

# Thermodynamics of a Black Hole with Moon

Alexandre Le Tiec

Laboratoire Univers et Théories  
Observatoire de Paris / CNRS

In collaboration with Sam Gralla  
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# Outline

- ① Mechanics and thermodynamics of stationary black holes
- ② Mechanics of a black hole with a corotating moon
- ③ Surface area, angular velocity and surface gravity

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# Black hole uniqueness theorem in GR

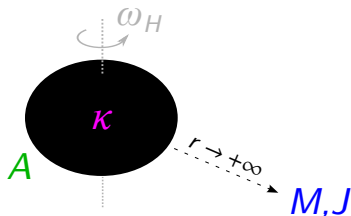
[Israel (1967); Carter (1971); Hawking (1973); Robinson (1975)]

- The **only stationary** vacuum black hole solution is the Kerr solution of mass  $M$  and angular momentum  $J$

*"Black holes have no hair."* (J. A. Wheeler)

- Black hole **event horizon** characterized by:

- Angular velocity  $\omega_H$
- Surface gravity  $\kappa$
- Surface area  $A$

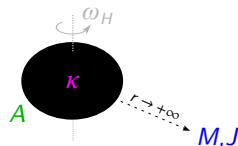


# The laws of black hole mechanics

[Hawking (1972); Bardeen *et al.* (1973)]

- Zeroth law of mechanics:

$$\kappa = \text{const.}$$

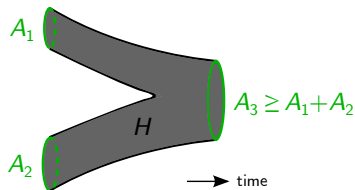


- First law of mechanics:

$$\delta M = \omega_H \delta J + \frac{\kappa}{8\pi} \delta A$$

- Second law of mechanics:

$$\delta A \geq 0$$



# Analogy with the laws of thermodynamics

[Bardeen, Carter & Hawking (1973)]

	Black Hole (BH)	Thermo. System
Zeroth law	$\kappa = \text{const.}$	$T = \text{const.}$
First law	$\delta M = \omega_H \delta J + \frac{\kappa}{8\pi} \delta A$	$\delta E = \delta W + T \delta S$
Second law	$\delta A \geq 0$	$\delta S \geq 0$

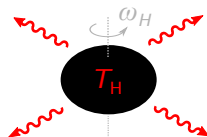
- Black holes should have an entropy  $S_{\text{BH}} \propto A$  [Bekenstein (1973)]
- Analogy suggests stationary BHs have temperature  $T_{\text{BH}} \propto \kappa$
- However BHs are perfect absorbers, so classically  $T_{\text{BH}} = 0$

# Thermodynamics of stationary black holes

[Hawking (1975)]

- **Quantum fields** in a classical curved background spacetime
- *Stationary* black holes radiate particles at the **temperature**

$$T_H = \frac{\hbar}{2\pi} \kappa$$



- Thus the **entropy** of *any* black hole is given by  $S_{\text{BH}} = A/4\hbar$
- Key results for the search of a **quantum theory of gravity**: string theory, LQG, emergent gravity, etc.

## Going beyond stationarity and axisymmetry

- A fully general theory of radiating black holes is called for
- However, even the *classical notion* of surface gravity for a dynamical black hole is **problematic** [Nielsen & Yoon (2008)]
- Main difficulty: lack of a **horizon Killing field**, a Killing field tangent to the null geodesic generators of the event horizon

**Objective:** explore the mechanics and thermodynamics  
of a *dynamical and interacting* black hole

**Main tool:** black hole perturbation theory



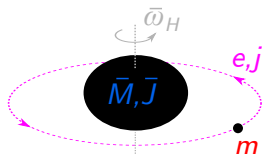
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## Rotating black hole + orbiting moon

- Kerr black hole of mass  $\bar{M}$  and spin  $\bar{J}$  perturbed by a moon of mass  $m \ll \bar{M}$ :

$$g_{ab}(\lambda) = \bar{g}_{ab} + \lambda \mathcal{D}g_{ab} + \mathcal{O}(\lambda^2)$$



- Perturbation  $\mathcal{D}g_{ab}$  obeys the linearized Einstein equation with point-particle source [Gralla & Wald (2008)]

$$\mathcal{D}G_{ab} = 8\pi \mathcal{D}T_{ab} = 8\pi m \int_{\gamma} d\tau \delta_4(x, y) u_a u_b$$

- Particle has energy  $e = -m t^a u_a$  and ang. mom.  $j = m \phi^a u_a$
- Physical  $\mathcal{D}g_{ab}$ : retarded solution, no incoming radiation, perturbations  $\mathcal{D}M = e$  and  $\mathcal{D}J = j$  [Keidl *et al.* (2010)]

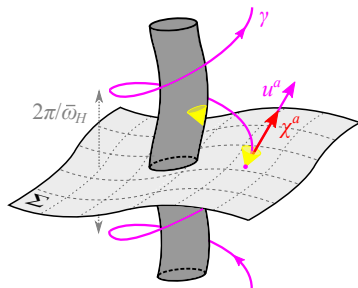
## Rotating black hole + corotating moon

- We choose for the **geodesic**  $\gamma$  the unique equatorial, circular orbit with azimuthal frequency  $\bar{\omega}_H$ , i.e., the *corotating* orbit
- Gravitational radiation-reaction is  $\mathcal{O}(\lambda^2)$  and neglected  
↳ the spacetime geometry has a **helical symmetry**
- In adapted coordinates, the helical Killing field reads

$$\chi^a = t^a + \bar{\omega}_H \phi^a$$

- Conserved orbital quantity associated with symmetry:

$$z \equiv -\chi^a u_a = m^{-1} (e - \bar{\omega}_H j)$$



## Zeroth law for a black hole with moon

- Because of helical symmetry and corotation, the **expansion** and **shear** of the *perturbed* future event horizon  $H$  vanish
- Rigidity theorems then imply that  $H$  is a **Killing horizon** [Hawking (1972); Chruściel (1997); Friedrich *et al.* (1999); etc]
- The horizon-generating **Killing field** must be of the form

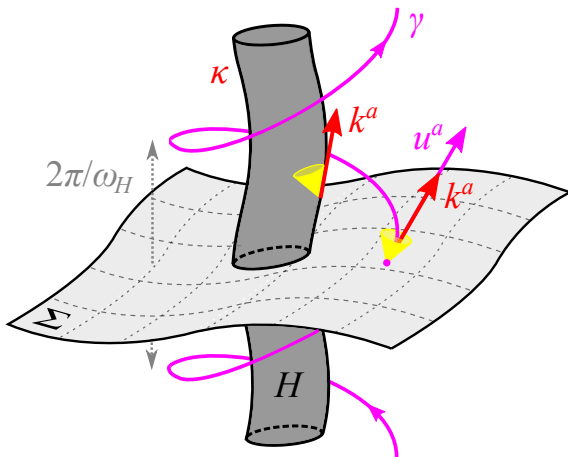
$$k^a(\lambda) = t^a + (\bar{\omega}_H + \lambda \mathcal{D}\omega_H) \phi^a + \mathcal{O}(\lambda^2)$$

- The **surface gravity**  $\kappa$  is defined in the usual manner as

$$\kappa^2 = -\frac{1}{2} (\nabla^a k^b \nabla_a k_b)|_H$$

- Since  $\kappa = \text{const.}$  over *any* Killing horizon [Bardeen *et al.* (1973)], we have proven a **zeroth law** for the *perturbed* event horizon

# Zeroth law for a black hole with moon



## Smarr formula for a black hole with moon

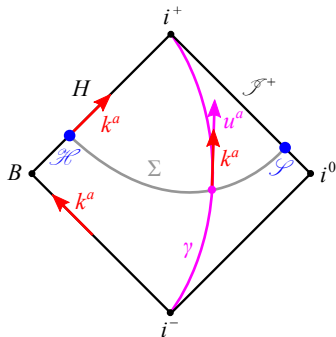
- For *any* spacetime with a Killing field  $k^a$ , **Stokes' theorem** yields the following identity (with  $Q_{ab} \equiv -\varepsilon_{abcd} \nabla^c k^d$ ):

$$\int_{\partial\Sigma} Q_{ab} = 2 \int_{\Sigma} \varepsilon_{abcd} R^{de} k_e$$

- Applied to a given BH with moon spacetime, this gives the formula

$$M = 2\omega_H J + \frac{\kappa A}{4\pi} + mZ$$

- In the limit  $\lambda \rightarrow 0$ , we recover Smarr's formula [Smarr (1973)]



## First law for a black hole with moon

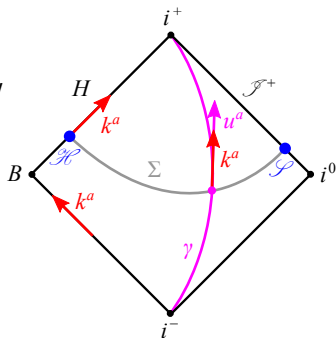
- Adapting [Iyer & Wald (1994)] to **non-vacuum** perturbations of **non-stationary**, non-axisymmetric spacetimes we find:

$$\int_{\partial\Sigma} (\delta Q_{ab} - \Theta_{abc} k^c) = 2 \delta \int_{\Sigma} \varepsilon_{abcd} G^{de} k_e - \int_{\Sigma} \varepsilon_{abcd} k^d G^{ef} \delta g_{ef}$$

- Applied to nearby BH with moon spacetimes, this gives the first law

$$\delta M = \omega_H \delta J + \frac{\kappa}{8\pi} \delta A + z \delta m$$

- Features variations of the **Bondi** mass and angular momentum

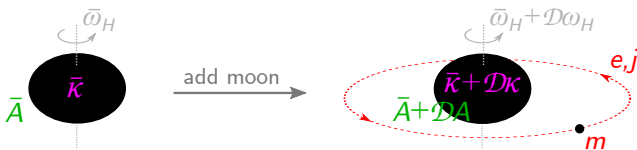


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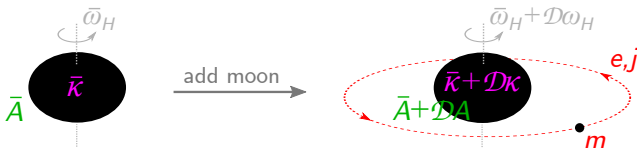
## Perturbation in horizon surface area



- Application of the first law to this perturbation gives

$$\mathcal{D}M = \bar{\omega}_H \mathcal{D}J + \frac{\bar{\kappa}}{8\pi} \mathcal{D}A + m z$$

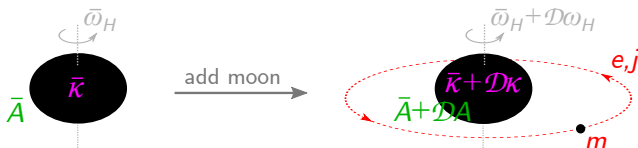
## Perturbation in horizon surface area



- Application of the first law to this perturbation gives

$$e = \bar{\omega}_H j + \frac{\bar{\kappa}}{8\pi} \mathcal{D}A + (e - \bar{\omega}_H j)$$

## Perturbation in horizon surface area



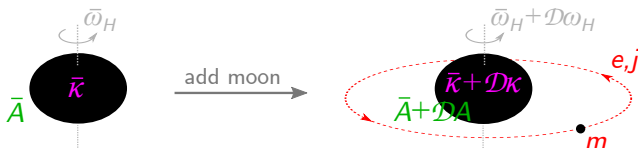
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- The perturbation in horizon surface area vanishes:

$$\mathcal{D}A = 0$$

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- The perturbation in horizon surface area vanishes:

$$\mathcal{D}A = 0$$

- The black hole's **entropy is unaffected** by the moon

## Particle Hamiltonian first law

- Geodesic motion of **test mass**  $m$  in Kerr geometry  $\bar{g}_{ab}(x; \bar{M}, \bar{J})$  derives from canonical **Hamiltonian** [Carter (1968)]

$$\mathcal{H}(y, p) = \frac{1}{2} \bar{g}^{ab}(y; \bar{M}, \bar{J}) p_a p_b$$

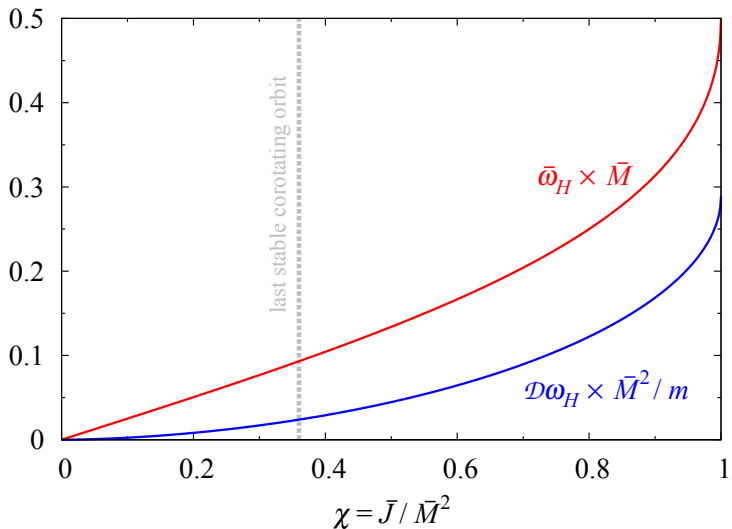
- Varying  $\mathcal{H}(y, p)$  yields the Hamiltonian first law [Le Tiec (2013)]

$$\delta e = \bar{\omega}_H \delta j + z \delta m + \frac{z}{m} (\partial_{\bar{M}} \mathcal{H} \delta \bar{M} + \partial_{\bar{J}} \mathcal{H} \delta \bar{J})$$

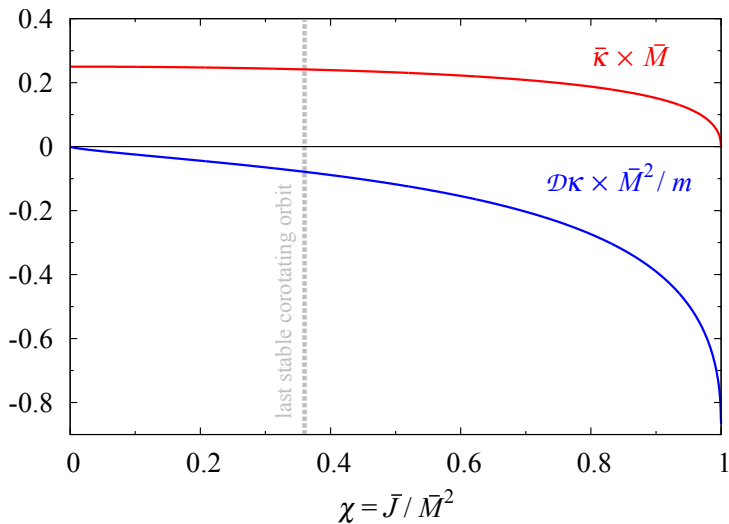
- Combining both first laws, we find for the perturbations in horizon angular velocity and surface gravity

$$\mathcal{D}\omega_H = \frac{z}{m} (\bar{\omega}_H \partial_{\bar{M}} \mathcal{H} + \partial_{\bar{J}} \mathcal{H}) \quad \text{and} \quad \mathcal{D}\kappa = \frac{z}{m} \bar{\kappa} \partial_{\bar{M}} \mathcal{H}$$

## Perturbation in horizon angular velocity



## Perturbation in horizon surface gravity



## Cooling a black hole with an orbiting moon

- Key properties of semi-classical calculation in Kerr preserved:
  - $k^a = t^a + \omega_H \phi^a$  is infinitesimally related to  $\chi^a$
  - $k^a$  is normalized so that  $k^a t_a = -1$  at infinity
  - $t^a$  is an *asymptotic* time translation symmetry
- The constant **surface gravity**  $\kappa$  should thus correspond to the **Hawking temperature**  $T_H$  of the *perturbed* black hole:

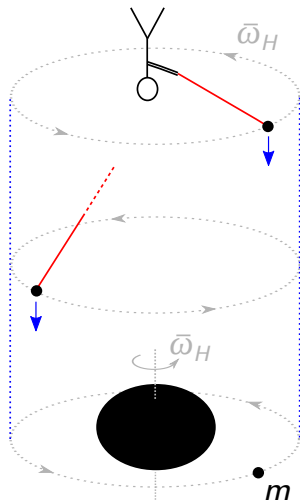
$$\mathcal{D}T_H = \frac{\hbar}{2\pi} \mathcal{D}\kappa < 0$$

- The moon has a **cooling effect** on the rotating black hole!
- Both rotationally-induced and tidally-induced deformations of a BH horizon have a cooling effect  $\longrightarrow$  **generic result?**



## Physical process version

- ① Set moon on circular trajectory with angular frequency  $\bar{\omega}_H$
- ② Lower moon **adiabatically** down “corotating cylinder”
- ③ Release moon on corotating orbit and retract **tensile rod**



## Summary and prospects

### Main results

- We established a **zeroth law** and a **first law** of black hole mechanics valid beyond stationarity and axisymmetry
- We studied a realistic, **dynamical** and **interacting** black hole whose surface gravity is well-defined
- The moon has a **cooling effect** on the rotating black hole!

### Future work

- Recover our results from a direct analysis of  $\mathcal{D}g_{ab}$  near  $H$
- **Semi-classical** calculation confirming that  $T_H = \hbar \kappa / 2\pi$
- Recover our results from a **microscopic** point of view

# Additional Material

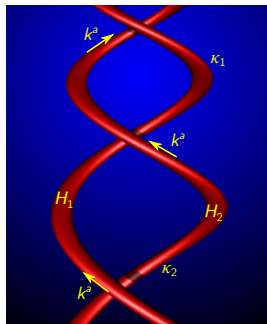
# Generalized zeroth law of mechanics

[Friedman, Uryū & Shibata (2002)]

- **Black hole** spacetimes with *helical* Killing vector field  $k^a$
- On each component  $H_i$  of the horizon, the **expansion** and **shear** of the null generators vanish
- Generalized rigidity theorem  
↳  $H = \bigcup_i H_i$  is a **Killing horizon**
- *Constant* horizon **surface gravity**

$$\kappa_i^2 = -\frac{1}{2} (\nabla^a k^b \nabla_a k_b)|_{H_i}$$

- Lack of asymptotic flatness  
↳ overall scaling of  $\kappa_i$  is free

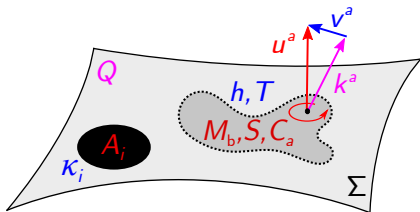


# Generalized first law of mechanics

[Friedman, Uryū & Shibata (2002)]

- Spacetimes with **black holes + perfect fluid** matter sources
- One-parameter family of solutions  $\{g_{ab}(\lambda), u^a(\lambda), \rho(\lambda), s(\lambda)\}$
- Globally defined **Killing field**  $k^a \rightarrow$  conserved Noether **charge**  $Q$

$$\delta Q = \sum_i \frac{\kappa_i}{8\pi} \delta A_i + \int_{\Sigma} [\bar{h} \delta(dM_b) + \bar{T} \delta(dS) + v^a \delta(dC_a)]$$



## Issue of asymptotic flatness

[Friedman, Uryū & Shibata (2002)]

- Binaries on **circular orbits** have a *helical* Killing symmetry  $k^a$
- Helically symmetric spacetimes are *not* asymptotically flat  
[Gibbons & Stewart (1983); Detweiler (1989); Klein (2004)]
- Asymptotic flatness can be recovered if **radiation** (reaction) can be **“turned off”** (neglected):
  - Conformal Flatness Condition
  - Post-Newtonian approximation
  - Linear perturbation theory
- For **asymptotically flat** spacetimes:

$$k^a \rightarrow t^a + \Omega \phi^a \quad \text{and} \quad \delta Q = \delta M_{\text{ADM}} - \Omega \delta J$$