only come from geometry**

## Second remark:

The wavefunction norm is  $\|\psi\|^2 = \int [dy] e^{2A} \psi^* \psi$

Then  $\langle \psi, \mathcal{M}^2 \psi \rangle = \int [dy] e^{4A} \partial_a \psi^* \partial^a \psi$  from which we conclude:

$$\mathcal{M}^2 \geq 0 \quad \text{and} \quad \mathcal{M}^2 = 0 \longrightarrow \psi_0 = \text{constant}$$

For a 4D massless graviton we thus need  $\int [dy] e^{2A} < \infty$

Hence, if the transverse space is non-compact

- either there is a continuum
- or the 4D graviton is massive

## Wait a minute : **Can't the warp factor help?**

Well, when it does **“infinity” is an apparent horizon**  
(which can be reached in finite proper time).

Let us see why in the case of flat-4D slicing, and one extra dimension:

For a particle moving in the transverse dimension  $y$ ,  $e^{2A} = C\sqrt{e^{2A} - \dot{y}^2}$

As  $y$  goes to infinity, we need  $e^A \rightarrow 0$ , so  $\dot{y} \simeq e^A \rightarrow 0$

The total proper time  $\int d\tau = \int dt C^{-1} e^{2A} \simeq \int dy e^A$  should be infinite, for **geodesic completeness**.

If we request  $\int dy e^{2A} = \text{finite}$ , for a normalizable zero mode, then

$$A \simeq -\nu \log y \quad \text{with} \quad 1 > \nu > 1/2$$

This is ruled out by the weak energy conditions which imply  $A'' \leq 0$  (**“holographic c-theorem”**)

Girardello et al '98, Freedman et al '99

One can of course cutoff space before the horizon, with an “**IR brane**”;  
**this is compactification .**

Randall, Sundrum I

Else, one needs to supplement the quantum theory with **boundary conditions**;  
**there exists then a continuum (and open issues of stability).**

Randall, Sundrum II

For an AdS-brane world: no horizon, no “holographic c-theorem”,  
**the 4D graviton is massive.**

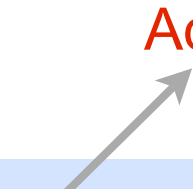
Karch, Randall '00 , '01

## 2. Model of AdS brane world

Starting point is 5D Einstein action plus a **thin 3-brane**

$$I_{\text{KR}} = -\frac{1}{2\kappa_5^2} \int d^4x dy \sqrt{g} \left( R + \frac{12}{L^2} \right) + \lambda \int d^4x \sqrt{[g]_4} ,$$

The solution is:

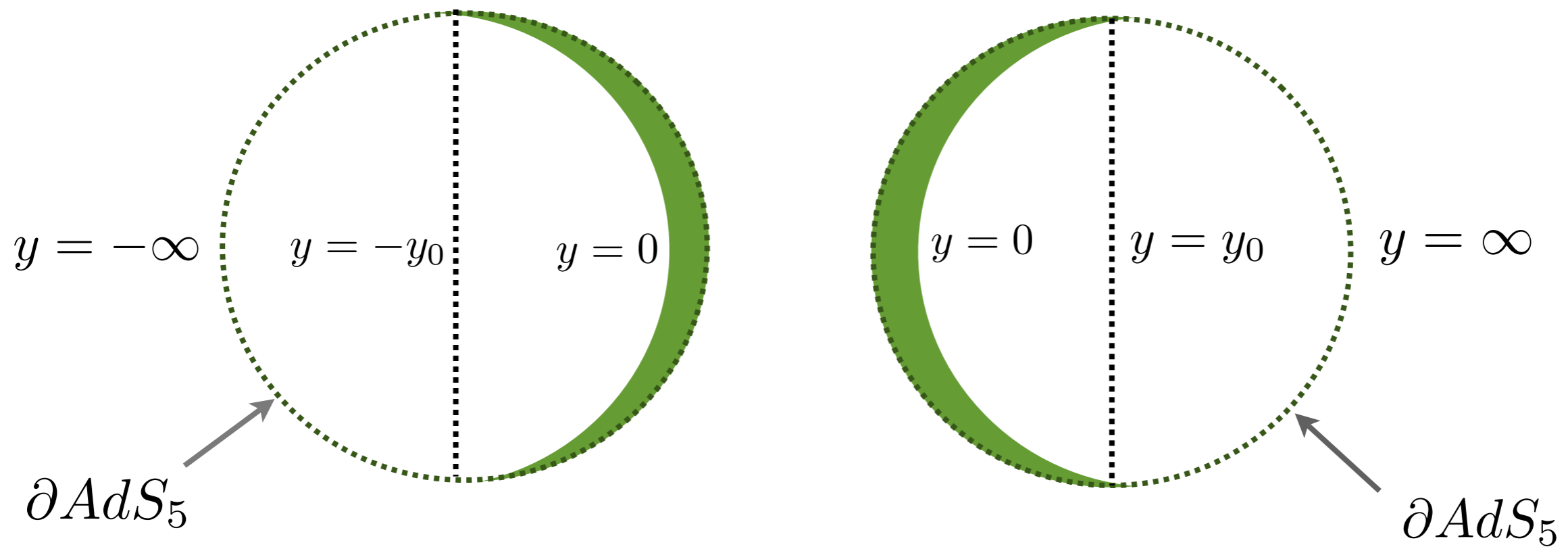
$$ds^2 = L^2 \cosh^2 \left( \frac{y_0 - |y|}{L} \right) \bar{g}_{\mu\nu} dx^\mu dx^\nu + dy^2, \quad \text{where} \quad y_0 = L \operatorname{arctanh} \left( \frac{\kappa_5^2 \lambda L}{6} \right)$$


It describes two (large) pieces of  $\text{AdS}_5$  glued along a  $\text{AdS}_4$  brane of radius

$$\ell^2 = e^{2A(0)} = L^2 \cosh^2 \left( \frac{y_0}{L} \right) .$$

**One can tune**  $\lambda \rightarrow \frac{3\pi}{\kappa_5^2 L}$  **so that**  $\ell \gg L$

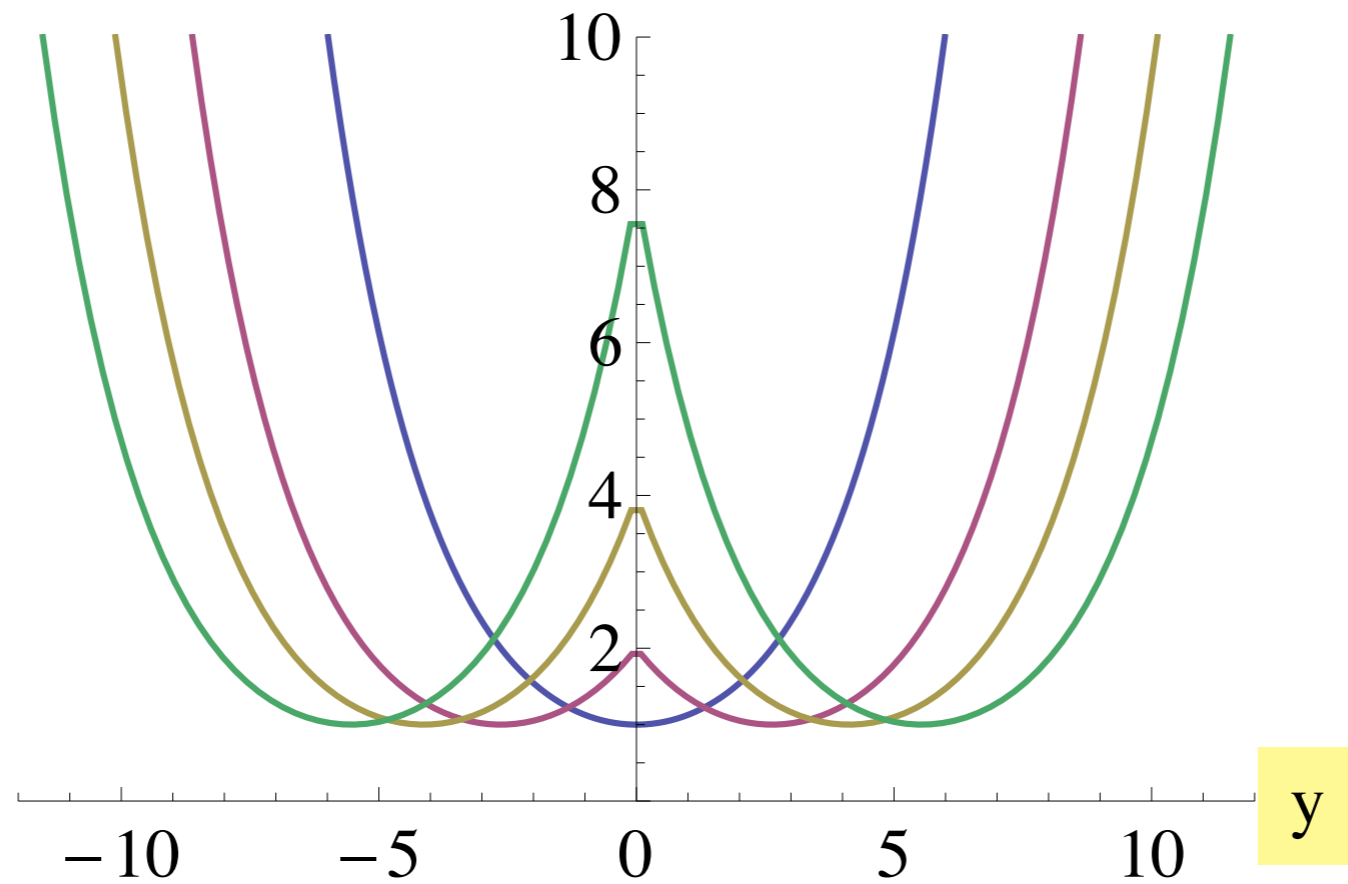
The AdS<sub>5</sub> metric in AdS<sub>4</sub> foliation is  $L^2 \cosh^2\left(\frac{\tilde{y}}{L}\right) \bar{g}_{\mu\nu} dx^\mu dx^\nu + d\tilde{y}^2$



So, cut away green slices and glue.

For  $\ell \gg L$  the brane is near the boundary of either AdS<sub>5</sub> part.

$$e^A$$



Warp factor  $e^{2A} \equiv f_4^2 = L^2 \cosh^2 \left( \frac{y_0 - |y|}{L} \right)$

as  $l/L$  is gradually tuned up

vanishes near  
bottom of warp  
factor wells

- a nearly-constant, **nearly massless** mode  $\ell^2 m_0^2 \simeq \frac{3L^2}{2\ell^2}$

- two towers of AdS<sub>5</sub> modes

$$\ell^2 m^2 \simeq (2n + 1)(2n + 4) \quad n = 0, 1, \dots$$

solutions of the AdS<sub>5</sub> eigenvalue problem  
= Legendre equation & gluing conditions

**Spectrum** :

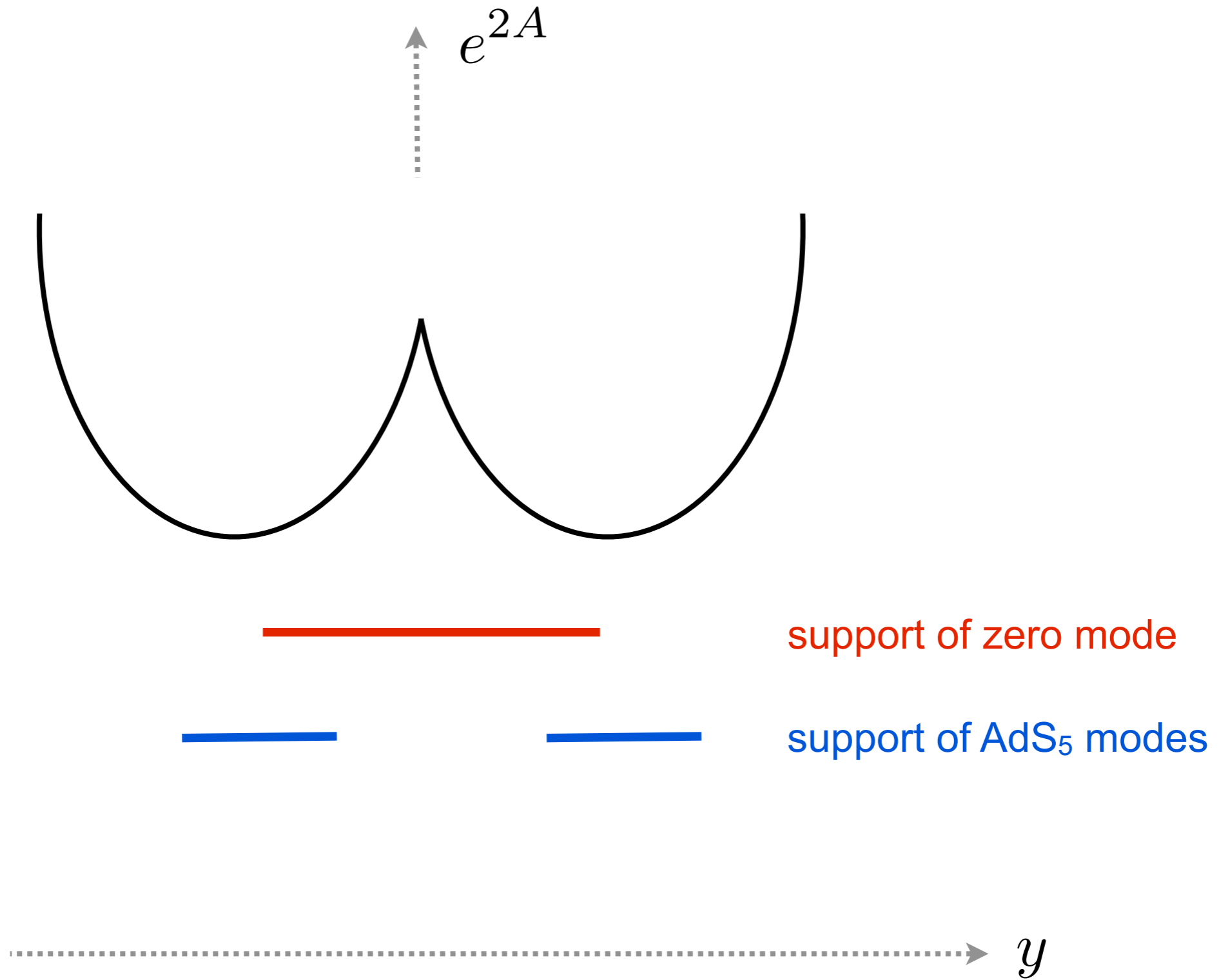
for an observer  
on the brane

The AdS<sub>5</sub> states live at the bottom of the warp-factor wells .

Their wavefunctions are **exponentially suppressed** at the brane position.



Schematically:



**effective  
4D parameters:**

$$8\pi G_N \simeq \kappa_5^2/L \quad \longleftarrow \text{as in usual KK}$$

$$V_{\text{Newton}} + \Delta V \simeq -\frac{G_N m_1 m_2}{r} \left(1 + \gamma \frac{L^2}{r^2} + \dots\right)$$

so  $\frac{\ell}{L} \sim 10^{31} - 10^{62}$

*unlike standard KK*

Also  $\int \psi_0 \psi^\dagger \psi \neq \text{universal}$  because  $\psi_0 \neq \text{constant}$ .

So the nearly-massless graviton has **non-universal couplings** to other fields.

### 3. Holographic defect CFTs

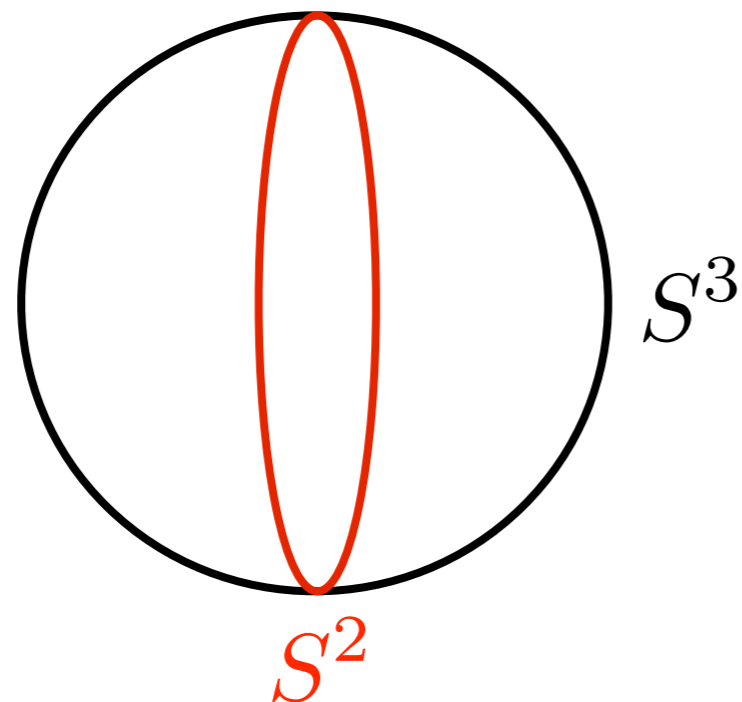
Gravity in  $\text{AdS}_{D+1}$  is dual to  $\text{CFT}_D$

an  $\text{AdS}_D$  brane is dual to a **conformal domain wall**

Karch, Randall '01

DeWolfe, Freedman, Ooguri '01

CB, de Boer, Dijkgraaf, Ooguri '01

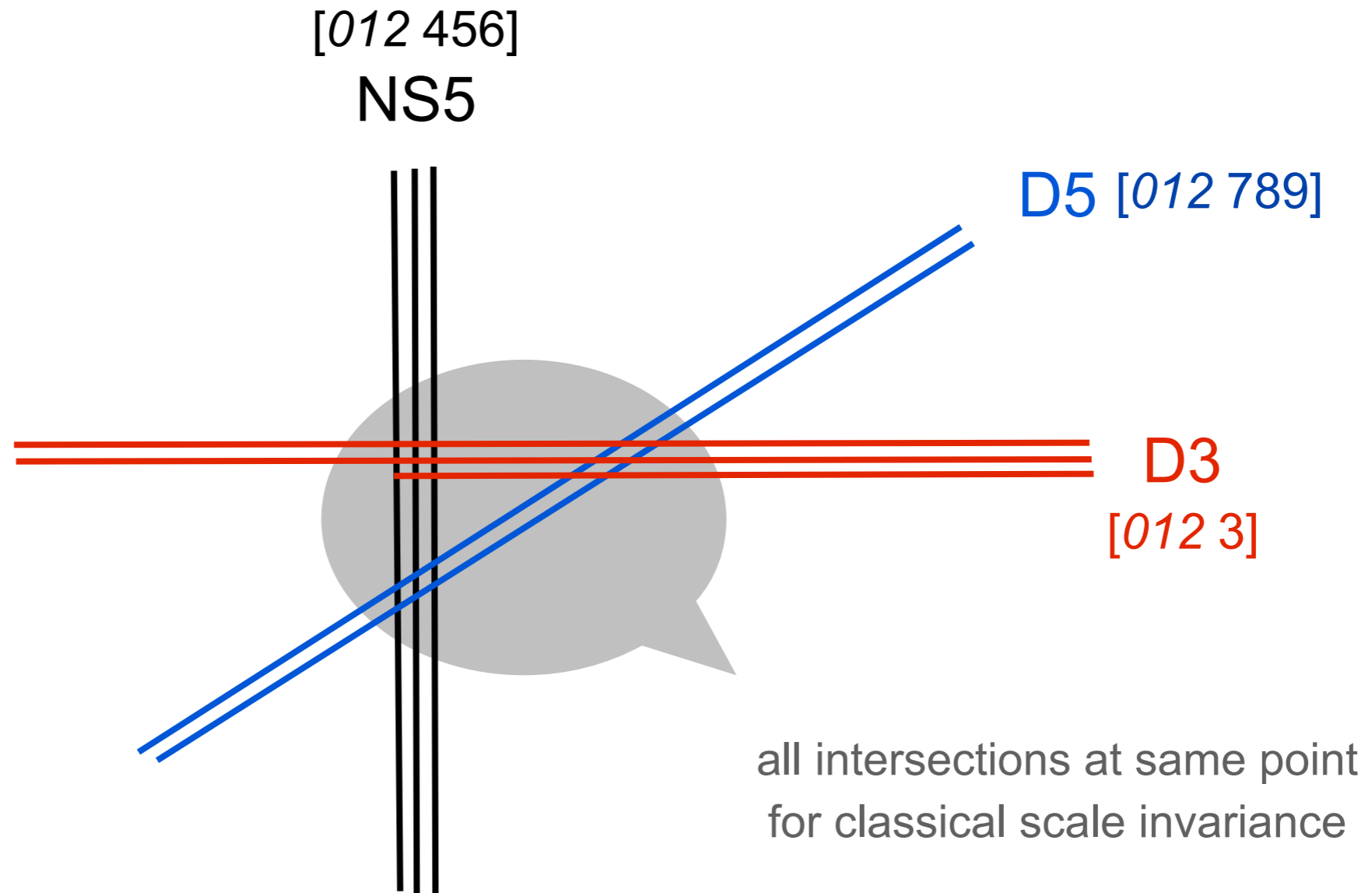


Boundary in global  
coordinates

(almost) **massless** 4D graviton is dual to (almost) **conserved** 3D  $T_{\mu\nu}$

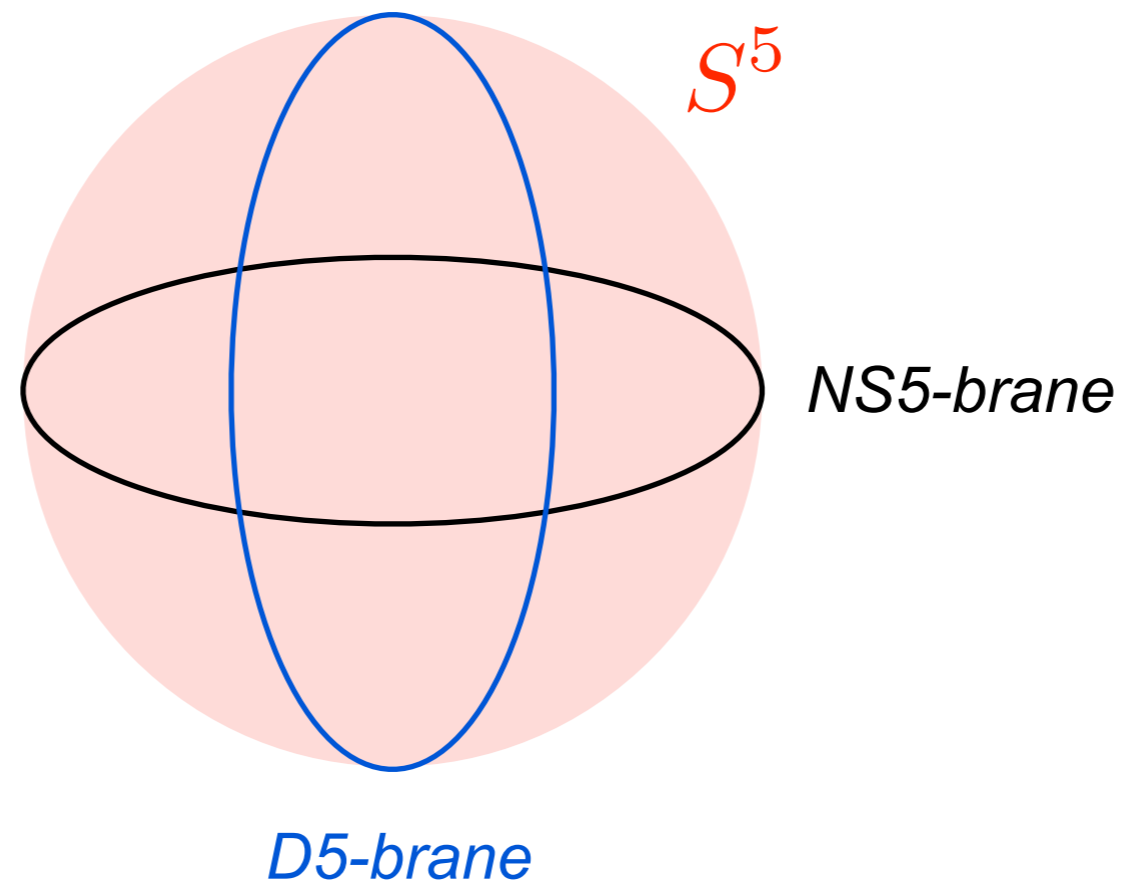
The most symmetric case:  
1/2 superconformal **domain walls** of N=4 4D **super Yang-Mills**

Brane engineering (weak coupling):



Strongly-coupled SYM better described as gravity in  $\text{AdS}_5 \times \text{S}^5$

Probe 5-branes have worldvolumes  $\text{AdS}_4 \times \text{S}^2$  with radius  $L$



... but to localize gravity, need back-reacting branes.

## 4. The UCLA solutions

The exact solutions of IIB supergravity have been discovered  
in D'Hoker, Estes and Gutperle '07

The N=4 SYM has symmetry  $SU(2, 2|4) \supset SO(2, 4) \times SO(6)$

The wall breaks this to  $OSp(2, 2|4) \supset SO(2, 3) \times SO(4)$

The solutions are thus  $AdS_4 \times S^2 \times S^2$  fibrations over a surface  $\Sigma$

There are also form-fields  $F_5$ ,  $H_3$ ,  $F_3$  consistent with these isometries,  
and sourced by the corresponding branes.

DEG show that the **general local solution** depends on **two harmonic functions** on  $\Sigma$  :  $h_1, h_2$

metric :  $ds^2 = f_4^2 ds_{\text{AdS}_4}^2 + f_1^2 ds_{S_1^2}^2 + f_2^2 ds_{S_2^2}^2 + 4\rho^2 dzd\bar{z}$  ,

$$f_4^8 = 16 \frac{N_1 N_2}{W^2} , \quad f_1^8 = 16 h_1^8 \frac{N_2 W^2}{N_1^3} , \quad f_2^8 = 16 h_2^8 \frac{N_1 W^2}{N_2^3}$$

$$\rho^8 = \frac{N_1 N_2 W^2}{h_1^4 h_2^4}$$

dilaton :  $e^{4\phi} = \frac{N_2}{N_1}$

$$W = \partial h_1 \bar{\partial} h_2 + \bar{\partial} h_1 \partial h_2 = \partial \bar{\partial} (h_1 h_2) ,$$

where

$$N_1 = 2h_1 h_2 |\partial h_1|^2 - h_1^2 W , \quad N_2 = 2h_1 h_2 |\partial h_2|^2 - h_2^2 W .$$

For the p-form backgrounds we need also the dual harmonic functions:

$$h_1 = -i(\mathcal{A}_1 - \bar{\mathcal{A}}_1) \quad \rightarrow \quad h_1^D = \mathcal{A}_1 + \bar{\mathcal{A}}_1$$

$$h_2 = \mathcal{A}_2 + \bar{\mathcal{A}}_2 \quad \rightarrow \quad h_2^D = i(\mathcal{A}_2 - \bar{\mathcal{A}}_2)$$

and the volume forms of the unit-radius symmetric spaces:

$$\omega^{0123}$$

$$AdS_4$$

$$\omega^{45}$$

$$S_1^2$$

$$\omega^{67}$$

$$S_2^2$$



3-forms :  $H_{(3)} + iF_{(3)} = \omega^{45} \wedge db_1 + i\omega^{67} \wedge db_2$

$$b_1 = 2ih_1 \frac{h_1 h_2 (\partial h_1 \bar{\partial} h_2 - \bar{\partial} h_1 \partial h_2)}{N_1} + 2h_2^D$$

where:

$$b_2 = 2ih_2 \frac{h_1 h_2 (\partial h_1 \bar{\partial} h_2 - \bar{\partial} h_1 \partial h_2)}{N_2} - 2h_1^D$$

5-form :  $F_{(5)} = -4 f_4^4 \omega^{0123} \wedge \mathcal{F} + 4 f_1^2 f_2^2 \omega^{45} \wedge \omega^{67} \wedge (*_2 \mathcal{F}) ,$

where

$$f_4^4 \mathcal{F} = dj_1 \quad \text{with} \quad j_1 = 3\mathcal{C} + 3\bar{\mathcal{C}} - 3\mathcal{D} + i \frac{h_1 h_2}{W} (\partial h_1 \bar{\partial} h_2 - \bar{\partial} h_1 \partial h_2)$$

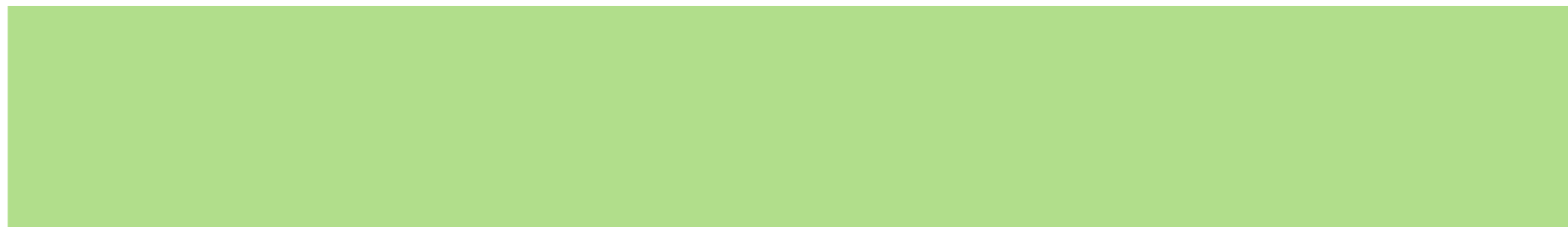
$$\partial \mathcal{C} = \mathcal{A}_1 \partial \mathcal{A}_2 - \mathcal{A}_2 \partial \mathcal{A}_1$$

$$\mathcal{D} = \bar{\mathcal{A}}_1 \mathcal{A}_2 + \mathcal{A}_1 \bar{\mathcal{A}}_2$$

The above expressions provide the **general form** of the **local** solution.

The **absence of singularities** greatly constrains, however, the choice of harmonic functions. Taking  $\Sigma =$  **infinite strip**, requires Neumann (Dirichlet) conditions on the upper (lower) boundary for  $h_1$  and the other way around for  $h_2$ , so that the strip boundaries are **interior points** of the 10D geometry.

$S_2^2$  shrinks to point here

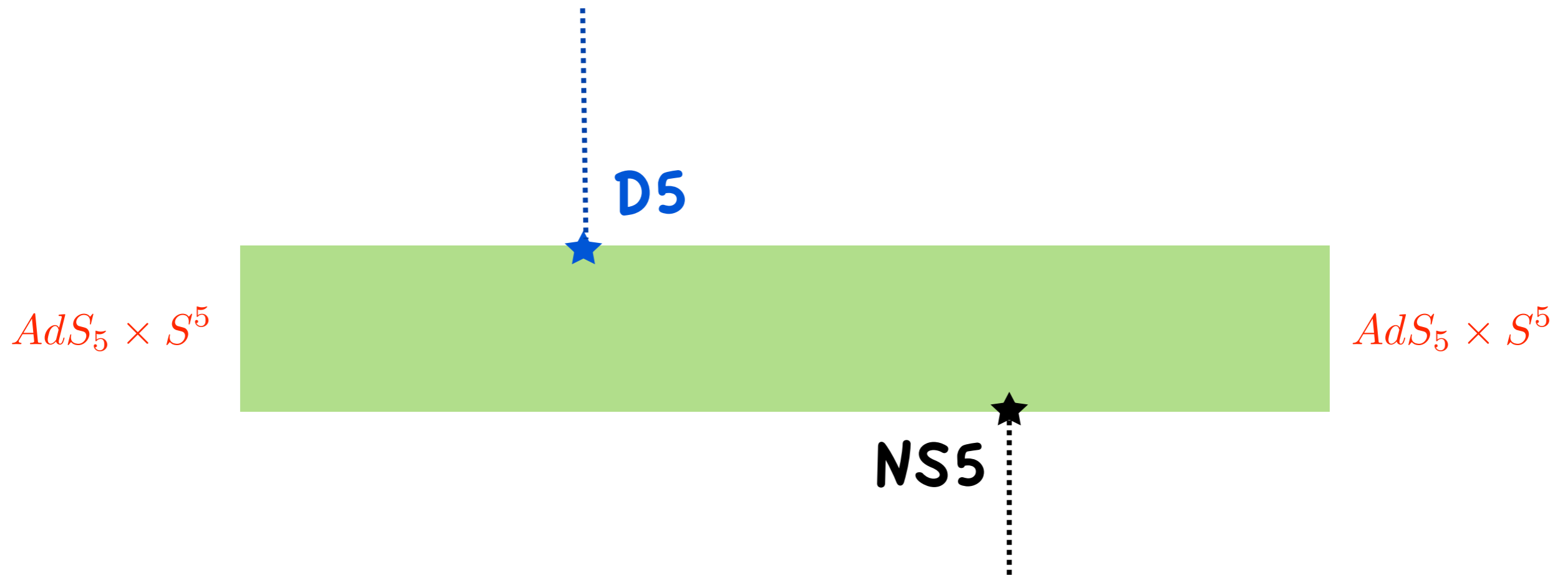


$S_1^2$  shrinks to point here



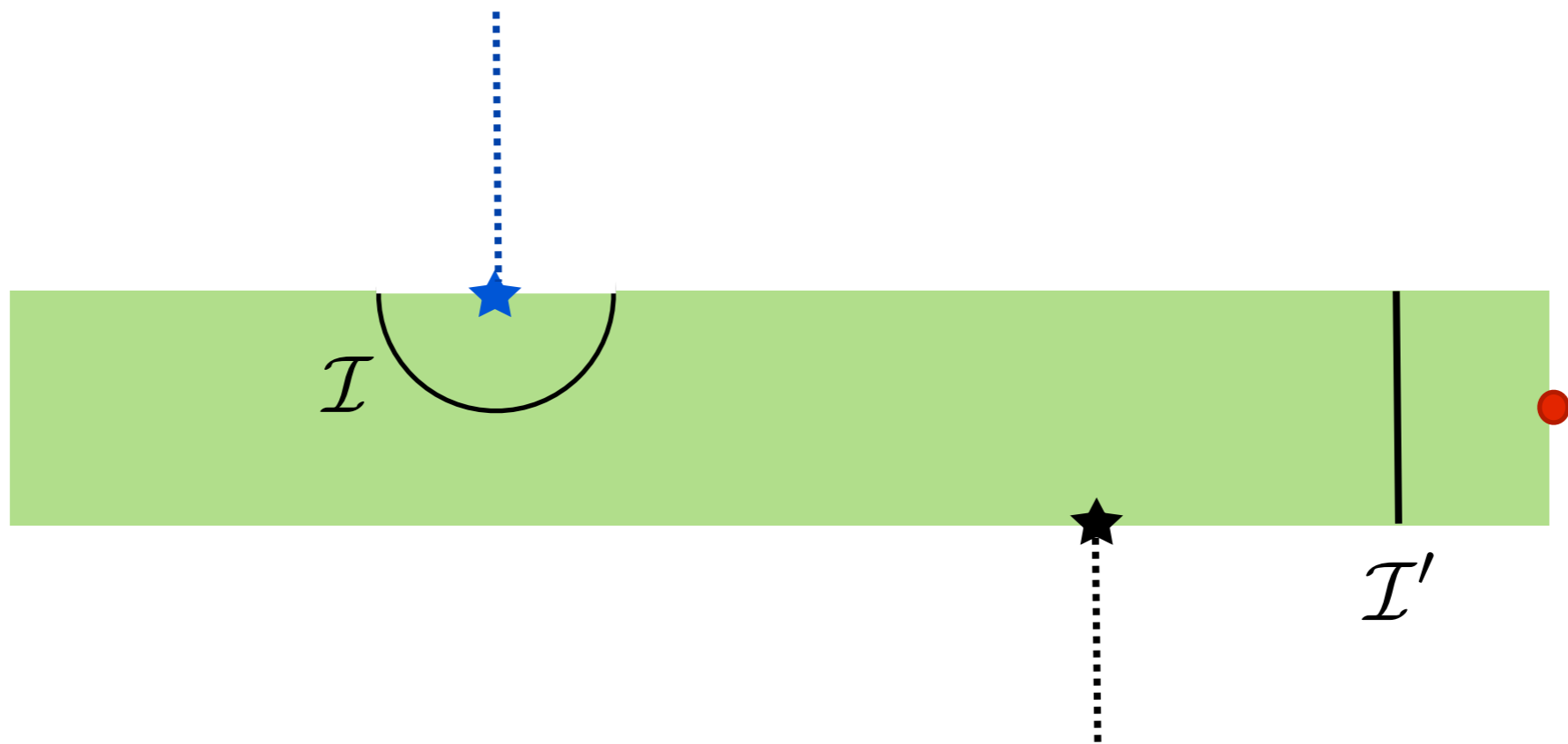
**Singularities** on the boundary correspond to **asymptotic regions**.

A solution with all necessary ingredients is:



$$h_1 = \left[ -i\alpha_1 \sinh(z - \beta_1) - \gamma_1 \ln \left( \tanh\left(\frac{i\pi}{4} - \frac{z - \delta_1}{2}\right) \right) \right] + \text{c.c.} ,$$
$$h_2 = \left[ \alpha_2 \cosh(z - \beta_2) - \gamma_2 \ln \left( \tanh\left(\frac{z - \delta_2}{2}\right) \right) \right] + \text{c.c.} .$$

**Non-contractible cycles supporting brane charges:**



$$C_3 = \mathcal{I} \times S_2^2 \sim S^3$$

D5-brane

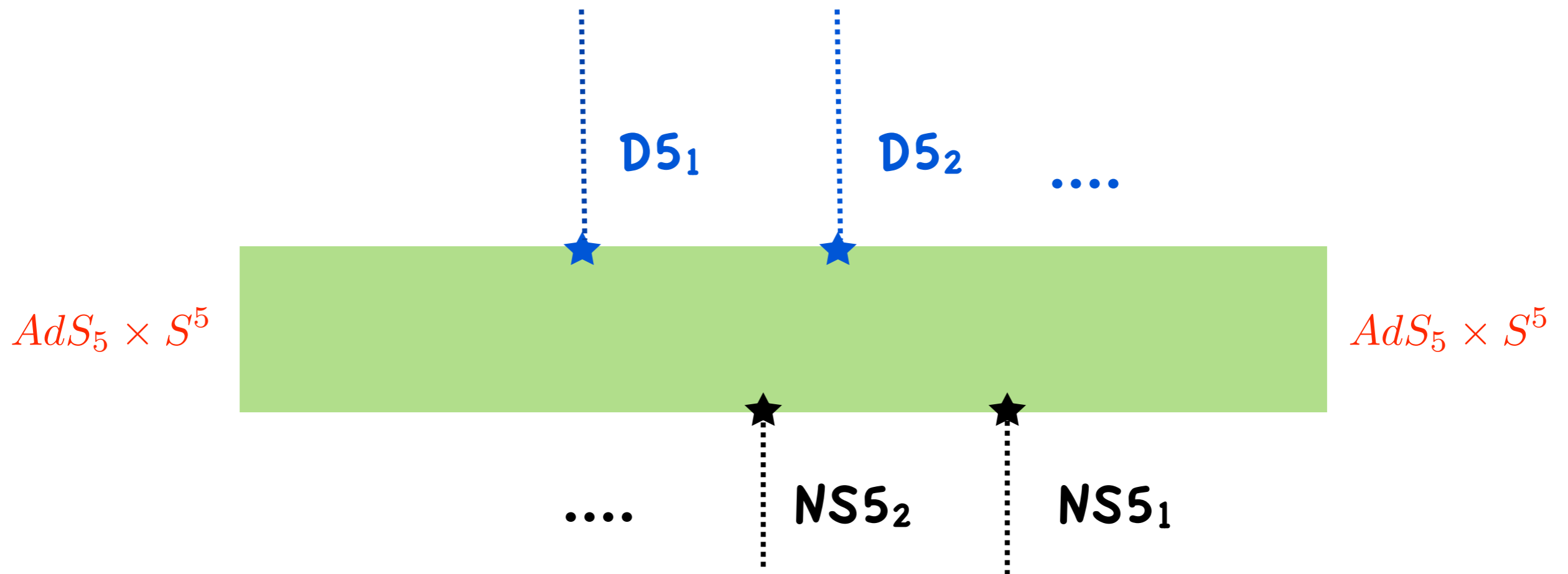
$$C_5 = \mathcal{I} \times S_1^2 \times S_2^2 \sim S^3 \times S^2$$

D3-brane in D5-brane

$$C'_5 = \mathcal{I}' \times S_1^2 \times S_2^2 \sim S^5$$

D3-brane

More generally, there can be many different stacks of 5-branes:



$$h_1 = \left[ -i\alpha \sinh(z - \beta) - \sum_{a=1}^q \gamma_a \ln \left( \tanh \left( \frac{i\pi}{4} - \frac{z - \delta_a}{2} \right) \right) \right] + c.c.$$

$$h_2 = \left[ \hat{\alpha} \cosh(z - \hat{\beta}) - \sum_{b=1}^{\hat{q}} \hat{\gamma}_b \ln \left( \tanh \left( \frac{z - \hat{\delta}_b}{2} \right) \right) \right] + c.c.$$

## 5. Parameters & Page charges

Parameter count :

$$\alpha, \beta, \hat{\alpha}, \hat{\beta}$$

$$\gamma_a, \delta_a$$

$$\hat{\gamma}_b, \hat{\delta}_b$$

real-axis origin



$$\phi^{(\pm\infty)}, Q_{D3}^{(\pm\infty)}$$

$$Q_{D5}^{(a)}, Q_{D3}^{(a)}$$

$$Q_{NS5}^{(b)}, \hat{Q}_{D3}^{(b)}$$

D3-charge conservation

... minus one

In matching the parameters, one faces a subtlety:

$$dF_5 = H_3 \wedge F_3$$

implies there is **no local, gauge-invariant** definition of D3-charge

The quantized **Page charge** is either non-local or gauge-variant.

... Marolf '00

In our case:

$$\begin{aligned}
 Q_{D3}^{\text{inv}(a)} &= \int_{\mathcal{C}_a} F_5 - B_2 \wedge F_3 + \int_{\mathcal{C}_a} F_3 \wedge B_2 \Big|_{z=\infty} \\
 &= 2^8 \pi^3 \left( \hat{\alpha} \gamma_a \sinh(\delta_a - \hat{\beta}) - 2 \gamma_a \sum_{b=1}^{\hat{q}} \hat{\gamma}_b \arctan(e^{\hat{\delta}_b - \delta_a}) \right) \\
 \hat{Q}_{D3}^{\text{inv}(b)} &= \int_{\hat{\mathcal{C}}_b} F_5 + C_2 \wedge H_3 - \int_{\hat{\mathcal{C}}_b} H_3 \wedge C_2 \Big|_{z=-\infty} \\
 &= 2^8 \pi^3 \left( \alpha \hat{\gamma}_b \sinh(\hat{\delta}_b - \beta) + 2 \hat{\gamma}_b \sum_{a=1}^q \gamma_a \arctan(e^{\hat{\delta}_b - \delta_a}) \right) .
 \end{aligned}$$

The 5-brane charges are unambiguous:

$$Q_{D5}^{(a)} = 16\pi^2 \gamma_a \quad , \quad Q_{NS5}^{(b)} = 16\pi^2 \hat{\gamma}_b .$$

The remaining parameters control the **asymptotic AdS<sub>5</sub> x S<sup>5</sup> regions**:

$$\phi^{(\pm\infty)} , Q_{D3}^{(\pm\infty)}$$

We don't need their explicit expression here, but note that

$$Q_{D3}^{(\pm\infty)} \propto L_{(\pm\infty)}^4$$

vanish as  $\alpha, \hat{\alpha} \rightarrow 0$  .



To “mimic” the Karch-Randall model, we must **fine-tune** parameters  
so as to **flatten the brane** relative to the “bulk” AdS<sub>5</sub> .

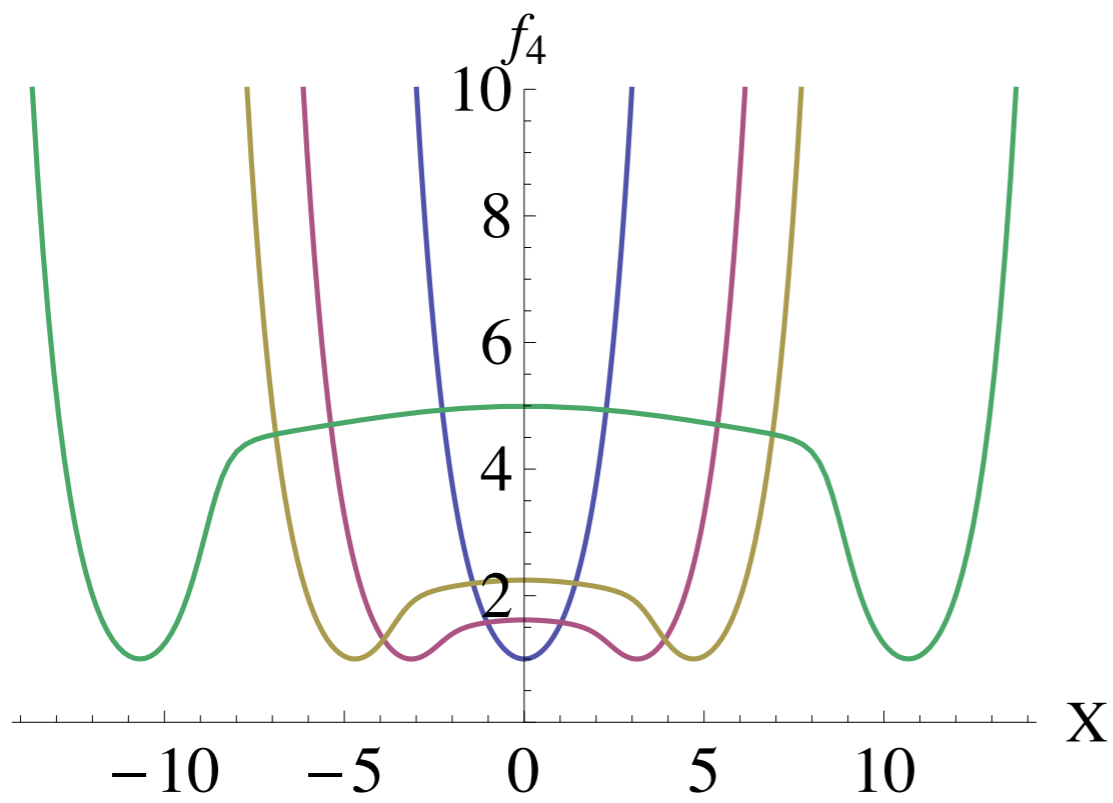
This required **both NS5 and D5 charges**, to stabilize the dilaton, and

 attractor mechanism

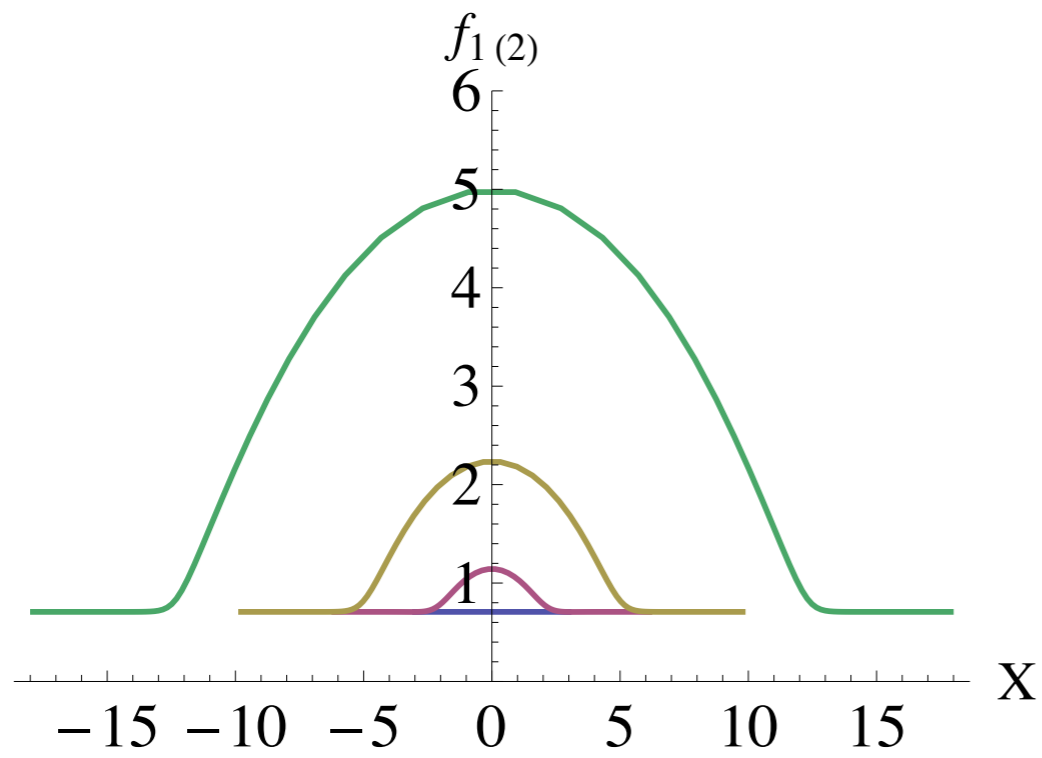
$$\gamma_a, \hat{\gamma}_b \gg \alpha, \hat{\alpha} \quad \Longrightarrow \quad Q_{D5}^{(a)}, Q_{NS5}^{(b)} \gg Q_{D3}^{\pm\infty}$$

**“more in domain wall than in bulk”**

**But: in this limit the brane world-volume decompactifies.**

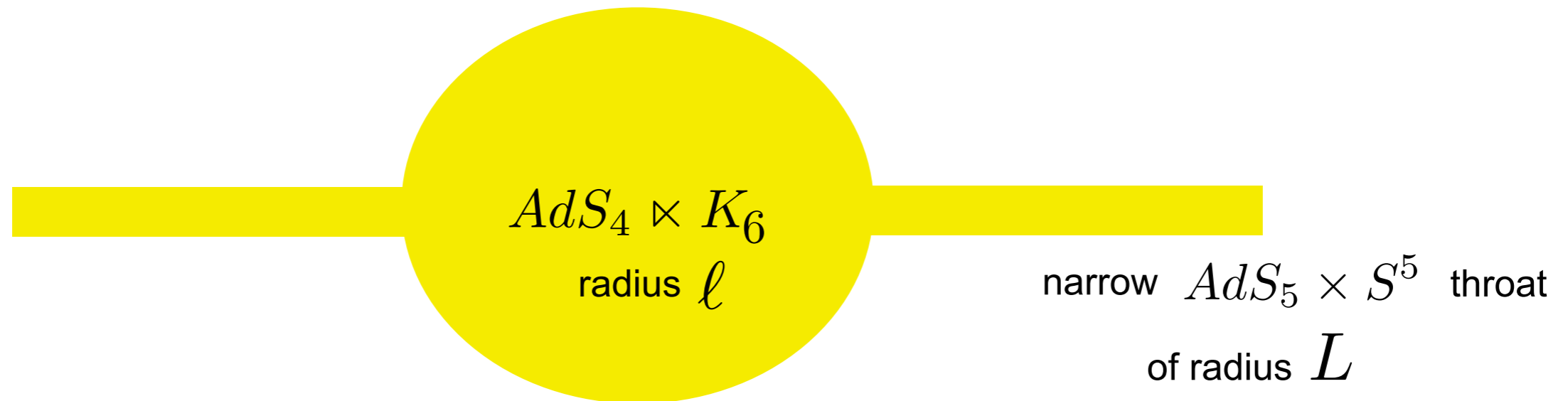


warp factor



sphere radii

The 10d geometry looks like this:



$$\text{graviton mass} \sim \frac{L}{\ell} \ll 1$$

*(numerical, to appear)*

The limit geometry  $\alpha, \hat{\alpha} \rightarrow 0$  is smooth; the **throats cap off**

and  $S_1^2 \times S_2^2 \times \Sigma \sim K_6$  'compactifies'

## 6. New AdS4 - SCFT3 dualities

The “decompactification problem” may (or may not) be solved with fewer supersymmetries [and R-symmetries].

But these geometries are interesting in their own right:

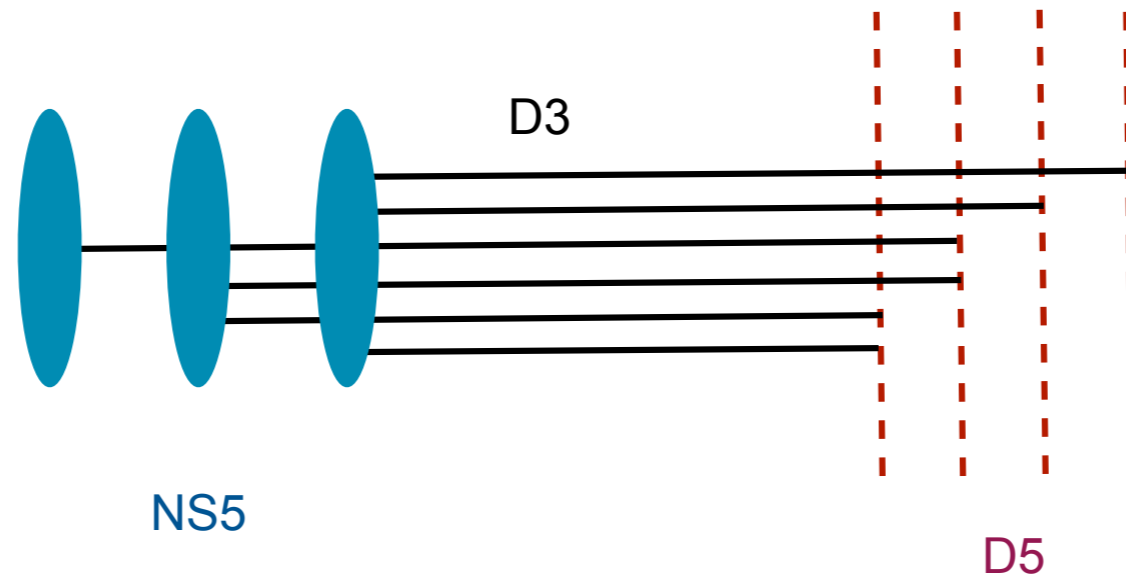
- \* learn about (strongly-coupled) 3D CFTs
- \* understand gravitational throats from gauge theory

The candidate dual SCFTs have been discussed in a series of papers by **Gaiotto, Witten '08**. They are conjectured strongly-coupled fixed points of 3D **quiver gauge theories**.

One way to introduce these theories, is starting with **N D3-branes** suspended between **NS5-branes** on left, and **D5-branes** on right:

This is described by **two partitions of N**,  $\rho, \hat{\rho}$ ,

for example:



$$N = 6 ; \rho = (2, 2, 1, 1) ; \hat{\rho} = (3, 2, 1)$$

The supersymmetric configurations are in 1-to-1 correspondence with solutions of **Nahm's equations**:

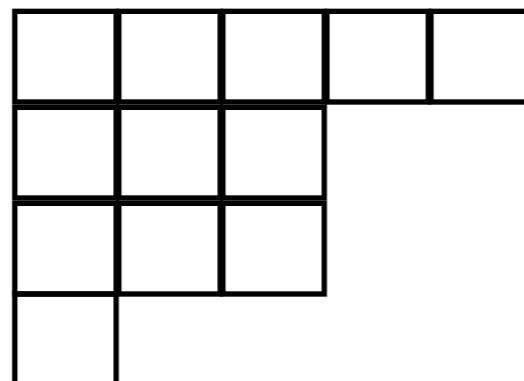
$$\frac{dX^a}{dt} = i\epsilon_{abc}[X^b, X^c]$$

on the **interval**, with boundary conditions that are **simple poles**,

$$X^a \sim \frac{J^a}{t} \longleftarrow \text{N-dimensional generators of SU(2)}$$

The choice of  $J^a$  at each end determines the two partitions of  $N$ , conveniently described by Young tableaux,

e.g.  $\rho : 12 = 5 + 3 + 3 + 1$



Non-trivial solutions to Nahm's problem exist iff

$$\rho^T > \hat{\rho}$$

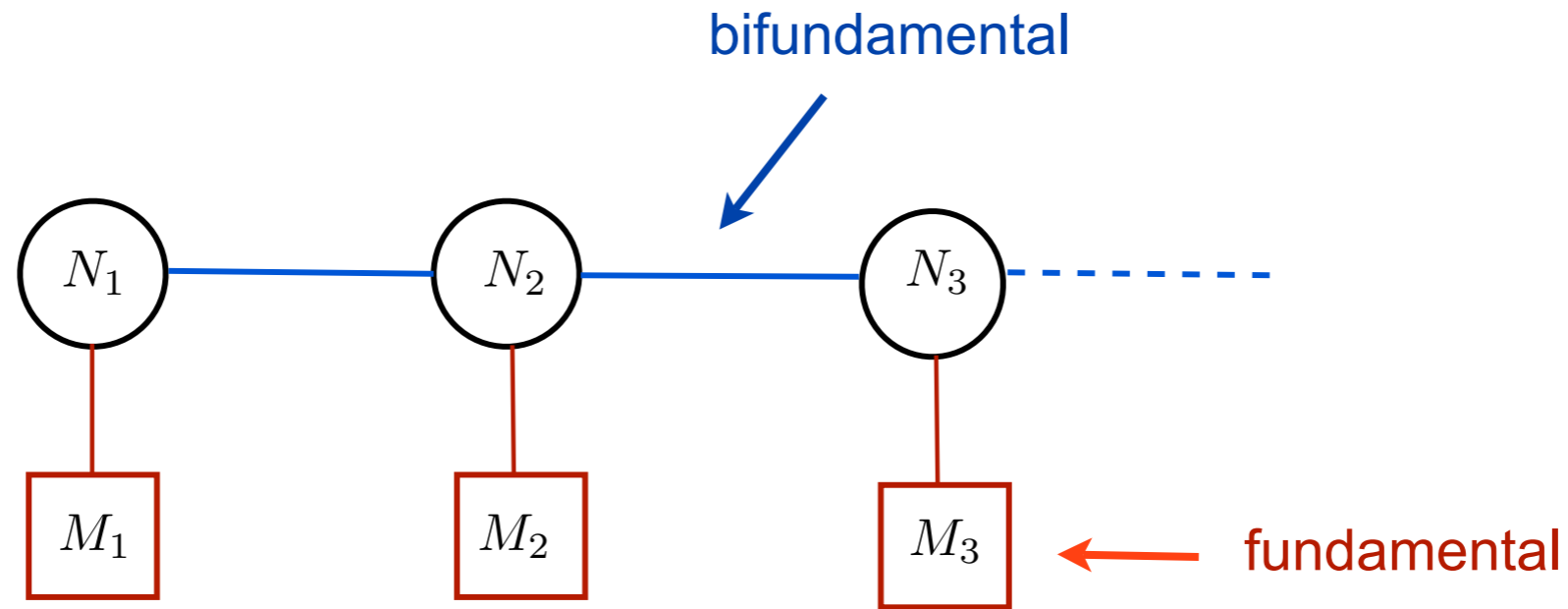
Kronheimer ; Nakajima

Gaiotto, Witten conjecture that **non-trivial SCFTs**,  $T_{\rho}^{\hat{\rho}}(SU(N))$  exist in each of these cases.

Computing these partitions from the brane charges, we show that these inequalities are identically obeyed by the supergravity solutions !

Our backgrounds have furthermore **gauge symmetries**, realized on the 5-branes. These are dual to **global symmetries** of the SCFTs, some of which are explicitly realized in the microscopic theories.

The underlying gauge theories are described by **linear quivers**



gauge symmetry

$$U(N_1) \times U(N_2) \times U(N_3) \times \dots$$

manifest global symmetry

$$U(M_1) \times U(M_2) \dots$$

& from "mirror"

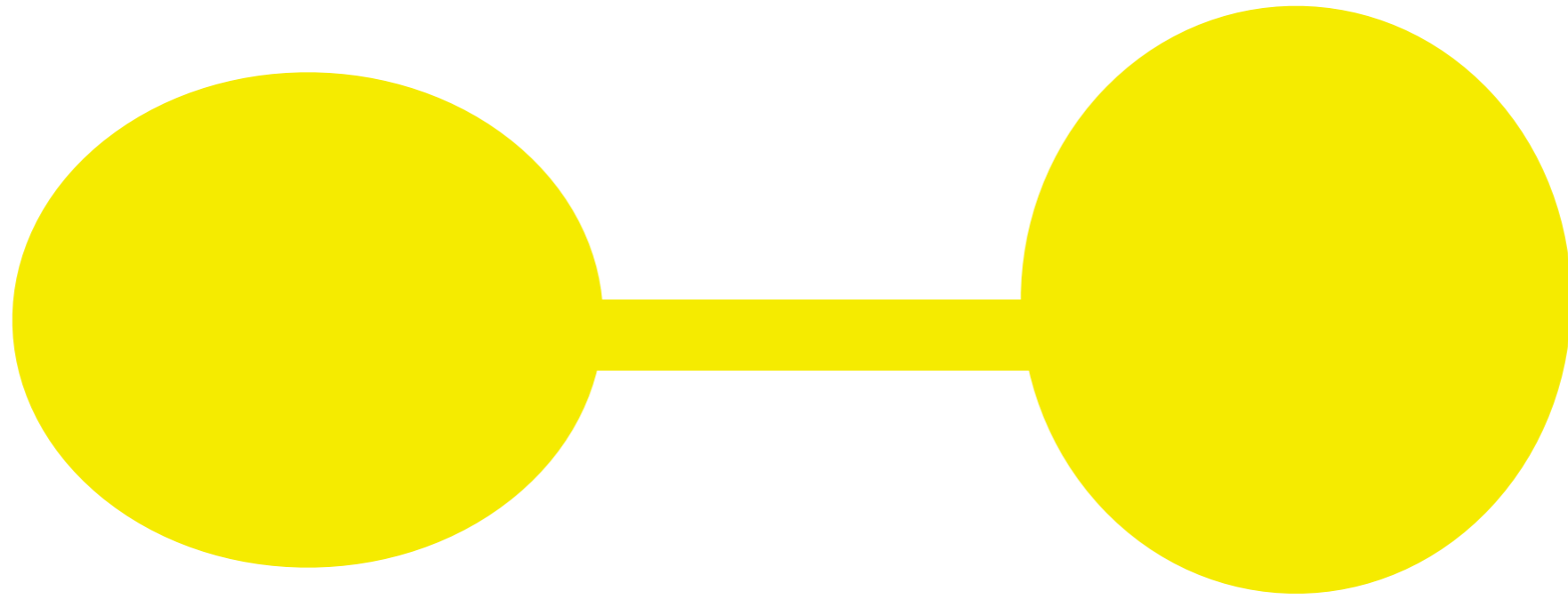


Another interesting limit ( $\hat{\rho} \simeq \rho^T$ ) correspond to **severing**

**one (or more) link**, by taking  $N_i \rightarrow 0$

This corresponds to **factorizing the 5-brane singularities** on the strip.

The ensuing theories are **2-graviton theories with weak mixing**.



this is a string-theory “wormhole”

## 7. Conclusions & outlook

We have embedded the Karch-Randall model in string theory

The graviton obtains a mass, but the brane is decompactified

New  $AdS_4/CFT_3$  dualities

“Narrow bridges” (or throats) are weak links of quiver gauge theories