

# A New Road to Massive Gravity?

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## Why Higher-Derivative Gravity ?

**Einstein Gravity** is the **unique** field theory of interacting **massless** spin-2 particles around a given spacetime background that mediates the gravitational force

Problem: Gravity is perturbative **non-renormalizable**

$$\mathcal{L} \sim R + a \left( R_{\mu\nu}{}^{ab} \right)^2 + b (R_{\mu\nu})^2 + c R^2 :$$

renormalizable but not unitary

Stelle (1977)

massless spin 2 and massive spin 2 have opposite sign !



## Special Case

- In three dimensions there is no massless spin 2!

⇒ “New Massive Gravity”

Hohm, Townsend + E.B. (2009)

- Can this be extended to higher dimensions?

# Why Massive Gravity?

see talk by Deffayet

- **Massive Gravity** is an IR modification of Einstein gravity that describes a **massive** spin-2 particle via an explicit mass term
- modified gravitational force

$$V(r) \sim \frac{1}{r} \quad \rightarrow \quad V(r) \sim \frac{e^{-mr}}{r}$$

- characteristic length scale  $r = \frac{1}{m}$
- Cosmological Constant Problem

In the main part of this talk I will discuss

Higher-Derivative Gravity

At the end I will come back to

Massive Gravity

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## Underlying Trick

- Higher-Derivative Gravity theories can be constructed starting from Second-Order Derivative FP equations and solving for **differential subsidiary conditions**
  
- This requires fields with **zero massless** degrees of freedom

# Massless Degrees of Freedom

cp. to Henneaux, Kleinschmidt and Nicolai (2011)

field  $S \sim \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$

gauge parameters  $\lambda_1 \sim \begin{array}{|c|} \hline \square \\ \hline \end{array}$   $\lambda_2 \sim \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}$

gauge transformation  $\delta \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} = \begin{array}{|c|} \hline \square \\ \hline \end{array} \partial + \begin{array}{|c|c|} \hline \square & \partial \\ \hline \partial & \square \\ \hline \end{array}$

curvature  $R(S) \sim \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \partial \\ \hline \partial & \square \\ \hline \end{array}$

## Zero Massless D.O.F.

“Einstein tensor”  $G(S) \sim \begin{matrix} \square & \square \\ \square & \partial \\ \partial & \end{matrix}$

Requirement :  $G(S) \sim \begin{matrix} \square & \square \\ \square & \end{matrix} \Rightarrow$  E.O.M. :  $G(S) = 0$

two columns :  $p + q = D - 1$

Example :  $p = q = 1, D = 3, S \sim \begin{matrix} \square & \square \\ \square & \end{matrix}$

# “Boosting Up the Derivatives”

## Second-Order Derivative Generalized FP

Curtright (1980)

$$(\square - m^2) S = 0, \quad S^{\text{tr}} = 0, \quad \partial \cdot S = 0$$

$$\partial \cdot S = 0 \quad \Rightarrow \quad S = G(T)$$

$$(\square - m^2) G(T) = 0, \quad G(T)^{\text{tr}} = 0$$

## Higher-Derivative Gauge Theory



## Example: p-forms

Condition: rank dual curvature =  $p \rightarrow$

$$p = \frac{1}{2}(D - 1)$$

## 1-forms in 3D

$$R_{\mu\nu}(S) = 2\partial_{[\mu}S_{\nu]}, \quad G_{\mu}(S) = \frac{1}{2}\epsilon_{\mu}{}^{\nu\rho}R_{\nu\rho}(S)$$

$$\mathcal{L} = \frac{1}{2}\epsilon^{\mu\nu\rho}S_{\mu}R_{\nu\rho}(S) : \text{zero d.o.f.}$$

**Proca:**  $(\square - m^2)S_{\mu} = 0, \quad \partial^{\mu}S_{\mu} = 0$

- **boosting up Proca:**  $S_{\mu} = G_{\mu}(T) \rightarrow (\square - m^2)G_{\mu}(T) = 0$
- Integrating E.O.M. to action leads to **ghosts**
- This is a general feature of 3D **odd** spin

I will not discuss the parity-odd **3D TME** and **3D TMG** theories

These are based on a **factorisation** of the 3D Klein-Gordon operator

Now on to **spin two** !

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# 3D Einstein-Hilbert Gravity

Deser, Jackiw, 't Hooft (1984)

There are no massless gravitons: “trivial” gravity

Adding higher-derivative terms leads to “massive gravitons”

## Free Fierz-Pauli

- $(\square - m^2) \tilde{h}_{\mu\nu} = 0, \quad \eta^{\mu\nu} \tilde{h}_{\mu\nu} = 0, \quad \partial^\mu \tilde{h}_{\mu\nu} = 0$

- $\mathcal{L}_{\text{FP}} = \frac{1}{2} \tilde{h}^{\mu\nu} G_{\mu\nu}^{\text{lin}}(\tilde{h}) + \frac{1}{2} m^2 \left( \tilde{h}^{\mu\nu} \tilde{h}_{\mu\nu} - \tilde{h}^2 \right), \quad \tilde{h} \equiv \eta^{\mu\nu} \tilde{h}_{\mu\nu}$

no obvious non-linear extension !

number of propagating modes is  $\frac{1}{2}D(D+1) - 1 - D = \begin{cases} 5 & \text{for } 4D \\ 2 & \text{for } 3D \end{cases}$

**Note:** the numbers become 2 (4D) and 0 (3D) for  $m = 0$

## Higher-Derivative Extension in 3D

$$\partial^\mu \tilde{h}_{\mu\nu} = 0 \quad \Rightarrow \quad \tilde{h}_{\mu\nu} = \epsilon_\mu^{\alpha\beta} \epsilon_\nu^{\gamma\delta} \partial_\alpha \partial_\gamma h_{\beta\delta} \equiv G_{\mu\nu}(h)$$

$$(\square - m^2) G_{\mu\nu}^{\text{lin}}(h) = 0, \quad R^{\text{lin}}(h) = 0$$

Non-linear generalization :  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \Rightarrow$

$$\mathcal{L} = \sqrt{-g} \left[ -R - \frac{1}{2m^2} \left( R^{\mu\nu} R_{\mu\nu} - \frac{3}{8} R^2 \right) \right]$$

“New Massive Gravity” : unitary!

## Mode Analysis

- Take NMG with metric  $g_{\mu\nu}$ , **cosmological constant  $\Lambda$**  and coefficient  $\sigma = \pm 1$  in front of  $R$
- lower number of derivatives from 4 to 2 by introducing an **auxiliary symmetric tensor  $f_{\mu\nu}$**
- after linearization and diagonalization the two fields describe a **massless spin 2** with coefficient  $\bar{\sigma} = \sigma - \frac{\Lambda}{2m^2}$  and a **massive spin 2** with mass  $M^2 = -m^2\bar{\sigma}$
- special cases:
  - **3D NMG** Hohm, Townsend + E.B. (2009)
  - **$D \geq 3$  “chiral/critical gravity”** for special value of  $\Lambda$



# Chiral/Critical Gravity

- a **massive graviton** disappears but a **log mode** re-appears
- In general one ends up with a **non-unitary** theory
- are there **unitary truncations**?

Is NMG perturbative renormalizable?

## D=4

- $\mathcal{L} \sim +R + R^2$ : scalar field coupled to gravity

unitarity:  $\checkmark$  but renormalizability:  $\times$

$$\text{propagator} \sim \left( \frac{1}{p^2} + \frac{1}{p^4} \right)_0 + \left( \frac{1}{p^2} \right)_2$$

- $\mathcal{L} \sim R + (C_{\mu\nu}{}^{ab})^2$ : Weyl tensor squared

$$\text{propagator} \sim \left( \frac{1}{p^2} \right)_0 + \left( \frac{1}{p^2} + \frac{1}{p^4} \right)_2$$

unitarity:  $\times$  and renormalizability:  $\times$

## D=3

How do the NMG propagators behave?

$$\mathcal{L} = \sqrt{-g} \left[ \sigma R + \frac{a}{m^2} \left( R^{\mu\nu} R_{\mu\nu} - \frac{3}{8} R^2 \right) + \frac{b}{m^2} R^2 \right] \quad \sigma = \pm 1$$

$$\text{propagator} \sim \left( \frac{1}{p^2} + \frac{b}{p^4} \right)_0 + \left( \frac{1}{p^2} + \frac{a}{p^4} \right)_2 \Rightarrow ab \neq 0$$

Nishino, Rajpoot (2006)

However, we also need  $ab = 0 \Rightarrow$

NMG is (most likely) not perturbative renormalizable!

## What did we learn?

- two theories can be equivalent at the linearized level (FP and boosted FP) but only one of them allows for a unique non-linear extension i.e. **interactions!**
- we need **massive** spin 2 whose **massless** limit describes 0 d.o.f.

Example :  $\square\square$  in 3D

- what about **4D?**

## New Massive Gravity in 4D

*An alternative approach to 4D Massive Gravity?*

## Generalized spin-2 FP

standard spin-2 :



describes  $\left\{ \begin{array}{ll} 5 & \text{d.o.f.} & m \neq 0 \\ 2 & \text{d.o.f.} & m = 0 \end{array} \right.$

generalized spin-2 :



describes  $\left\{ \begin{array}{ll} 5 & \text{d.o.f.} & m \neq 0 \\ 0 & \text{d.o.f.} & m = 0 \end{array} \right.$

## Connection-metric Duality

- Use first-order form with **independent** fields  $e_\mu^a$  and  $\omega_\mu^{ab}$
- linearize around Minkowski:  $e_\mu^a = \delta_\mu^a + h_\mu^a$   
and add a FP mass term  $-m^2(h^{\mu\nu}h_{\nu\mu} - h^2) \rightarrow$

$$\mathcal{L} \sim "h \partial \omega + \omega^2" - m^2(h^{\mu\nu}h_{\nu\mu} - h^2)$$

- solve for  $\omega \rightarrow$  spin-2 FP in terms of  $h$  and auxiliary  $h_{[\mu\nu]}$
- solve for  $h_{\mu\nu}$  and write  $\omega_\mu^{ab} = \frac{1}{2}\epsilon^{abcd}\tilde{h}_{\mu cd} \rightarrow$  **generalized**  
spin-2 FP in terms of  $\tilde{h}$  after elimination of auxiliary  $\tilde{h}_{[\mu cd]}$



## Massive versus Massless Duality

Massive duality: 

$$\mathcal{L}_{\text{massive dual}} = \frac{1}{2} \tilde{h}^{\mu\nu,\rho} G_{\mu\nu,\rho}(\tilde{h}) - \frac{1}{2} m^2 \left( \tilde{h}^{\mu\nu,\rho} \tilde{h}_{\mu\nu,\rho} - 2\tilde{h}^\mu \tilde{h}_\mu \right)$$

- massless limit describes zero d.o.f.: “trivial” gravity

Massless duality: 

West (2001)

- Dual Einstein gravity describes two d.o.f.

Duality and taking massless limit do not commute!

## Boosting up the Derivatives

- start with generalized spin-2 FP in terms of

$$\square$$

and subsidiary conditions

$$\tilde{h}_{\mu\nu,\rho} \eta^{\nu\rho} = 0, \quad \partial^\rho \tilde{h}_{\rho\mu,\nu} = 0$$

- solve for  $\partial^\rho \tilde{h}_{\rho\mu,\nu} = 0 \rightarrow \tilde{h}_{\mu\nu,\rho} = G_{\mu\nu,\rho}(h) \rightarrow$  "NMG in 4D" :

$$\mathcal{L}_{\text{NMG}} \sim -\frac{1}{2} h^{\mu\nu,\rho} G_{\mu\nu,\rho}(h) + \frac{1}{2m^2} \underbrace{h^{\mu\nu,\rho} C_{\mu\nu,\rho}(h)}_{\text{"conformal invariance"}}$$

- mode analysis  $\rightarrow$

$$\mathcal{L}_{\text{NMG}} \sim \text{massless spin 2 plus massive spin 2}$$

## Interactions ?

cp. to Bekaert, Boulanger, Cnockaert (2005)

- compare to **Eddington-Schrödinger theory**

$$\mathcal{L}'_{\text{ES}} = \sqrt{-\det g} [g^{\mu\nu} R_{\mu\nu}(\Gamma) - 2\Lambda] \Leftrightarrow \mathcal{L}_{\text{ES}} = \sqrt{|\det R_{(\mu\nu)}(\Gamma)|}$$

$$g_{\mu\nu} = \frac{(D-2)}{2\Lambda} R_{(\mu\nu)}(\Gamma)$$

- consider **non-trivial** background or couple to **matter**

$$h^{\mu\nu,\rho} \text{ “}(\epsilon\partial T)\text{”}_{\mu\nu,\rho} \quad \text{or} \quad \text{“}(\epsilon\partial h)\text{”}^{\mu\nu} T_{\mu\nu}$$

Curtright and Freund (1980)

## 4D “Trivial” Gravity

*avoids no-go theorem !*

Example :  in 3D

- **Chern-Simons** formulation  $\mathcal{L} \sim AdA + A^3$  :  $(e_\mu^a, \omega_\mu^a)$   
Achúcarro and Townsend (1986); Witten (1988)

**first-order formulation** of 4D “trivial” gravity :

- $(T_{\mu\nu}^a, \Omega_\mu^a)$  Zinoviev (2003); Alkalaev, Shaynkman and Vasiliev (2003)
- **interactions** via CS formulation ?

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## A Short Review

- no **symmetry principle**
- **fine-tuning** is needed
- **reference metric** is needed

$$"g^{\mu\nu} g_{\mu\nu} = 1"$$

**Question:** does massive gravity reduce to GR for  $m \rightarrow 0$ ?

**Problem:**  $5 \neq 2!$

**FP:**  $5 \rightarrow 2 + \cancel{2} + 0$

- this is the **vDVZ discontinuity** (1970)

# The Vainshtein Radius

Vainshtein: vDVZ discontinuity is artifact of **linear approximation**

- **linear** approximation of **GR** can be trusted for

$$r > r_S \sim \frac{M}{M_{\text{P}}^2} \quad r_S \sim 1 \text{ km}$$

- in **massive gravity** extra attractive force is **screened** for

$$r < r_V \sim \left( \frac{M}{m^4 M_{\text{P}}^2} \right)^{1/5}$$

## Other Issues

- instabilities: **Boulware-Deser ghost** (1972)  $\phi(\phi \square^2 \phi)$
- the extent of the **quantum regime**:  $r > r_Q$

we want  $r_Q < r < r_V$  to be large enough

There are several models in the market:

see talk by Deffayet



## A Common Origin

Both 3D NMG and 4D Massive Gravity stem from a  
general class of **bi-gravity models**!

Bañados and Theisen (2009); Hassan and Rosen (2011); Paulos and Tolley (2012)

- 4D Massive Gravity: promote fixed reference metric to **dynamical** metric
- 3D NMG: exchange higher derivatives for **auxiliary symmetric tensor**

# Generalizations

Can the class of bi-gravity models be extended to **poly-gravity** or **models bi-metric** models of **different** symmetry type?

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# Summary

- we discussed a **general procedure** for constructing Higher-Derivative Gravity Theories
- we investigated a **new massive modification** of 4D gravity
- **Higher-Derivative** gravity and **Massive** gravity have common origin

