

# Analogue black-holes in BECondensates

## instabilities in supersonic flows

Antonin Coutant<sup>1</sup>, Stefano Finazzi<sup>2</sup>, and Renaud Parentani<sup>1</sup>

<sup>1</sup>LPT, Paris-Sud Orsay

<sup>2</sup>Univ. de Trento

Séminaire à l'IHES, le 26 janvier 2012.

PRD **81**, 084042 (2010) AC + RP,  
NJP **12**, 095015 (2010) SF + RP,  
and PRA **80**, 043601 (2009) J.Macher + RP,  
PRD **81**, 084010 (2011) SF + RP,  
PRD **83**, 024021 (2012) AC + SF + RP.

- 0. Analogue Black Holes: [a very brief review](#).
- I. Black hole instabilities: [a brief review](#).
- II. Black holes in BEC.
  - **Phonon spectra** in **supersonic** flows with
    - **one** sonic BH **or** WH horizon,
    - **a pair** of BH **and** WH horizons.
  - Impact of the **second** horizon on **observables**.
  
  - *The onset of dynamical instabilities.*
  - *Classical vs Quantum description of dyn. instabilities.*
  - *Sufficient conditions to have dyn. instabilities.*

# Analogue Black Holes. I. Fundamental papers

- 1981. W. Unruh, PRL "*Experimenting BH evaporation ?*".  
Analogy btwn sound and light propagation in curved space  
Incomplete because short distance phys. is neglected.
- 1991. T. Jacobson, PRD "*Ultra-high frequencies in BH radiation*".  
Microscopic physics induce UV dispersion,  
i.e. Violations of Lorentz invariance.
- 1995. W. Unruh, PRD "*Dumb Hole radiation*"
  - Combined the curved metric (IR) and dispersive (UV) effects in a single wave equation.
  - Numerically showed the robustness of Hawking radiation

# Analogue Black Holes. I. Fundamental papers

- 1981. W. Unruh, PRL "*Experimenting BH evaporation ?*".  
Analogy btwn sound and light propagation in curved space  
Incomplete because short distance phys. is neglected.
- 1991. T. Jacobson, PRD "*Ultra-high frequencies in BH radiation*".  
Microscopic physics induce UV dispersion,  
i.e. Violations of Lorentz invariance.
- 1995. W. Unruh, PRD "*Dumb Hole radiation*"
  - Combined the curved metric (IR) and dispersive (UV) effects in a single wave equation.
  - Numerically showed the robustness of Hawking radiation

# Analogue Black Holes. I. Fundamental papers

- 1981. W. Unruh, PRL "*Experimenting BH evaporation ?*".  
Analogy btwn sound and light propagation in curved space  
Incomplete because short distance phys. is neglected.
- 1991. T. Jacobson, PRD "*Ultra-high frequencies in BH radiation*".  
Microscopic physics induce UV dispersion,  
i.e. Violations of Lorentz invariance.
- 1995. W. Unruh, PRD "*Dumb Hole radiation*"
  - Combined the curved metric (IR) and dispersive (UV) effects in a single wave equation.
  - Numerically showed the robustness of Hawking radiation

# Analogue Black Holes. I. Fundamental papers

- 1981. W. Unruh, PRL "*Experimenting BH evaporation ?*".  
Analogy btwn sound and light propagation in curved space  
Incomplete because short distance phys. is neglected.
- 1991. T. Jacobson, PRD "*Ultra-high frequencies in BH radiation*".  
Microscopic physics induce UV dispersion,  
i.e. Violations of Lorentz invariance.
- 1995. W. Unruh, PRD "*Dumb Hole radiation*"
  - Combined the curved metric (IR) and dispersive (UV) effects in a single wave equation.
  - Numerically showed the robustness of Hawking radiation

# Analogue Black Holes. II. Developments

- 1996. T. Jacobson, PRD *"On the origin of BH modes"*  
covariantized the Unruh-1995 eq. by introducing a **UTVF**  $u^\mu$   
This lead to Einstein-Aether and Horava gravity.
- 2000. J. Martin & Brandenberger, Niemeyer  
applied UV dispersive eqs. to cosmo. primordial spectra.
- 2006. T. Jacobson et al. Ann. Phys.  
*Review on Phenomenology of high energy LV*
- 2009-10. **First experiments.** (in water, in BEC, in glass)

# Analogue Black Holes. II. Developments

- 1996. T. Jacobson, PRD *"On the origin of BH modes"*  
covariantized the Unruh-1995 eq. by introducing a **UTVF**  $u^\mu$   
This lead to Einstein-Aether and Horava gravity.
- 2000. J. Martin & Brandenberger, Niemeyer  
applied UV dispersive eqs. to cosmo. primordial spectra.
- 2006. T. Jacobson et al. Ann. Phys.  
*Review on Phenomenology of high energy LV*
- 2009-10. **First experiments.** (in water, in BEC, in glass)



# Analogue Black Holes. II. Developments

- 1996. T. Jacobson, PRD "On the origin of BH modes"  
covariantized the Unruh-1995 eq. by introducing a **UTVF**  $u^\mu$   
This lead to Einstein-Aether and Horava gravity.
- 2000. J. Martin & Brandenberger, Niemeyer  
applied UV dispersive eqs. to cosmo. primordial spectra.
- 2006. T. Jacobson et al. Ann. Phys.  
*Review on Phenomenology of high energy LV*
- 2009-10. **First experiments.** (in water, in BEC, in glass)

# Analogue Black Holes. II. Developments

- 1996. T. Jacobson, PRD *"On the origin of BH modes"*  
covariantized the Unruh-1995 eq. by introducing a **UTVF**  $u^\mu$   
This lead to Einstein-Aether and Horava gravity.
- 2000. J. Martin & Brandenberger, Niemeyer  
applied UV dispersive eqs. to cosmo. primordial spectra.
- 2006. T. Jacobson et al. Ann. Phys.  
*Review on Phenomenology of high energy LV*
- 2009-10. **First experiments.** (in water, in BEC, in glass)

# Analogue Black Holes. II. Developments

- 1996. T. Jacobson, PRD *"On the origin of BH modes"*  
covariantized the Unruh-1995 eq. by introducing a **UTVF**  $u^\mu$   
This lead to Einstein-Aether and Horava gravity.
- 2000. J. Martin & Brandenberger, Niemeyer  
applied UV dispersive eqs. to cosmo. primordial spectra.
- 2006. T. Jacobson et al. Ann. Phys.  
*Review on Phenomenology of high energy LV*
- 2009-10. **First experiments.** (in water, in BEC, in glass)

# Black hole instabilities. 1. Pre-history

- The **stability** of the Schwarzschild Black Hole

$$ds^2 = -\left(1 - \frac{r_S}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{r_S}{r}\right)} + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

with  $r_S = 2GM/c^2$ , was a subject of **controversy** → 50's.

- **Stability** demonstrated by Regge, Wheeler, and others:  
The **spectrum** of metric perturbations contains  
**no complex frequency modes** (asympt. bounded)
- (astro)-physical relevance recognized.

# Black hole instabilities. 1. Pre-history

- The **stability** of the Schwarzschild Black Hole

$$ds^2 = -\left(1 - \frac{r_S}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{r_S}{r}\right)} + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

with  $r_S = 2GM/c^2$ , was a subject of **controversy** → 50's.

- **Stability** demonstrated by Regge, Wheeler, and others:  
The **spectrum** of metric perturbations contains  
**no complex frequency modes** (asympt. bounded)
- (astro)-physical relevance recognized.

# Black hole instabilities. 1. Pre-history

- The **stability** of the Schwarzschild Black Hole

$$ds^2 = -\left(1 - \frac{r_S}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{r_S}{r}\right)} + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

with  $r_S = 2GM/c^2$ , was a subject of **controversy** → 50's.

- **Stability** demonstrated by Regge, Wheeler, and others:  
The **spectrum** of metric perturbations contains  
**no complex frequency modes** (asympt. bounded)
- (astro)-physical relevance recognized.

# Black hole instabilities. 1. Pre-history

- The **stability** of the Schwarzschild Black Hole

$$ds^2 = -\left(1 - \frac{r_S}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{r_S}{r}\right)} + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

with  $r_S = 2GM/c^2$ , was a subject of **controversy** → 50's.

- **Stability** demonstrated by Regge, Wheeler, and others:  
The **spectrum** of metric perturbations contains  
**no complex frequency modes** (asympt. bounded)
- (astro)-physical relevance recognized.

# Black hole instabilities. 2. Super-radiance

A **rotating Black Hole** (Kerr) is subject to a **weak instability**:

- **Classical** waves display a **super-radiance**:

$$\phi_{\omega,l,m}^{\text{in}} \rightarrow R_{\omega,l,m} \phi_{\omega,l,m}^{\text{out}} + T_{\omega,l,m} \phi_{\omega,l,m}^{\text{absorbed}},$$

with

$$|R_{\omega,l,m}|^2 = 1 + |T_{\omega,l,m}|^2 > 1.$$

Energy is **extracted** from the hole.

This is a **stimulated** process.

- At the **Quantum** level, **super-radiance** implies a **spontaneous** pair creation process, i.e. a "**vacuum instability**", **decay rate**  $\propto |T_{\omega,l,m}|^2$

*Unruh and Starobinski (1973)*



# Black hole instabilities. 2. Super-radiance

A **rotating Black Hole** (Kerr) is subject to a **weak instability**:

- **Classical** waves display a **super-radiance**:

$$\phi_{\omega,l,m}^{\text{in}} \rightarrow R_{\omega,l,m} \phi_{\omega,l,m}^{\text{out}} + T_{\omega,l,m} \phi_{\omega,l,m}^{\text{absorbed}},$$

with

$$|R_{\omega,l,m}|^2 = 1 + |T_{\omega,l,m}|^2 > 1.$$

Energy is **extracted** from the hole.

This is a **stimulated** process.

- At the **Quantum** level, **super-radiance** implies a **spontaneous** pair creation process, i.e. a **"vacuum instability"**, **decay rate**  $\propto |T_{\omega,l,m}|^2$

*Unruh and Starobinski (1973)*

# Black hole instabilities. 3. *Black hole Bomb*

- When introducing a **reflecting boundary condition**, the **super-radiance** induces a **dynamical instability**, a **Black Hole Bomb**, Press '70, Kang '97, Cardoso et al '04.
- A **non-zero mass** can induce the **reflection**, Damour et al '76.  
*Could be used to constrain the mass of hypothetical axions.*
- As in a **resonant cavity**, the **spectrum** now contains a **discrete set** of modes with **complex** frequencies.

# Black hole instabilities. 3. *Black hole Bomb*

- When introducing a **reflecting boundary condition**, the **super-radiance** induces a **dynamical instability**, a **Black Hole Bomb**, Press '70, Kang '97, Cardoso et al '04.
- A **non-zero mass** can induce the **reflection**, Damour et al '76.  
*Could be used to constrain the mass of hypothetical axions.*
- As in a **resonant cavity**, the **spectrum** now contains a **discrete set** of modes with **complex** frequencies.

# Black hole instabilities. 3. *Black hole Bomb*

- When introducing a **reflecting boundary condition**, the **super-radiance** induces a **dynamical instability**, a **Black Hole Bomb**, Press '70, Kang '97, Cardoso et al '04.
- A **non-zero mass** can induce the **reflection**, Damour et al '76.  
*Could be used to constrain the mass of hypothetical axions.*
- As in a **resonant cavity**, the **spectrum** now contains a **discrete set** of modes with **complex** frequencies.

## Black hole instabilities. 4. Hawking radiation

- In 1974, Hawking showed that a Schwarzschild Black Hole **spontaneously** emits thermal radiation.
- Even though it is **micro-canonically stable**, it is **canonically unstable**:  $C_V < 0$ .
- In fact, the **partition function** possesses **one unstable bound mode** (Gross-Perry-Yaffe '82).
- The **same bound mode** is responsible for the **dynamical instability** of 5 dimensional **Black String** (Gregory-Laflamme '93).

## Black hole instabilities. 4. Hawking radiation

- In 1974, Hawking showed that a Schwarzschild Black Hole **spontaneously** emits thermal radiation.
- Even though it is **micro-canonically stable**, it is **canonically unstable**:  $C_V < 0$ .
- In fact, the **partition function** possesses **one unstable bound mode** (Gross-Perry-Yaffe '82).
- The **same bound mode** is responsible for the **dynamical instability** of 5 dimensional **Black String** (Gregory-Laflamme '93).

## Black hole instabilities. 4. Hawking radiation

- In 1974, Hawking showed that a Schwarzschild Black Hole **spontaneously** emits thermal radiation.
- Even though it is **micro-canonically stable**, it is **canonically unstable**:  $C_V < 0$ .
- In fact, the **partition function** possesses **one unstable bound mode** (Gross-Perry-Yaffe '82).
- The **same bound mode** is responsible for the **dynamical instability** of 5 dimensional **Black String** (Gregory-Laflamme '93).

# Black hole instabilities. 5. Black Hole Lasers

- discovered by Corley & Jacobson in 1999,
- arises in the presence of **two** horizons (charged BH) **and dispersion**, either **superluminal** or **subluminal**,
- the 'trapped' region acts as a **cavity**,
- induces an **exponential growth** of **Hawking radiation**, and this is a **dynamical instability**.
  
- **Naturally** arises in **supersonic flows** in BEC
  - **no hypothesis**
  - **experiments** ? (Technion, June 2009)



# Black hole instabilities. 5. Black Hole Lasers

- discovered by Corley & Jacobson in 1999,
- arises in the presence of **two** horizons (charged BH) **and dispersion**, either **superluminal** or **subluminal**,
- the 'trapped' region acts as a **cavity**,
- induces an **exponential growth** of **Hawking radiation**, and this is a **dynamical instability**.
  
- **Naturally** arises in **supersonic flows** in BEC
  - **no hypothesis**
  - **experiments** ? (Technion, June 2009)

# Black hole instabilities. 5. Black Hole Lasers

- discovered by Corley & Jacobson in 1999,
- arises in the presence of **two** horizons (charged BH) **and dispersion**, either **superluminal** or **subluminal**,
- the 'trapped' region acts as a **cavity**,
- induces an **exponential growth** of **Hawking radiation**, and this is a **dynamical instability**.
  
- **Naturally** arises in **supersonic flows** in BEC
  - **no hypothesis**
  - **experiments ?** (Technion, June 2009)

# Bose Einstein Condensates

- Set of atoms is described by  $\hat{\Psi}(t, \mathbf{x})$  obeying

$$[\hat{\Psi}(t, \mathbf{x}), \hat{\Psi}^\dagger(t, \mathbf{x}')] = \delta^3(\mathbf{x} - \mathbf{x}'),$$

and by a Hamiltonian

$$\hat{H} = \int d^3x \left\{ \frac{\hbar^2}{2m} \nabla_{\mathbf{x}} \hat{\Psi}^\dagger \nabla_{\mathbf{x}} \hat{\Psi} + V(\mathbf{x}) \hat{\Psi}^\dagger \hat{\Psi} + \frac{g(\mathbf{x})}{2} \hat{\Psi}^\dagger \hat{\Psi}^\dagger \hat{\Psi} \hat{\Psi} \right\}.$$

- at low temperature, condensation

$$\begin{aligned} \hat{\Psi}(t, \mathbf{x}) &= \Psi_0(t, \mathbf{x}) + \hat{\psi}(t, \mathbf{x}) \\ &= \Psi_0(t, \mathbf{x}) (1 + \hat{\phi}(t, \mathbf{x})), \end{aligned} \quad (1)$$

$\Psi_0(t, \mathbf{x})$  describes the **condensed atoms**,  
 $\hat{\phi}(t, \mathbf{x})$  describes **relative perturbations**.

# Elongated 1D static condensates

A 1D **stationary** condensate is described by

$$\Psi_0(t, x) = e^{-i\mu t/\hbar} \times \sqrt{\rho_0(x)} e^{i\theta_0(x)},$$

$\rho_0$  is the **mean density** and  $v = \frac{\hbar}{m} \partial_x \theta_0$  the **mean velocity**.

$\rho_0$ ,  $v$  are determined by  $V$  and  $g$  through the **Gross Pitaevskii** eq.

$$\mu = \frac{1}{2} m v^2 - \frac{\hbar^2}{2m} \frac{\partial_x^2 \sqrt{\rho_0}}{\rho_0} + V(x) + g(x) \rho_0,$$

which also gives

$$\partial_x (v \rho_0) = 0.$$

# BdG equation for **relative** density fluctuations

- In a BEC, density fluctuations obey the **BdG equation**.

In **non-homogeneous** condensates, it is **appropriate** to use **relative** density fluctuations which obey reads

$$i\hbar(\partial_t + v\partial_x)\hat{\phi} = [T_v + mc^2]\hat{\phi} + mc^2\hat{\phi}^\dagger, \quad (2)$$

$$c^2(x) \equiv \frac{g(x)\rho_0(x)}{m},$$

is the  $x$ -dep. **speed of sound** and  $T_v$  a kinetic term

$$T_v \equiv -\frac{\hbar^2}{2m} v(x) \partial_x \frac{1}{v(x)} \partial_x.$$

- **Only**  $v(x)$  and  $c(x)$  enter in **BdG eq.** *An exact result.*

# BdG equation for **relative** density fluctuations

- In a BEC, density fluctuations obey the **BdG equation**.

In **non-homogeneous** condensates, it is **appropriate** to use **relative** density fluctuations which obey reads

$$i\hbar(\partial_t + v\partial_x)\hat{\phi} = [T_v + mc^2]\hat{\phi} + mc^2\hat{\phi}^\dagger, \quad (2)$$

$$c^2(x) \equiv \frac{g(x)\rho_0(x)}{m},$$

is the  $x$ -dep. **speed of sound** and  $T_v$  a kinetic term

$$T_v \equiv -\frac{\hbar^2}{2m} v(x) \partial_x \frac{1}{v(x)} \partial_x.$$

- **Only**  $v(x)$  and  $c(x)$  enter in **BdG eq.** *An exact result.*

# BdG equation for **relative** density fluctuations

- In a BEC, density fluctuations obey the **BdG equation**.

In **non-homogeneous** condensates, it is **appropriate** to use **relative** density fluctuations which obey reads

$$i\hbar(\partial_t + v\partial_x)\hat{\phi} = [T_v + mc^2]\hat{\phi} + mc^2\hat{\phi}^\dagger, \quad (2)$$

$$c^2(x) \equiv \frac{g(x)\rho_0(x)}{m},$$

is the  $x$ -dep. **speed of sound** and  $T_v$  a kinetic term

$$T_v \equiv -\frac{\hbar^2}{2m} v(x) \partial_x \frac{1}{v(x)} \partial_x.$$

- **Only**  $v(x)$  and  $c(x)$  enter in **BdG eq.** *An exact result.*

# Link with extended Gravity, a side remark

Because phonons

- only see the **macrosc. mean** fields  $c(x)$ ,  $\mathbf{v}(x)$ ,  $\rho_0(x)$ ,
- are **insensitive** to **microsc.** qfts  $g(x)$ ,  $V(x)$  and Q.pot.

one can

- **forget** about the (fundamental) theory of the condensate when **computing the phonon spectrum**.
- $\rightarrow$  consider the BdG eq. from a **4D point of view** by introducing **4D tensors**
  - the acoustic metric  $g_{\mu\nu}(t, x)$  Unruh '81 (hydrodyn. limit)
  - a unit time-like vector field  $u^\mu(t, x)$  Jacobson '96  
(to implement **locally** dispersion.)
  - extra scalars ...
- make link with **Horava-Jacobson extended Gravity**



# Link with extended Gravity, a side remark

Because phonons

- only see the **macrosc. mean** fields  $c(x)$ ,  $\mathbf{v}(x)$ ,  $\rho_0(x)$ ,
- are **insensitive** to **microsc.** qfts  $g(x)$ ,  $V(x)$  and Q.pot.

one can

- **forget** about the (fundamental) theory of the condensate when **computing the phonon spectrum**.
- → consider the BdG eq. from a **4D point of view** by introducing **4D tensors**
  - the acoustic metric  $g_{\mu\nu}(t, x)$  Unruh '81 (hydrodyn. limit)
  - a unit time-like vector field  $u^\mu(t, x)$  Jacobson '96  
(to implement **locally** dispersion.)
  - extra scalars ...
- make link with **Horava-Jacobson extended Gravity**

# Link with extended Gravity, a side remark

Because phonons

- only see the **macrosc. mean** fields  $c(x)$ ,  $\mathbf{v}(x)$ ,  $\rho_0(x)$ ,
- are **insensitive** to **microsc.** qfts  $g(x)$ ,  $V(x)$  and Q.pot.

one can

- **forget** about the (fundamental) theory of the condensate when **computing the phonon spectrum**.
- $\rightarrow$  consider the BdG eq. from a **4D point of view** by introducing **4D tensors**
  - the acoustic metric  $g_{\mu\nu}(t, x)$  Unruh '81 (hydrodyn. limit)
  - a unit time-like vector field  $u^\mu(t, x)$  Jacobson '96  
(to implement **locally** dispersion.)
  - extra scalars ...
- make link with **Horava-Jacobson extended Gravity**

# Link with extended Gravity, a side remark

Because phonons

- only see the **macrosc. mean** fields  $c(x)$ ,  $\mathbf{v}(x)$ ,  $\rho_0(x)$ ,
- are **insensitive** to **microsc.** qfts  $g(x)$ ,  $V(x)$  and Q.pot.

one can

- **forget** about the (fundamental) theory of the condensate when **computing the phonon spectrum**.
- $\rightarrow$  consider the BdG eq. from a **4D point of view** by introducing **4D tensors**
  - the acoustic metric  $g_{\mu\nu}(t, x)$  Unruh '81 (hydrodyn. limit)
  - a unit time-like vector field  $u^\mu(t, x)$  Jacobson '96  
(to implement **locally dispersion**.)
  - extra scalars ...
- make link with **Horava-Jacobson extended Gravity**

# Link with extended Gravity, a side remark

Because phonons

- only see the **macrosc. mean** fields  $c(x)$ ,  $\mathbf{v}(x)$ ,  $\rho_0(x)$ ,
- are **insensitive** to **microsc.** qfts  $g(x)$ ,  $V(x)$  and Q.pot.

one can

- **forget** about the (fundamental) theory of the condensate when **computing the phonon spectrum**.
- $\rightarrow$  consider the BdG eq. from a **4D point of view** by introducing **4D tensors**
  - the acoustic metric  $g_{\mu\nu}(t, x)$  Unruh '81 (hydrodyn. limit)
  - a unit time-like vector field  $u^\mu(t, x)$  Jacobson '96  
(to implement **locally** dispersion.)
  - extra scalars ...
- make link with **Horava-Jacobson extended Gravity**

# Computing phonon spectra.

Three objectives:

- A. Determine the **structure** of real and complex eigen-frequency modes.
- B. Compute the **discrete set** of complex frequencies.
- C. Understand the **link** between
  - the BH laser spectrum and
  - Hawking radiation.

# Computing phonon spectra.

**Three** objectives:

- A. Determine the **structure** of real and complex eigen-frequency modes.
- B. Compute the **discrete set** of complex frequencies.
- C. Understand the **link** between
  - the BH laser spectrum and
  - Hawking radiation.

# Computing phonon spectra.

- basically **equivalent** to that of a **hermitian scalar field**.
- to handle the **complex** character of  $\hat{\phi}$ , introduce the **doublet**  
(Leonhardt et al. '03)

$$\hat{W} \equiv \begin{pmatrix} \hat{\phi} \\ \hat{\phi}^\dagger \end{pmatrix},$$

**invariant** under the **pseudo-Hermitian conjugation (pH.c.)**

$$\hat{W} = \tilde{W} \equiv \sigma_1 \hat{W}^\dagger.$$

- Thus, the mode decomposition of  $\hat{W}$  is

$$\hat{W} = \sum_n (W_n \hat{a}_n + \bar{W}_n \hat{a}_n^\dagger) = \sum_n (W_n \hat{a}_n + \text{pH.c.}), \quad (3)$$

where  $W_n(t, x)$  are doublets of  $\mathbb{C}$ -functions:  $W_n = \begin{pmatrix} u_n \\ v_n \end{pmatrix}$ .

# Computing phonon spectra.

- basically **equivalent** to that of a **hermitian scalar field**.
- to handle the **complex** character of  $\hat{\phi}$ , introduce the **doublet**  
(Leonhardt et al. '03)

$$\hat{W} \equiv \begin{pmatrix} \hat{\phi} \\ \hat{\phi}^\dagger \end{pmatrix},$$

**invariant** under the **pseudo-Hermitian conjugation (pH.c.)**

$$\hat{W} = \bar{\hat{W}} \equiv \sigma_1 \hat{W}^\dagger.$$

- Thus, the mode decomposition of  $\hat{W}$  is

$$\hat{W} = \sum_n (W_n \hat{a}_n + \bar{W}_n \hat{a}_n^\dagger) = \sum_n (W_n \hat{a}_n + \text{pH.c.}), \quad (3)$$

where  $W_n(t, x)$  are doublets of  $\mathbb{C}$ -functions:  $W_n = \begin{pmatrix} u_n \\ v_n \end{pmatrix}$ .



# Computing phonon spectra.

- basically **equivalent** to that of a **hermitian scalar field**.
- to handle the **complex** character of  $\hat{\phi}$ , introduce the **doublet**  
(Leonhardt et al. '03)

$$\hat{W} \equiv \begin{pmatrix} \hat{\phi} \\ \hat{\phi}^\dagger \end{pmatrix},$$

**invariant** under the **pseudo-Hermitian conjugation (pH.c.)**

$$\hat{W} = \bar{\hat{W}} \equiv \sigma_1 \hat{W}^\dagger.$$

- Thus, the mode decomposition of  $\hat{W}$  is

$$\hat{W} = \sum_n (W_n \hat{a}_n + \bar{W}_n \hat{a}_n^\dagger) = \sum_n (W_n \hat{a}_n + \text{pH.c.}), \quad (3)$$

where  $W_n(t, x)$  are **doublets of  $\mathbb{C}$ -functions**:  $W_n = \begin{pmatrix} u_n \\ v_n \end{pmatrix}$ .

# Computing spectra. *The inner product*

- The **conserved inner product**

$$\langle W_1 | W_2 \rangle \equiv \int dx \rho_0(x) W_1^*(t, x) \sigma_3 W_2(t, x), \quad (4)$$

is **not positive definite** (c.f. the Klein-Gordon product).

- **As usual**, mode orthogonality

$$\langle W_n | W_m \rangle = -\langle \bar{W}_n | \bar{W}_m \rangle = \delta_{nm},$$

and **ETC** imply canonical commutators

$$[\hat{a}_n, \hat{a}_m^\dagger] = \delta_{nm},$$

where

$$\hat{a}_n = \langle W_n | \hat{W} \rangle.$$

# Computing spectra. *The inner product*

- The **conserved inner product**

$$\langle W_1 | W_2 \rangle \equiv \int dx \rho_0(x) W_1^*(t, x) \sigma_3 W_2(t, x), \quad (4)$$

is **not positive definite** (c.f. the Klein-Gordon product).

- **As usual**, mode orthogonality

$$\langle W_n | W_m \rangle = -\langle \bar{W}_n | \bar{W}_m \rangle = \delta_{nm},$$

and **ETC** imply canonical commutators

$$[\hat{a}_n, \hat{a}_m^\dagger] = \delta_{nm},$$

where

$$\hat{a}_n = \langle W_n | \hat{W} \rangle.$$

# Computing spectra. *The inner product*

- The **conserved inner product**

$$\langle W_1 | W_2 \rangle \equiv \int dx \rho_0(x) W_1^*(t, x) \sigma_3 W_2(t, x), \quad (4)$$

is **not positive definite** (c.f. the Klein-Gordon product).

- **As usual**, mode orthogonality

$$\langle W_n | W_m \rangle = -\langle \bar{W}_n | \bar{W}_m \rangle = \delta_{nm},$$

and **ETC** imply canonical commutators

$$[\hat{a}_n, \hat{a}_m^\dagger] = \delta_{nm},$$

where

$$\hat{a}_n = \langle W_n | \hat{W} \rangle.$$

# The notion of **Asympt. Bounded Modes**

For **stationary** condensates with **infinite spatial extension** the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be

**Asymptotically Bounded**: bounded for  $x \rightarrow \pm\infty$ .

- Since the scalar product is **non-positive** def. the frequency  $\lambda$  can be **complex** in **non-homog.** cond.
- Since **Quasi Normal Modes** are **not ABM**, they are **not** in the spectrum.

# The notion of **Asympt. Bounded Modes**

For **stationary** condensates with **infinite spatial extension** the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be

**Asymptotically Bounded**: bounded for  $x \rightarrow \pm\infty$ .

- Since the scalar product is **non-positive** def. the frequency  $\lambda$  can be **complex** in **non-homog.** cond.
- Since **Quasi Normal Modes** are not **ABM**, they are **not** in the spectrum.

# The notion of **Asympt. Bounded Modes**

For **stationary** condensates with **infinite spatial extension**  
the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be

**Asymptotically Bounded**: bounded for  $x \rightarrow \pm\infty$ .

- Since the scalar product is **non-positive** def.  
**the frequency**  $\lambda$  **can** be **complex** in **non-homog.** cond.
- Since **Quasi Normal Modes** are **not ABM**,  
they are **not** in the spectrum.

# The notion of **Asympt. Bounded Modes**

For **stationary** condensates with **infinite spatial extension** the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be

**Asymptotically Bounded**: bounded for  $x \rightarrow \pm\infty$ .

- Since the scalar product is **non-positive** def. the frequency  $\lambda$  can be **complex** in **non-homog.** cond.
- Since **Quasi Normal Modes** are **not ABM**, they are **not** in the spectrum.



# Smooth sonic horizons

- A **sonic horizon** is found at  $c(x) = |v(x)|$ . Take  $v < 0$ .
- If  $c, v$  are **smooth**, near the horizon,

$$c(x) + v(x) \sim \kappa x$$

where  $\kappa = \partial_x(c + v)|_{\text{hor.}}$ , **decay rate**  $\sim$  "surface gravity".

- **Without** dispersion,  $x(t) = x_0 e^{\kappa t}$  and  $p(t) = p_0 e^{-\kappa t}$ :  
**standard** near horiz. behav. ( $p(x)$ : local wave number)  
and **standard** Hawking temperature:  $k_B T_H = \hbar \kappa / 2\pi$ .
- **With** dispersion,  $x(t) \neq x_0 e^{\kappa t}$  **but**  $p(t) = p_0 e^{-\kappa t}$  still found,  
This is the **root** of the **robustness** of the spectrum,

*see RP, 2011 Como School lectures, and PRD 83, 024021 (2012).*

# Smooth sonic horizons

- A **sonic horizon** is found at  $c(x) = |v(x)|$ . Take  $v < 0$ .
- If  $c, v$  are **smooth**, near the horizon,

$$c(x) + v(x) \sim \kappa x$$

where  $\kappa = \partial_x(c + v)|_{\text{hor.}}$ , **decay rate**  $\sim$  "surface gravity".

- **Without** dispersion,  $x(t) = x_0 e^{\kappa t}$  and  $p(t) = p_0 e^{-\kappa t}$ :  
**standard** near horiz. behav. ( $p(x)$ : local wave number)  
and **standard** Hawking temperature:  $k_B T_H = \hbar \kappa / 2\pi$ .
- **With** dispersion,  $x(t) \neq x_0 e^{\kappa t}$  but  $p(t) = p_0 e^{-\kappa t}$  still found,  
This is the **root** of the **robustness** of the spectrum,

*see RP, 2011 Como School lectures, and PRD 83, 024021 (2012).*

# Smooth sonic horizons

- A **sonic horizon** is found at  $c(x) = |v(x)|$ . Take  $v < 0$ .
- If  $c, v$  are **smooth**, near the horizon,

$$c(x) + v(x) \sim \kappa x$$

where  $\kappa = \partial_x(c + v)|_{\text{hor.}}$ , **decay rate**  $\sim$  "surface gravity".

- **Without** dispersion,  $x(t) = x_0 e^{\kappa t}$  and  $p(t) = p_0 e^{-\kappa t}$ :  
**standard** near horiz. behav. ( $p(x)$ : local wave number)  
and **standard** Hawking temperature:  $k_B T_H = \hbar \kappa / 2\pi$ .
- **With** dispersion,  $x(t) \neq x_0 e^{\kappa t}$  but  $p(t) = p_0 e^{-\kappa t}$  still found,  
This is the **root** of the **robustness** of the spectrum,

*see RP, 2011 Como School lectures, and PRD 83, 024021 (2012).*

# Smooth sonic horizons

- A **sonic horizon** is found at  $c(x) = |v(x)|$ . Take  $v < 0$ .
- If  $c, v$  are **smooth**, near the horizon,

$$c(x) + v(x) \sim \kappa x$$

where  $\kappa = \partial_x(c + v)|_{\text{hor.}}$ , **decay rate**  $\sim$  "surface gravity".

- **Without** dispersion,  $x(t) = x_0 e^{\kappa t}$  and  $p(t) = p_0 e^{-\kappa t}$ :  
**standard** near horiz. behav. ( $p(x)$ : local wave number)  
and **standard** Hawking temperature:  $k_B T_H = \hbar \kappa / 2\pi$ .
- **With** dispersion,  $x(t) \neq x_0 e^{\kappa t}$  but  $p(t) = p_0 e^{-\kappa t}$  still found,  
This is the **root** of the **robustness** of the spectrum,

*see RP, 2011 Como School lectures, and PRD 83, 024021 (2012).*

# Smooth sonic horizons

- A **sonic horizon** is found at  $c(x) = |v(x)|$ . Take  $v < 0$ .
- If  $c, v$  are **smooth**, near the horizon,

$$c(x) + v(x) \sim \kappa x$$

where  $\kappa = \partial_x(c + v)|_{\text{hor.}}$ , **decay rate**  $\sim$  "surface gravity".

- **Without** dispersion,  $x(t) = x_0 e^{\kappa t}$  and  $p(t) = p_0 e^{-\kappa t}$ :  
**standard** near horiz. behav. ( $p(x)$ : local wave number)  
and **standard** Hawking temperature:  $k_B T_H = \hbar \kappa / 2\pi$ .
- **With** dispersion,  $x(t) \neq x_0 e^{\kappa t}$  **but**  $p(t) = p_0 e^{-\kappa t}$  still found,  
This is the **root** of the **robustness** of the spectrum,

*see RP, 2011 Como School lectures, and PRD 83, 024021 (2012).*

# Smooth sonic horizons

- A **sonic horizon** is found at  $c(x) = |v(x)|$ . Take  $v < 0$ .
- If  $c, v$  are **smooth**, near the horizon,

$$c(x) + v(x) \sim \kappa x$$

where  $\kappa = \partial_x(c + v)|_{\text{hor.}}$ , **decay rate**  $\sim$  "surface gravity".

- **Without** dispersion,  $x(t) = x_0 e^{\kappa t}$  and  $p(t) = p_0 e^{-\kappa t}$ :  
**standard** near horiz. behav. ( $p(x)$ : local wave number)  
and **standard** Hawking temperature:  $k_B T_H = \hbar \kappa / 2\pi$ .
- **With** dispersion,  $x(t) \neq x_0 e^{\kappa t}$  **but**  $p(t) = p_0 e^{-\kappa t}$  still found,  
This is the **root** of the **robustness** of the spectrum,

*see RP, 2011 Como School lectures, and PRD 83, 024021 (2012).*

# Smooth sonic horizons

Our **globally defined** flow is

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa x}{c_H D}\right),$$

where  $D$  fixes the asymp. value of  $c + v = \pm c_H D$

- **Without** dispersion,  $D$  plays **no** spectral role
- **With** dispersion,  $D$  is **highly relevant**.  
E.g., it fixes the **cut-off frequency**

$$\omega_{\max} \sim \frac{c_H}{\xi} D^{3/2}.$$

where  $\xi$  is the healing length.

- **In opt. fibers**,  $D \leq 10^{-3}$  !

# Smooth sonic horizons

Our **globally defined** flow is

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa x}{c_H D}\right),$$

where  $D$  fixes the asymp. value of  $c + v = \pm c_H D$

- **Without** dispersion,  $D$  plays **no** spectral role
- **With** dispersion,  $D$  is **highly relevant**.  
E.g., it fixes the **cut-off frequency**

$$\omega_{\max} \sim \frac{c_H}{\xi} D^{3/2}.$$

where  $\xi$  is the healing length.

- **In opt. fibers**,  $D \leq 10^{-3}$  !



# Smooth sonic horizons

Our **globally defined** flow is

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa x}{c_H D}\right),$$

where  $D$  fixes the asymp. value of  $c + v = \pm c_H D$

- **Without** dispersion,  $D$  plays **no** spectral role
- **With** dispersion,  $D$  is **highly relevant**.  
E.g., it fixes the **cut-off frequency**

$$\omega_{\max} \sim \frac{c_H}{\xi} D^{3/2}.$$

where  $\xi$  is the healing length.

- **In opt. fibers**,  $D \leq 10^{-3}$  !

# Smooth sonic horizons

Our **globally defined** flow is

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa x}{c_H D}\right),$$

where  $D$  fixes the asymp. value of  $c + v = \pm c_H D$

- **Without** dispersion,  $D$  plays **no** spectral role
- **With** dispersion,  $D$  is **highly relevant**.  
E.g., it fixes the **cut-off frequency**

$$\omega_{\max} \sim \frac{c_H}{\xi} D^{3/2}.$$

where  $\xi$  is the healing length.

- **In opt. fibers,  $D \leq 10^{-3}$  !**

# Spectrum of $W_n$ for **one** B/W sonic horizon

The **complete set of modes** is Macher-RP 2009  
a **continuous** set of **real frequency** modes which contains

- for  $\omega > \omega_{\max}$ , **two positive** norm modes, as in flat space,  $W_{\omega}^u, W_{\omega}^v$ , which resp. describe right/left moving phonons,
- for  $0 < \omega < \omega_{\max}$ , **three** modes: 2 **positive** norm  $W_{\omega}^u, W_{\omega}^v$  + 1 **negative** norm mode  $\bar{W}_{-\omega}^u$ .
- The **threshold** freq.  $\omega_{\max}$  scales  $1/\text{healing length} = mc/\hbar$ .

# Spectrum of $W_n$ for **one** B/W sonic horizon

## Lessons:

- There are **no complex** freq. **ABM**,
- **Same spectrum** for **White Holes** and **Black Holes**, because **invariant** under  $v \rightarrow -v$ .
- Hence **White Hole** flows are **dyn. stable**, as **BH** ones.
- Yet, WH flows display specific features:  
"undulations" or "hydraulic jumps"  
(zero freq. modes with macroscopic amplitudes)

Mayorai et al. and Vancouver experiment 2010

# Spectrum of $W_n$ for **one** B/W sonic horizon

## Lessons:

- There are **no complex** freq. **ABM**,
- **Same spectrum** for **White Holes** and **Black Holes**, because **invariant** under  $v \rightarrow -v$ .
- Hence **White Hole** flows are **dyn. stable**, as **BH** ones.
- Yet, WH flows display specific features:  
**"undulations"** or "hydraulic jumps"  
(zero freq. modes with macroscopic amplitudes)

Mayoral et al. and Vancouver experiment 2010

# The scattering of *in*-modes

- For  $\omega > \omega_{\max}$ , there is an **elastic** scattering:

$$W_{\omega}^{u, in} = T_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out}, \quad \text{with,} \\ |T_{\omega}|^2 + |R_{\omega}|^2 = 1.$$

- For  $0 < \omega < \omega_{\max}$ , there is a  $3 \times 3$  matrix, e.g.

$$W_{\omega}^{u, in} = \alpha_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out} + \beta_{\omega} \bar{W}_{-\omega}^{u, out}, \quad (6)$$

with

$$|\alpha_{\omega}|^2 + |R_{\omega}|^2 - |\beta_{\omega}|^2 = 1.$$

- The  $\beta$  coefficients describe a **super-radiance**, hence a **vacuum instability** in QM, i.e. the **spontaneous** sonic B/W hole radiation.

# The scattering of *in*-modes

- For  $\omega > \omega_{\max}$ , there is an **elastic** scattering:

$$W_{\omega}^{u, in} = T_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out}, \quad \text{with,} \\ |T_{\omega}|^2 + |R_{\omega}|^2 = 1.$$

- For  $0 < \omega < \omega_{\max}$ , there is a **3×3** matrix, e.g.

$$W_{\omega}^{u, in} = \alpha_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out} + \beta_{\omega} \bar{W}_{-\omega}^{u, out}, \quad (6)$$

with

$$|\alpha_{\omega}|^2 + |R_{\omega}|^2 - |\beta_{\omega}|^2 = 1.$$

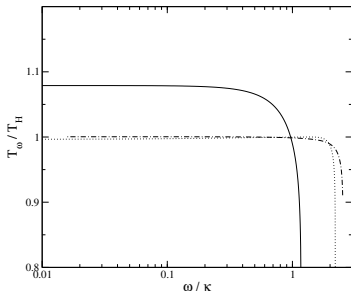
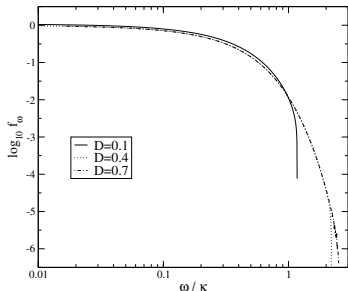
- The  $\beta$  coefficients describe a **super-radiance**, hence a **vacuum instability** in QM, i.e. the **spontaneous** sonic B/W hole radiation.

# The properties of the BH radiation

For  $\omega_{\max} \geq 2\kappa$ , the energy spectrum  $f_\omega = \omega |\beta_\omega|^2$  is JM-RP '09

- **accurately** Planckian (up to  $\omega_{\max}$ ) and
- with a temperature  $\kappa/2\pi = T_{\text{Hawking}}$ , ( $f_\omega = \omega / (e^{\omega/T_\omega} - 1)$ ),

*exactly* as **predicted** by the **gravitational analogy**.



Spectra obtained from the BdG eq. **only**.

Determine the **validity domain** of the **Unruh analogy**.

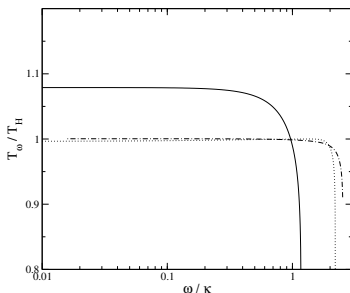
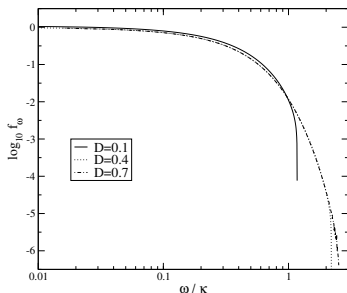


# The properties of the BH radiation

For  $\omega_{\max} \geq 2\kappa$ , the energy spectrum  $f_\omega = \omega |\beta_\omega|^2$  is JM-RP '09

- **accurately** Planckian (up to  $\omega_{\max}$ ) and
- with a temperature  $\kappa/2\pi = T_{\text{Hawking}}$ , ( $f_\omega = \omega / (e^{\omega/T_\omega} - 1)$ ),

*exactly* as **predicted** by the **gravitational analogy**.



Spectra obtained from the BdG eq. **only**.

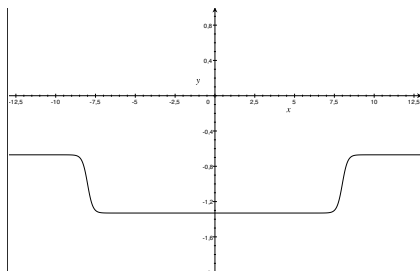
Determine the **validity domain** of the **Unruh analogy**.

# Stationary profiles with 2 sonic horizons

For **two** horizons:

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa_W(x+L)}{c_H D}\right) \tanh\left(\frac{\kappa_B(x-L)}{c_H D}\right).$$

The distance between the 2 hor. is  $2L$ .



# Black hole lasers in BEC, *former works*

- studied in terms of **time-dep. wave-packets**, both by Corley & Jacobson in '99, and Leonhardt & Philbin in '08.
- instead, in what follows, a **spectral analysis** of **stationary modes**.
- see also  
Garay et al. PRL 85 and PRA 63 (2000/1), [BH/WH flows in BEC](#)  
Barcelo et al. PRD 74 (2006), [Dynam. stability analysis](#)  
and Jain et al. PRA 76 (2007). [Quantum De Laval nozzle](#)

# Spectrum of $W_n$ for 2 sonic horizons

The *complete* set of modes contains AC+RP 2010

- a **continuous** spectrum of **real** freq. modes  $W_\omega^u, W_\omega^v$  with  $0 < \omega < \infty$ , with **positive norm only**, and of dim. **2**.
- a **discrete** set of **pairs** of **complex** freq. modes  $(V_a, Z_a)$  with cc freq.  $(\lambda_a, \lambda_a^*)$ , with  $\Re \lambda_a \leq \omega_{\max}$  and  $a = 1, \dots, N < \infty$ .

**N.B.** **Negative norm** modes  $\bar{W}_{-\omega}$  are **no longer** in the spectrum; hence there is **no** Bogoliubov transformation in the present case.

The field operator thus reads

$$\hat{W} = \int_0^\infty d\omega \sum_{\alpha=U,V} \left[ e^{-i\omega t} W_\omega^\alpha(x) \hat{a}_\omega^\alpha + p.H.c. \right] + \sum_a \left[ e^{-i\lambda_a t} V_a(x) \hat{b}_a + e^{-i\lambda_a^* t} Z_a(x) \hat{c}_a + p.H.c. \right]. \quad (7)$$

# Spectrum of $W_n$ for 2 sonic horizons

The *complete* set of modes contains AC+RP 2010

- a **continuous** spectrum of **real** freq. modes  $W_\omega^u, W_\omega^v$  with  $0 < \omega < \infty$ , with **positive norm only**, and of dim. **2**.
- a **discrete** set of **pairs** of **complex** freq. modes  $(V_a, Z_a)$  with cc freq.  $(\lambda_a, \lambda_a^*)$ , with  $\Re \lambda_a \leq \omega_{\max}$  and  $a = 1, \dots, N < \infty$ .

**N.B. Negative norm** modes  $\bar{W}_{-\omega}$  are **no longer** in the spectrum; hence there is **no** Bogoliubov transformation in the present case.

The field operator thus reads

$$\hat{W} = \int_0^\infty d\omega \sum_{\alpha=U,V} \left[ e^{-i\omega t} W_\omega^\alpha(x) \hat{a}_\omega^\alpha + p.H.c. \right] + \sum_a \left[ e^{-i\lambda_a t} V_a(x) \hat{b}_a + e^{-i\lambda_a^* t} Z_a(x) \hat{c}_a + p.H.c. \right]. \quad (7)$$

# Spectrum of $W_n$ for 2 sonic horizons

The *complete* set of modes contains AC+RP 2010

- a **continuous** spectrum of **real** freq. modes  $W_\omega^u, W_\omega^v$  with  $0 < \omega < \infty$ , with **positive norm only**, and of dim. **2**.
- a **discrete** set of **pairs** of **complex** freq. modes  $(V_a, Z_a)$  with cc freq.  $(\lambda_a, \lambda_a^*)$ , with  $\Re \lambda_a \leq \omega_{\max}$  and  $a = 1, \dots, N < \infty$ .

**N.B. Negative norm** modes  $\bar{W}_{-\omega}$  are **no longer** in the spectrum; hence there is **no** Bogoliubov transformation in the present case.

The field operator thus reads

$$\hat{W} = \int_0^\infty d\omega \sum_{\alpha=U,V} \left[ e^{-i\omega t} W_\omega^\alpha(x) \hat{a}_\omega^\alpha + p.H.c. \right] + \sum_a \left[ e^{-i\lambda_a t} V_a(x) \hat{b}_a + e^{-i\lambda_a^* t} Z_a(x) \hat{c}_a + p.H.c. \right]. \quad (7)$$

# Norms and commutators

- The **real** freq., the modes  $W_\omega^\alpha$  and operators  $\hat{a}_\omega^\alpha$  obey

$$\langle W_\omega^\alpha | W_{\omega'}^{\alpha'} \rangle = \delta(\omega - \omega') \delta_{\alpha\alpha'} = -\langle \bar{W}_\omega^\alpha | \bar{W}_{\omega'}^{\alpha'} \rangle$$

and

$$[\hat{a}_\omega^\alpha, \hat{a}_{\omega'}^{\alpha'\dagger}] = \delta(\omega - \omega') \delta_{\alpha\alpha'}.$$

- Instead for **complex frequency**  $\lambda_a$ , one has

$$\langle V_a | V_{a'} \rangle = 0 = \langle Z_a | Z_{a'} \rangle, \quad \langle V_a | Z_{a'} \rangle = i\delta_{aa'}, \quad (8)$$

and

$$[\hat{b}_a, \hat{b}_{a'}^\dagger] = 0, \quad [\hat{b}_a, \hat{c}_{a'}^\dagger] = i\delta_{aa'}. \quad (9)$$

# The two-mode sectors with complex freq. $\lambda_a$

Each pair  $(\hat{b}_a, \hat{c}_a)$  **always** describes **one** complex, rotating, unstable oscillator:

- Its (Hermitian) Hamiltonian is

$$\hat{H}_a = -i\lambda_a \hat{c}_a^\dagger \hat{b}_a + H.c. \quad (10)$$

- Writing

$$\lambda_a = \omega_a + i\Gamma_a,$$

with  $\omega_a, \Gamma_a$  real  $> 0$ ,

$\Re\lambda_a = \omega_a$  fixes the angular velocity,

$\Im\lambda_a = \Gamma_a$  fixes the **growth rate**.



# Computing the discrete spectrum of ABM

The method:

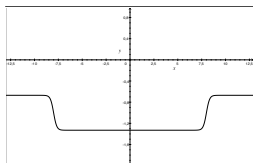
- **A.** use WKB waves to
  - 1. **decompose** the exact modes,
  - 2. obtain **algebraic relations** (valid **beyond WKB**) between the  $\mathbb{R}$  freq.  $W_\omega$  and the  $\mathbb{C}$  freq.  $V_a, Z_a$
- **B.** a numerical analysis to validate the predictions.

# Computing the discrete spectrum of ABM

The method:

- **A.** use WKB waves to
  - 1. **decompose** the exact modes,
  - 2. obtain **algebraic relations** (valid **beyond WKB**) between the  $\mathbb{R}$  freq.  $W_\omega$  and the  $\mathbb{C}$  freq.  $V_a, Z_a$
- **B.** a numerical analysis to validate the predictions.

# The scattering of real freq. $U$ -mode



- On the **left** of the White hor.  $W_{\omega}^{u, in} \rightarrow W_{\omega}^u$ , the WKB sol.
- **Between** the two horizons, **for**  $\omega < \omega_{\max}$ ,

$$W_{\omega}^{u, in}(x) = \mathcal{A}_{\omega} W_{\omega}^u(x) + \mathcal{B}_{\omega}^{(1)} \bar{W}_{-\omega}^{(1)}(x) + \mathcal{B}_{\omega}^{(2)} \bar{W}_{-\omega}^{(2)}(x), \quad (11)$$

- On the **right** of the Black horizon,  $W_{\omega}^{u, in} \rightarrow e^{i\theta_{\omega}} W_{\omega}^u$ .
- **Negative norm/freq** WKB modes  $\bar{W}_{-\omega}^{(i)}$  in (11).  
Hence "*anomalous scattering*" ( $\sim$  Bogoliubov transf.).
- **fully described** by the csts.  $\mathcal{A}_{\omega}, \mathcal{B}_{\omega}^{(1)}, \mathcal{B}_{\omega}^{(2)}$  and  $\theta_{\omega}$ .

# Relating $\mathcal{A}_\omega, \mathcal{B}_\omega^{(1)}, \mathcal{B}_\omega^{(2)}$ and $\theta_\omega$ to BH and WH Bogoliubov trsfs.

- algebraically achieved by introd. a 2-vector  $(W_\omega^u, \bar{W}_{-\omega})$ , on which acts a  $2 \times 2$  **S-matrix** Leonhardt 2008
- this S-matrix can be decomposed as

$$S = U_4 U_3 U_2 U_1.$$

where

- $U_1$  describes the **scattering** on the **WH horizon**.
- $U_2$  the **propagation from** the WH to the BH
- $U_3$  the **scattering** on the **BH horizon**.
- $U_4$  the **escape** to the right of  $W_\omega^u$  and the **return** of  $\bar{W}_{-\omega}^{(2)}$  to the WH horizon.

# Relating $\mathcal{A}_\omega, \mathcal{B}_\omega^{(1)}, \mathcal{B}_\omega^{(2)}$ and $\theta_\omega$ to BH and WH Bogoliubov trsfs.

- algebraically achieved by introd. a 2-vector  $(W_\omega^u, \bar{W}_{-\omega})$ , on which acts a  $2 \times 2$  **S-matrix** Leonhardt 2008
- this S-matrix can be decomposed as

$$S = U_4 U_3 U_2 U_1.$$

where

- $U_1$  describes the **scattering** on the **WH horizon**.
- $U_2$  the **propagation from** the WH to the BH
- $U_3$  the **scattering** on the **BH horizon**.
- $U_4$  the **escape** to the right of  $W_\omega^u$  and the **return** of  $\bar{W}_{-\omega}^{(2)}$  to the WH horizon.

# The four $U$ matrices, (Leonhardt et al.)

Explicitly,

$$U_1 = S_{WH} = \begin{pmatrix} \alpha_\omega & \alpha_\omega z_\omega \\ \tilde{\alpha}_\omega z_\omega^* & \tilde{\alpha}_\omega \end{pmatrix}, \quad U_2 = \begin{pmatrix} e^{iS_\omega^u} & 0 \\ 0 & e^{-iS_{-\omega}^{(1)}} \end{pmatrix},$$
$$U_3 = S_{BH} = \begin{pmatrix} \gamma_\omega & \gamma_\omega \mathbf{w}_\omega \\ \tilde{\gamma}_\omega \mathbf{w}_\omega^* & \tilde{\gamma}_\omega \end{pmatrix}, \quad U_4 = \begin{pmatrix} 1 & 0 \\ 0 & e^{iS_{-\omega}^{(2)}} \end{pmatrix},$$

where

$$S_\omega^u \equiv \int_{-L}^L dx k_\omega^u(x), \quad S_{-\omega}^{(i)} \equiv \int_{-L_\omega}^{R_\omega} dx [-k_\omega^{(i)}(x)], \quad i = 1, 2,$$

are H-Jacobi actions, and  $L_\omega$  and  $R_\omega$  are the two turning points. By unitarity, one has  $|\alpha_\omega|^2 = |\tilde{\alpha}_\omega|^2$ ,  $|\alpha_\omega|^2 = 1/(1 - |z_\omega|^2)$ .

# The real freq. mode

The mode  $W_\omega^{u,in}(x)$  **must be single-valued.**

Hence the coeff.  $B_\omega^{(2)}$  of the **trapped** piece

$$W_\omega^{u,in} = \mathcal{A}_\omega W_\omega^u + B_\omega^{(1)} \bar{W}_{-\omega}^{(1)} + B_\omega^{(2)} \bar{W}_{-\omega}^{(2)}$$

must obey

$$\begin{pmatrix} e^{i\theta_\omega} \\ B_\omega^{(2)} \end{pmatrix} = S \begin{pmatrix} 1 \\ B_\omega^{(2)} \end{pmatrix},$$

which implies

$$B_\omega^{(2)} = \frac{S_{21}(\omega)}{1 - S_{22}(\omega)}. \quad (12)$$

**The first key equation.** (Valid beyond WKB.)

# The real freq. mode

The mode  $W_\omega^{u, in}(x)$  **must be single-valued.**

Hence the coeff.  $B_\omega^{(2)}$  of the **trapped** piece

$$W_\omega^{u, in} = \mathcal{A}_\omega W_\omega^u + B_\omega^{(1)} \bar{W}_{-\omega}^{(1)} + B_\omega^{(2)} \bar{W}_{-\omega}^{(2)}$$

must obey

$$\begin{pmatrix} e^{i\theta_\omega} \\ B_\omega^{(2)} \end{pmatrix} = S \begin{pmatrix} 1 \\ B_\omega^{(2)} \end{pmatrix},$$

which implies

$$B_\omega^{(2)} = \frac{S_{21}(\omega)}{1 - S_{22}(\omega)}. \quad (12)$$

**The first key equation.** (Valid beyond WKB.)



# The complex frequency ABModes

When  $\text{Im } \lambda = \Gamma > 0$ ,  $\rightarrow \text{Im } k_\lambda^u > 0$ , hence **growth** for  $x \rightarrow -\infty$ .  
So any **single-valued ABMode** must satisfy

$$\begin{pmatrix} \beta_a(\lambda) \\ 1 \end{pmatrix} = \mathcal{S}(\lambda) \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (13)$$

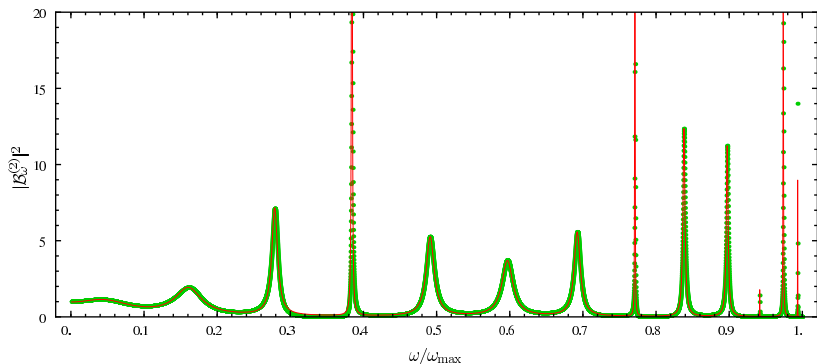
This implies

$$S_{22}(\lambda) = 1, \quad \beta_a = S_{12}(\lambda). \quad (14)$$

## Second key result:

The **poles** of  $\mathcal{B}_\omega^{(2)} = S_{21}/(1 - S_{22})$  correspond to the **complex freq.**  $\lambda_a$ .

# $|\mathcal{B}_\omega^{(2)}|^2$ as a function of $\omega$ real



- Green dots are **numerical values**, the continuous red line is a sum of Lorentzians.
- Near a complex frequency  $\lambda_a$ , solution of  $S_{22} = 1$ ,  $|\mathcal{B}_\omega^{(2)}|^2 \sim C_a / |\omega - \omega_a - i\Gamma_a|^2$ , i.e. a Lorentzian.
- Above  $\omega_{\max}$  no peaks, because no neg. norm WKB mode.

# Computing the complex freq. $\lambda_a = \omega_a + i\Gamma_a$ .

- The  $\lambda_a$ 's, are fixed by the cond. **ABM + single-valued**.  
**Both conditions encoded in  $S_{22} = 1$ .**
- When the **leaking-out amplitudes** are **small**,  
 $|z_\omega|, |w_\omega| = |\beta_\omega/\alpha_\omega| \ll 1$ ,  
the supersonic region acts as a **cavity**:
- To **zeroth order** in  $z_\omega, w_\omega$ ,  **$S_{22} = 1$**  fixes  
 $\Re\lambda_a = \omega_a$  by a **Bohr-Sommerfeld** condition

$$S_{-\omega}^{(1)} - S_{-\omega}^{(2)} + \pi = \int_{-L}^L dx [-k_\omega^{(1)}(x) + k_\omega^{(2)}(x)] + \pi = 2\pi n,$$

where  $n = 1, 2, \dots, N$ .

This explains the **discreteness** of the set.

# Computing the complex freq. $\lambda_a = \omega_a + i\Gamma_a$ .

To **second order** in  $z_\omega, w_\omega$ ,  $S_{22} = 1$  fixes  $Im \lambda_a = \Gamma_a$  to be

$$2\Gamma_a T_{\omega_a}^b = |S_{12}(\omega_a)|^2 = |z_{\omega_a} + w_{\omega_a} e^{i\psi_a}|^2 \quad (15)$$

- $T_{\omega_a}^b > 0$  is the **bounce time**, given by

$$T_\omega^b = \frac{\partial}{\partial \omega} \left( S_{-\omega}^{(2)} - S_{-\omega}^{(1)} + \text{"non HJ terms"} \right) \quad (16)$$

- The **phase** in the cosine is

$$\psi_a = S_{\omega_a}^u + S_{-\omega_a}^{(1)} + \text{other "non HJ terms"}$$

# Computing the complex freq. $\lambda_a = \omega_a + i\Gamma_a$ .

To **second order** in  $z_\omega, w_\omega$ ,  $S_{22} = 1$  fixes  $Im \lambda_a = \Gamma_a$  to be

$$2\Gamma_a T_{\omega_a}^b = |S_{12}(\omega_a)|^2 = |z_{\omega_a} + w_{\omega_a} e^{i\psi_a}|^2 \quad (15)$$

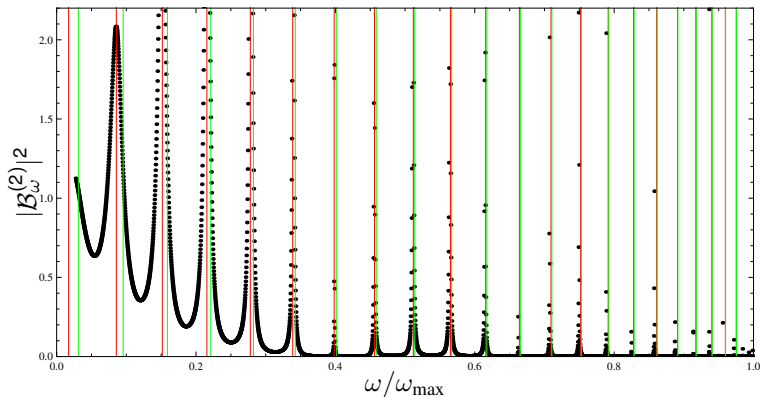
- $T_{\omega_a}^b > 0$  is the **bounce time**, given by

$$T_\omega^b = \frac{\partial}{\partial \omega} \left( S_{-\omega}^{(2)} - S_{-\omega}^{(1)} + \text{"non HJ terms"} \right) \quad (16)$$

- The **phase** in the cosine is

$$\psi_a = S_{\omega_a}^u + S_{-\omega_a}^{(1)} + \text{other "non HJ terms"}$$

# Validity of theoretical predictions

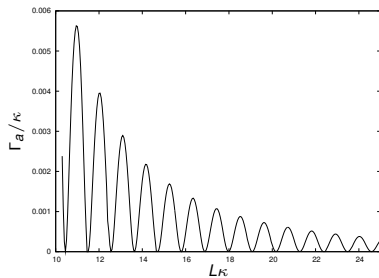
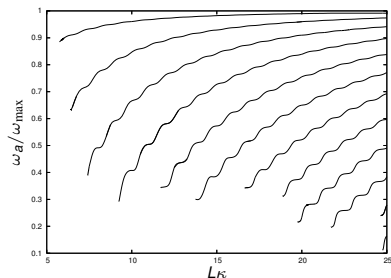


Dots are **numerical values**.

The **22 red** lines are the **theo. predictions**.

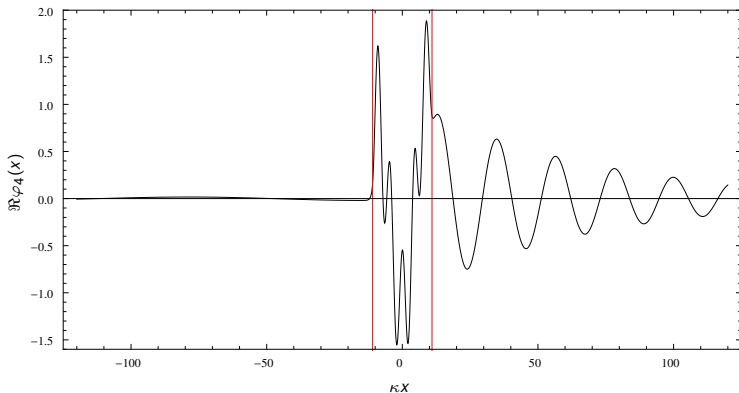
**Excellent agreement** because  $\Gamma_a/\omega_a \ll 1$ .

# The evolution of $\omega_a$ and $\Gamma_a$ in terms of $L$ .



- **New** bounded modes appear as  $L$  grows.
- The  $\Gamma_a$  reach their maximal value for  $\omega_a/\omega_{\max} \ll 1$ .
- $\Gamma_a$  reach 0 because of (Young) interferences. The destruction is imperfect when  $z_\omega \neq w_\omega$ .
- No bounded mode is destroyed as  $L$  grows.

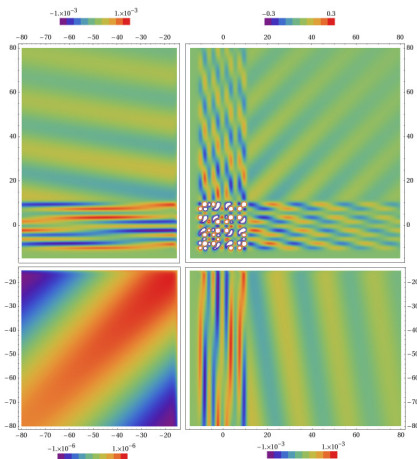
# A typical growing mode with a high $\Gamma_a$ ( $\Gamma/\omega \sim 1/20$ )



- Highest amplitudes in the trapped region.
- Exponential decrease on the Right of the BH horizon.  
The **spatial** damping is proportional to the **rate**  $\Gamma_a = \text{Im}\lambda_a$ .



# The pattern of density-density fluctuations $\langle \delta\rho\delta\rho \rangle$



Different scales are used, the central square is the trapped region.

# Physical predictions

- At **late times** w.r.t. the **formation** of the BH-WH, i.e. **times**  $\gg 1 / \text{Max} \Gamma_a$ , the mode with the highest  $\Gamma_a$  dominates **all observables**.  
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, **if** the **in-state** is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At **"early" times**, i.e.  $\Delta t \leq T^{\text{Bounce}}$   
**Hawking radiation** as if the **WH were not present**.  
the **discreteness** of the  $\lambda_a$ -set is not yet visible,  
the **resolution in  $\omega$**  being too small.

# Physical predictions

- At **late times** w.r.t. the **formation** of the BH-WH, i.e.  $\text{times} \gg 1/\text{Max}\Gamma_a$ , the mode with the highest  $\Gamma_a$  dominates **all observables**.  
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, if the *in-state* is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At "**early**" times, i.e.  $\Delta t \leq T^{\text{Bounce}}$   
**Hawking radiation** as if the **WH were not present**.  
the **discreteness** of the  $\lambda_a$ -set is not yet visible,  
the **resolution in  $\omega$**  being too small.

# Physical predictions

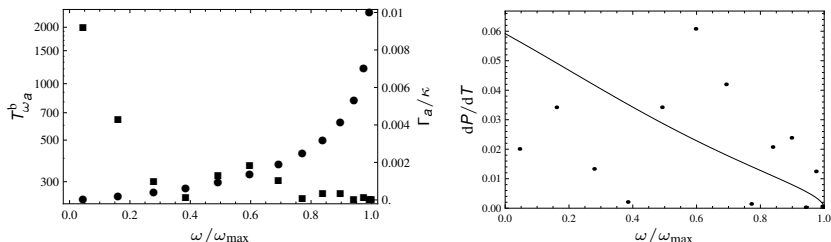
- At **late times** w.r.t. the **formation** of the BH-WH, i.e.  $\text{times} \gg 1/\text{Max}\Gamma_a$ , the mode with the highest  $\Gamma_a$  dominates **all observables**.  
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, **if** the **in-state** is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At "**early**" times, i.e.  $\Delta t \leq T^{\text{Bounce}}$   
**Hawking radiation** as if the **WH were not present**.  
the **discreteness** of the  $\lambda_a$ -set is not yet visible,  
the **resolution in  $\omega$**  being too small.

# Physical predictions

- At **late times** w.r.t. the **formation** of the BH-WH, i.e.  $\text{times} \gg 1/\text{Max}\Gamma_a$ , the mode with the highest  $\Gamma_a$  dominates **all observables**.  
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, **if** the ***in-state*** is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At **"early" times**, i.e.  $\Delta t \leq T^{\text{Bounce}}$  **Hawking radiation** as if the **WH were not present**.  
the **discreteness** of the  $\lambda_a$ -set is not yet visible,  
the **resolution in  $\omega$**  being too small.

# The quantum flux emitted by a BH-WH system, 1

1. A BH-WH system with **13** complex freq. modes.



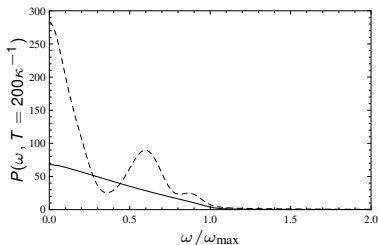
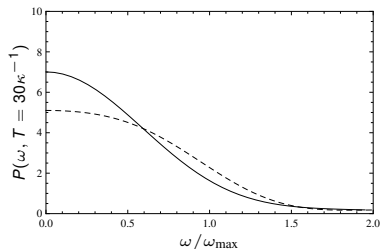
Left: The **13** values of  $T_a^{\text{Bounce}}$  (dots) and  $\Gamma_a$  (squares)

Right: The **continuous** spectrum obtained **without the WH** vs. the corresponding **discrete** quantity for the **BH-WH** pair.

**Very different spectra in  $\omega$ -space.**

# The flux emitted by a BH-WH system, 2

Fluxes emitted **after a finite lapse of time**  
by a **single BH** (solid line) and the **BH-WH pair** (dashed).

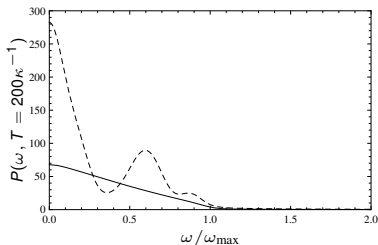
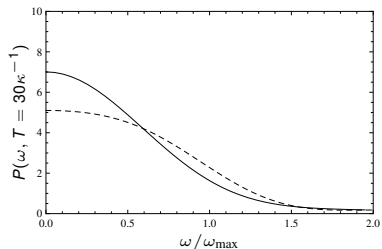


**Left:** after  $\Delta t = 30/\kappa$ , **no** sign yet of discreteness **nor** instab.  
**the BH-WH pair emits Hawking-like radiation.**

**Right:** after  $\Delta t = 200/\kappa$ , **discreteness** and **instab.** visible.

# The flux emitted by a BH-WH system, 2

Fluxes emitted **after a finite lapse of time**  
by a **single BH** (solid line) and the **BH-WH pair** (dashed).

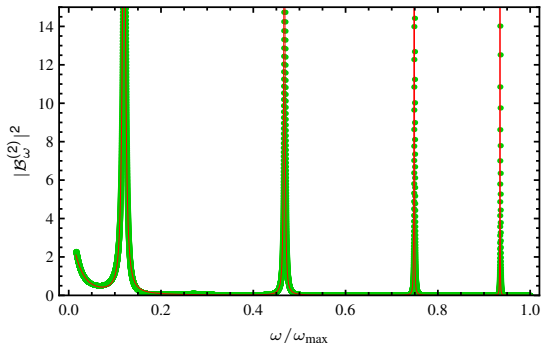


**Left:** after  $\Delta t = 30/\kappa$ , **no** sign yet of discreteness **nor** instab.  
**the BH-WH pair emits Hawking-like radiation.**

**Right:** after  $\Delta t = 200/\kappa$ , **discreteness** and **instab.** visible.



# The Technion BH-WH, June 2009, preliminary results



About 4 **narrow** unstable modes.

Experiment too **short** by a factor of 10 to see the laser effect.

Probably **more** than 4 complex freq. modes.

# The limit $L \rightarrow 0$

When the distance  $2L$  suff. **small**,  
i.e. smaller than a *composite* critical scale

$$d_\xi = \xi^{2/3} (c_H/\kappa)^{1/3},$$

- **no** complex freq. modes, hence **no** dyn. instability,
  - **no** radiation emitted, even though  $\kappa \neq 0$ ,
  - **no** entanglement entropy.
- **Useful** limit to control the degree of instability in experiments ?

# The limit $L \rightarrow 0$

When the distance  $2L$  suff. **small**,  
i.e. smaller than a *composite* critical scale

$$d_\xi = \xi^{2/3} (c_H/\kappa)^{1/3},$$

- **no** complex freq. modes, hence **no** dyn. instability,
- **no** radiation emitted, even though  $\kappa \neq 0$ ,
- **no** entanglement entropy.
  
- **Useful** limit to control the degree of instability in **experiments** ?

# Classical terms: **Induced** instability

- When sending a **classical wave**  $W_{in}(t, x)$ , this **induces** the instability.
- N.B. It does it through the overlaps with the **decaying** modes  $Z_a$

$$b_a \equiv \langle Z_a | W_{in} \rangle \quad (17)$$

which fix the amplitude of the **growing** mode  $V_a$  :

$$W_{in}(t, x) \rightarrow \sum_a \left[ e^{-i\lambda_a t} b_a V_a(x) + p.H.c. \right]. \quad (18)$$

# Classical terms: **Induced** instability

- When sending a **classical wave**  $W_{in}(t, x)$ , this **induces** the instability.
- N.B. It does it through the overlaps with the **decaying** modes  $Z_a$

$$b_a \equiv \langle Z_a | W_{in} \rangle \quad (17)$$

which fix the amplitude of the **growing** mode  $V_a$  :

$$W_{in}(t, x) \rightarrow \sum_a \left[ e^{-i\lambda_a t} b_a V_a(x) + p.H.c. \right]. \quad (18)$$

# Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
  - is **continuous**, and
  - contains **real** freq., of **both signs** for  $\omega < \omega_{\max}$ .
  - emitted flux is  $\sim$  Hawking radiation when  $\omega_{\max} > 3\kappa$ .
- In flows with **a pair** of BH-WH horizons, one has
  - a **continuous** spectrum of **real** and **positive** freq., and
  - a **discrete** set of pair of **complex** freq., with  $Re \lambda_a < \omega_{\max}$ .
  - At **late time**, the mode with highest  $\Gamma_a$  dominates all obs.
  - At **early time**, BH-WH flux **as that** from the sole BH.
- When  $L\kappa$  suff. **small**,  
**no** complex freq. modes, hence **no** dyn. instability,  
**No** radiation emitted, even though  $\kappa \neq 0$ ,  
**No** entanglement entropy.

# Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
  - is **continuous**, and
  - contains **real** freq., of **both signs** for  $\omega < \omega_{\max}$ .
  - emitted flux is  $\sim$  Hawking radiation when  $\omega_{\max} > 3\kappa$ .
- In flows with **a pair** of BH-WH horizons, one has
  - a **continuous** spectrum of **real** and **positive** freq., and
  - a **discrete** set of pair of **complex** freq., with  $Re \lambda_a < \omega_{\max}$ .
    - At **late time**, the mode with highest  $\Gamma_a$  dominates all obs.
    - At **early time**, BH-WH flux **as that** from the sole BH.
- When  $L\kappa$  suff. **small**,  
**no** complex freq. modes, hence **no** dyn. instability,  
**No** radiation emitted, even though  $\kappa \neq 0$ ,  
**No** entanglement entropy.

# Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
  - is **continuous**, and
  - contains **real** freq., of **both signs** for  $\omega < \omega_{\max}$ .
  - emitted flux is  $\sim$  Hawking radiation when  $\omega_{\max} > 3\kappa$ .
- In flows with **a pair** of BH-WH horizons, one has
  - a **continuous** spectrum of **real** and **positive** freq., and
  - a **discrete** set of pair of **complex** freq., with  $Re \lambda_a < \omega_{\max}$ .
  - At **late time**, the mode with highest  $\Gamma_a$  dominates all obs.
  - At **early time**, BH-WH flux **as that** from the sole BH.
- When  $L\kappa$  suff. **small**,  
**no** complex freq. modes, hence **no** dyn. instability,  
**No** radiation emitted, even though  $\kappa \neq 0$ ,  
**No** entanglement entropy.



# Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
  - is **continuous**, and
  - contains **real** freq., of **both signs** for  $\omega < \omega_{\max}$ .
  - emitted flux is  $\sim$  Hawking radiation when  $\omega_{\max} > 3\kappa$ .
- In flows with **a pair** of BH-WH horizons, one has
  - a **continuous** spectrum of **real** and **positive** freq., and
  - a **discrete** set of pair of **complex** freq., with  $Re \lambda_a < \omega_{\max}$ .
  - At **late time**, the mode with highest  $\Gamma_a$  dominates all obs.
  - At **early time**, BH-WH flux **as that** from the sole BH.
- When  $L\kappa$  suff. **small**,  
**no** complex freq. modes, hence **no** dyn. instability,  
**No** radiation emitted, even though  $\kappa \neq 0$ ,  
**No** entanglement entropy.

# Additional remarks, 1.

- In **weak** external fields, the discrete set is **empty**.
- This can be seen from the Hamiltonian

$$\mathcal{H} = \frac{1}{2} \int dx \left[ (\partial_t \phi)^2 + (c^2 - v^2)(\partial_x \phi)^2 + \frac{1}{\Lambda^2} (\partial_x^2 \phi)^2 \right]. \quad (19)$$

- For  $v^2 < c^2$ , i.e. no horizon,  $H$  is **positive**, and this **suffices** for having no complex freq.
- Another **sufficient** condition for having **no** complex freq., is that the scalar product  $(\phi|\psi)$  be **positive definite**, which is the case for **fermions**, but which is **not** the case for **bosons**.

# Additional remarks, 1.

- In **weak** external fields, the discrete set is **empty**.
- This can be seen from the Hamiltonian

$$\mathcal{H} = \frac{1}{2} \int dx \left[ (\partial_t \phi)^2 + (c^2 - v^2)(\partial_x \phi)^2 + \frac{1}{\Lambda^2} (\partial_x^2 \phi)^2 \right]. \quad (19)$$

- For  $v^2 < c^2$ , i.e. no horizon,  $H$  is **positive**, and this **suffices** for having no complex freq.
- Another **sufficient** condition for having **no** complex freq., is that the scalar product  $(\phi|\psi)$  be **positive definite**, which is the case for **fermions**, but which is **not** the case for **bosons**.

## Additional remarks, 2.

- $v^2 > c^2$ , is a **necessary** condition for having complex freq.
- However, it is not **sufficient**, as is verified when having only a **single** Black (or White) Hole horizon
- In these cases, there are **negative** real frequencies, but no complex ones.
- These **negative** frequencies are **necessary** to get **Hawking radiation**.

## Additional remarks, 3.

There is a "hierarchy" in the **external** field strength.

- For **weak** fields, **neither** negative **nor** complex freq.  
There is a unique ground state.  
The system is stable (**classically** and **QMally**).
- For strong fields, one **frequent possibility** is :  
some negative freq. but **no** complex.  
There is no "minimal energy state".  
**Weak QM instability**, e.g. a **steady** Hawking radiation.
- For strong fields, **under specific conditions**,  
complex eigen-frequencies can be found.  
Both **QM** and **class.** unstable: **dynamical instability**.
- In **many** cases, as in the Black Hole laser,  
the latter is **deeply** related to the former.

# Additional remarks, 4.

## Conditions to get a Laser effect

In stationary backgrounds,  
the following conditions are **sufficient** when all met

- 1. For some range of  $\omega$  real, in some spatial region, WKB solutions with **both** signs of norm should exist. This is a **strong** condition.
- 2. These solutions must **mix** in exact solutions. This is a weak condition.
- 3. One of the WKB solution must be **trapped**. This is a strong condition.
- 4. The potential should be **deep enough** so that at least one bounded mode exists.

NB. When **only** 1 and 2 are met,  
one gets a **super-radiance**, i.e. a **vacuum instability**.