

# Fundamental constants, gravitation and cosmology

*Jean-Philippe UZAN*



# Constants

Physical theories involve constants

These parameters cannot be determined by the theory that introduces them.

These arbitrary parameters have to be assumed constant:

- *experimental validation*
- *no evolution equation*

By testing their constancy, we thus test the laws of physics in which they appear.

A physical measurement is always a comparison of two quantities, one can be thought as a unit

- *it only gives access to dimensionless numbers*
- *we consider variation of dimensionless combinations of constants*

JPU, Rev. Mod. Phys. **75**, 403 (2003); Liv. Rev. Relat. (to appear, 2010)

JPU, [[astro-ph/0409424](https://arxiv.org/abs/astro-ph/0409424), arXiv:0907.3081]

R. Lehoucq, JPU, *Les constantes fondamentales* (Belin, 2005)

G.F.R. Ellis and JPU, Am. J. Phys. **73** (2005) 240

JPU, B. Leclercq, *De l'importance d'être une constante* (Dunod, 2005)

translated as “*The natural laws of the universe*” (Praxis, 2008).

# Reference theoretical framework

The number of physical constants depends on the level of description of the laws of nature.

In our present understanding [*General Relativity* +  $SU(3) \times SU(2) \times U(1)$ ]:

- $G$  : Newton constant (**1**)
- **6** Yukawa coupling for quarks
- **3** Yukawa coupling for leptons
- mass and VEV of the Higgs boson: **2**
- CKM matrix: **4** parameters
- coupling constants: **3**
- $\Lambda_{uv}$ : **1**
- $c, \hbar$  : **2**
- cosmological constant

**22** constants  
**19** parameters

# Number of constant may change

This number is « time-dependent ».

## Neutrino masses

Add **3** Yukawa couplings + **4** CKM parameters = **7** more

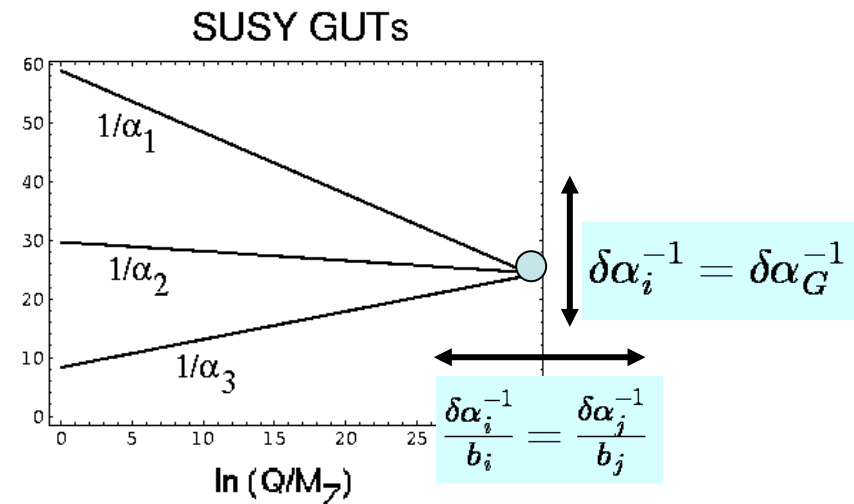
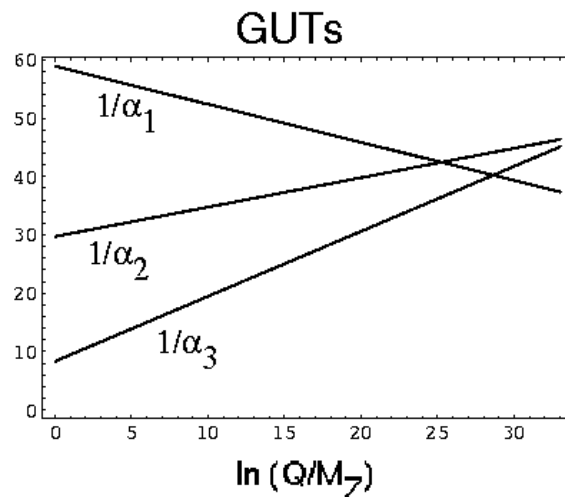
## Unification

$$\alpha_i^{-1}(E) = \alpha_{GUT}^{-1} + \frac{b_i}{2\pi} \ln \frac{M_{GUT}}{E}$$

$$\text{SM} : b_i = (41/10, -19/6, -7)$$

$$\text{MSSM} : b_i = (33/5, 1, -3)$$

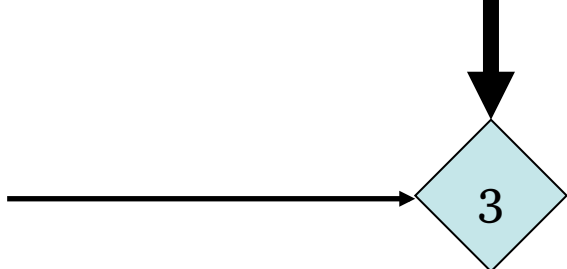
$$\alpha^{-1} = \frac{5}{3}\alpha_1^{-1} + \alpha_2^{-1}$$



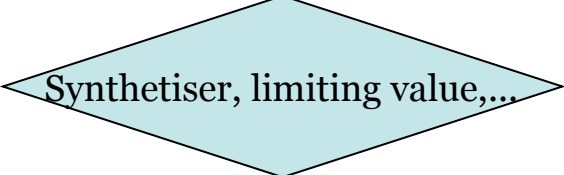
Constants

$\hbar, c, G, m_e, m_p, e, N_A, k_B,$   
 $K_J, \mu_B, R_K, R_{\infty}, \dots$

Dimensions  
(M, L, T)



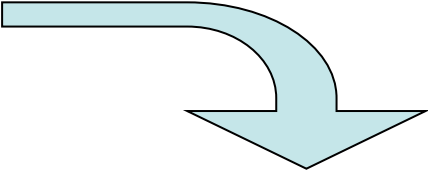
3 fundamental units



$\hbar, c, G$

Units  
(kg, m, s)

$\ell_P, m_P, t_P$



Fondamental parameters

$\mu = \frac{m_e}{m_p},$   
 $\alpha = \frac{e^2}{\hbar c},$   
 $N_A,$   
 $\alpha_G = \frac{G m_p^2}{\hbar c}, \dots$

**Why these numbers?  
constant?**

# Constants

## **Are they constant?**

*Test of physics and GR,*

*Variations are predicted by most extensions of general relativity.*

*Important question from a cosmological point of view*

## **Why do they have the value we measure?**

*Why is the universe just so?*

*Cosmology allows a way to attack this question*

I- Links to general relativity and example of theories with varying constants

II- Setting constraints on variation of fundamental constants

Physical systems – general approach

III- 2 detailed examples: BBN –  $3\alpha$

IV- links to cosmology & conclusions



# Part I: constants and gravity

# *GR in a nutshell*

Underlying hypothesis

*Equivalence principle*

- Universality of free fall
- Local lorentz invariance
- Local position invariance

$$S_{matter}(\psi, g_{\mu\nu})$$

*Dynamics*

$$S_{grav} = \frac{c^3}{16\pi G} \int \sqrt{-g_*} R_* d^4x$$

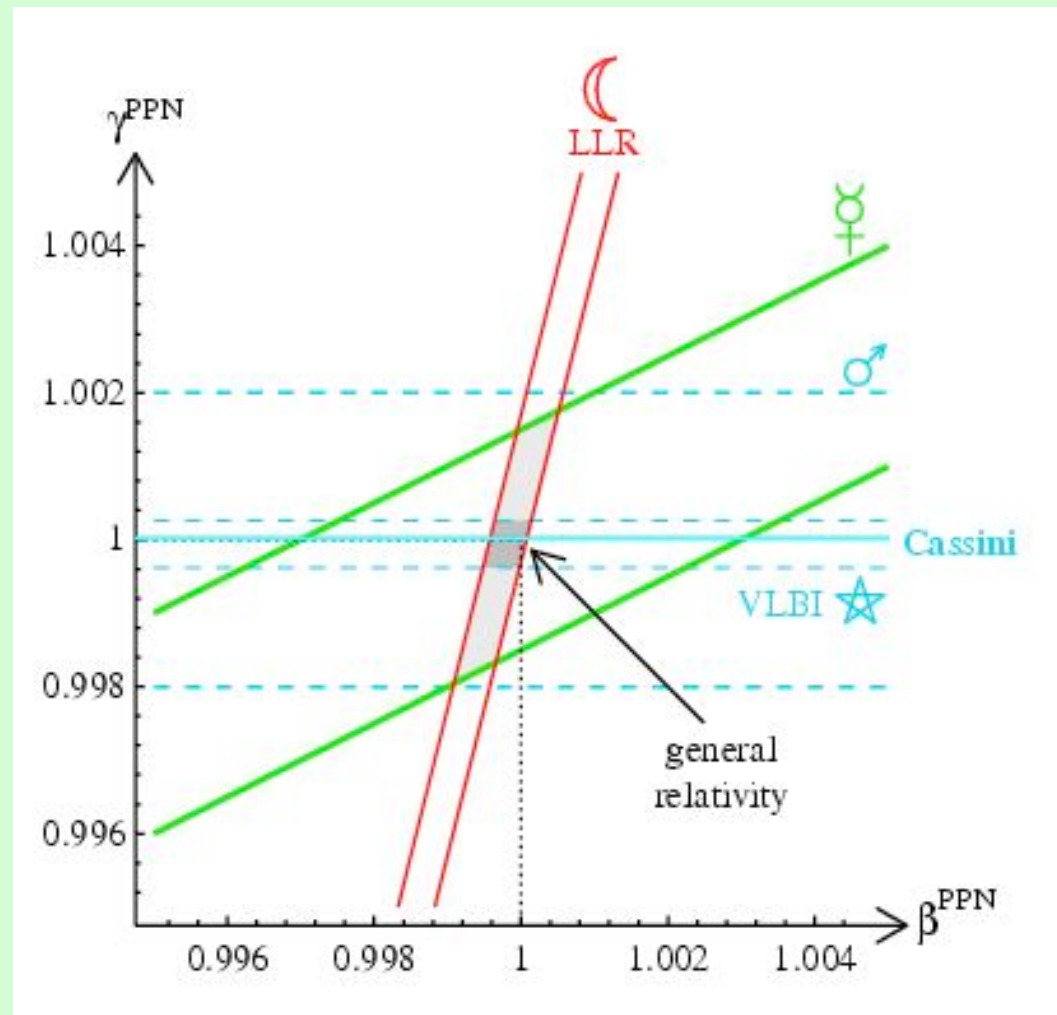
Relativity

$$g_{\mu\nu} = g_{\mu\nu}^*$$



# General relativity: experimental validity

Courtesy of G. Esposito-Farèse

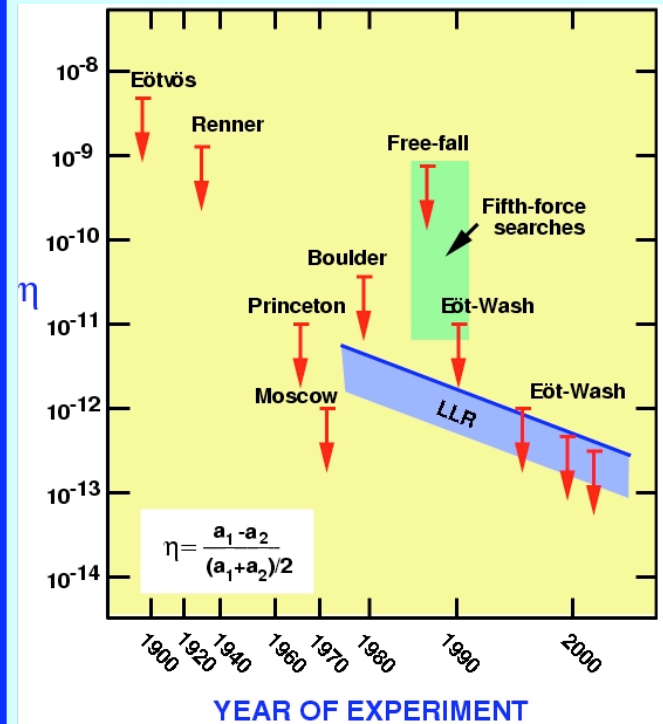


$$\gamma - 1 = (2.1 \pm 2.3) \times 10^{-5}$$

$$|2\gamma - \beta - 1| < 3 \times 10^{-3}$$

## Universality of free fall

$$\eta = 2 \frac{|a_1 - a_2|}{|a_1 + a_2|}$$



C. Will, gr-qc/0510072

« Constancy » of constants

JPU, RMP (2003)

# Equivalence principle and constants

Action of a test mass:

$$S = - \int mc \sqrt{-g_{\mu\nu} v^\mu v^\nu} dt \quad \text{with} \quad \begin{aligned} v^\mu &= dx^\mu / dt \\ u^\mu &= dx^\mu / d\tau \end{aligned}$$

$$\delta S = 0$$

$$a^\mu \equiv u^\nu \nabla_\nu u^\mu = 0 \quad \text{(geodesic)}$$

$$g_{00} = -1 + 2\Phi_N / c^2 \quad \text{(Newtonian limit)}$$

$$\dot{\mathbf{v}} = \mathbf{a} = -\nabla \Phi_N = \mathbf{g}_N$$

# Equivalence principle and constants

Action of a test mass:

$$S = - \int m_A [\alpha_i] c \sqrt{-g_{\mu\nu} v^\mu v^\nu} dt \quad \text{with} \quad \begin{aligned} v^\mu &= dx^\mu / dt \\ u^\mu &= dx^\mu / d\tau \end{aligned}$$

Dependence  
on some  
constants

$$\delta S = 0$$

$f_{A,i}$

$$a_A^\mu = - \sum_i \left( \frac{\partial \ln m_A}{\partial \alpha_i} \frac{\partial \alpha_i}{\partial x^\beta} \right) (g^{\beta\mu} + u^\beta u^\mu) \quad \text{(NOT a geodesic)}$$

$$g_{00} = -1 + 2\Phi_N / c^2$$

(Newtonian limit)

$$\mathbf{a} = \mathbf{g}_N + \delta \mathbf{a}_A$$

$$\delta \mathbf{a}_A = -c^2 \sum_i f_{A,i} \left( \nabla \alpha_i + \dot{\alpha}_i \frac{\mathbf{v}}{c^2} \right)$$

Anomalous force  
Composition  
dependent

## *The same in purely Newtonian*

If some constants vary then the mass of any nuclei becomes spacetime dependent

In Newtonian terms, a free motion implies

$$\frac{d\vec{p}}{dt} = m \frac{d\vec{v}}{dt} = \vec{0}$$

If a constant varies then

$$\frac{d\vec{p}}{dt} = \vec{0} = m\vec{a} + \underbrace{\frac{dm}{d\alpha} \dot{\alpha} \vec{v}}_{m\vec{a}_{\text{anomalous}}}$$

Universality of free-fall is violated

# *Field theory*

If a constant is varying, this implies that it has to be replaced by a dynamical field

This has 2 consequences:

1- the equations derived with this parameter constant will be modified

*one cannot just make it vary in the equations*

2- the theory will provide an equation of evolution for this new parameter

The field responsible for the time variation of the « constant » is also responsible for a long-range (composition-dependent) interaction

i.e. at the origin of the deviation from General Relativity.

# Example: ST theory

Most general theories of gravity that include a scalar field beside the metric

Mathematically **consistent**

Motivated by **superstring**

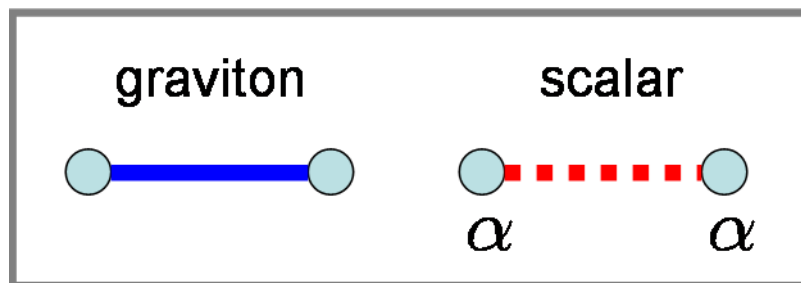
**dilaton** in the graviton supermultiplet,

**moduli** after dimensional reduction

Consistent field theory to satisfy WEP

Useful extension of GR (simple but general enough)

$$S = \frac{c^3}{16\pi G} \int \sqrt{-g} \{ R - 2(\partial_\mu \phi)^2 - V(\phi) \} + S_m \{ \text{matter}, \tilde{g}_{\mu\nu} = A^2(\phi) g_{\mu\nu} \}$$

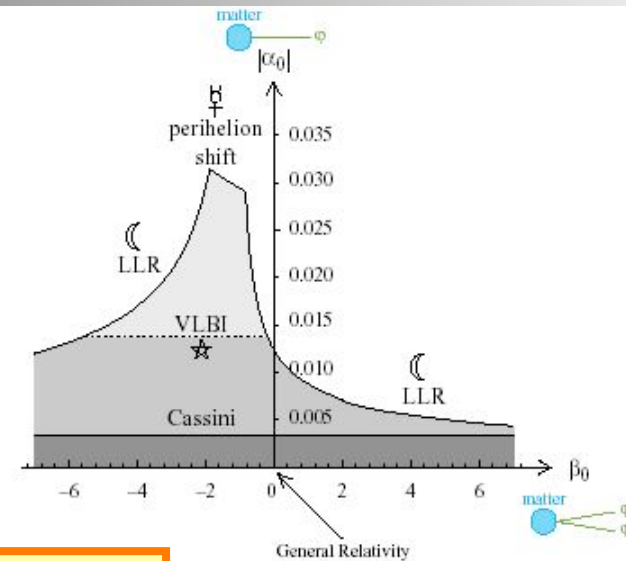
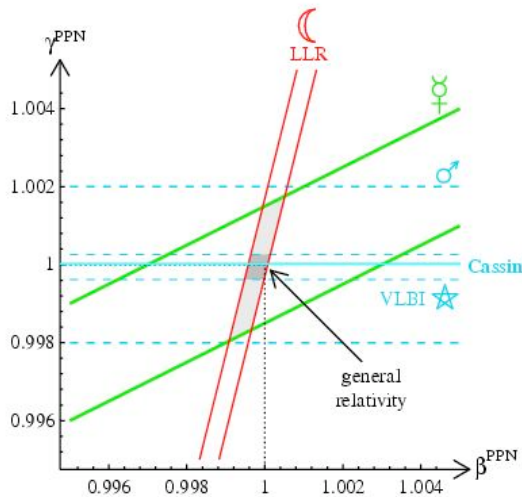


$$\alpha = d \ln A / d\phi$$

$$\beta = d\alpha / d\phi$$

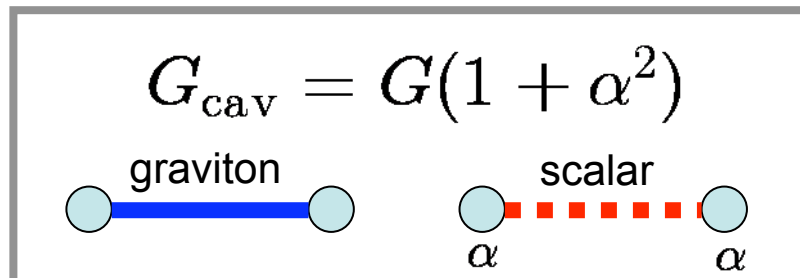
# ST theory: déviation from GR and variation

Courtesy of Esposito-Farèse



$$\alpha_0^2 < 10^{-5}, \quad -4.5 < \beta_0$$

## Time variation of G



$$\left. \frac{\dot{G}}{G} \right|_0 \equiv \sigma_0 H_0$$

$$\frac{\dot{G}}{G} < 10^{-12} \text{ yr}^{-1}$$

$$\sigma_0 < 10^{-2}$$

Constraints valid for a (almost) massless field.

# Example of varying fine structure constant

It is a priori « easy » to design a theory with varying fundamental constants

Consider

$$S = \int \left\{ \frac{1}{16\pi G} R - 2(\partial_\mu \phi)^2 - V(\phi) - \frac{1}{4} B(\phi) F_{\mu\nu}^2 \right\} \sqrt{-g} d^4x$$

But that may have dramatic implications.

$$m_A(\phi) \supset 98.25 \alpha \frac{Z(Z-1)}{A^{1/3}} \text{MeV} \longrightarrow f_i = \partial_\phi \ln m_i \sim 10^{-2} \frac{Z(Z-1)}{A^{4/3}} \alpha'(\phi)$$

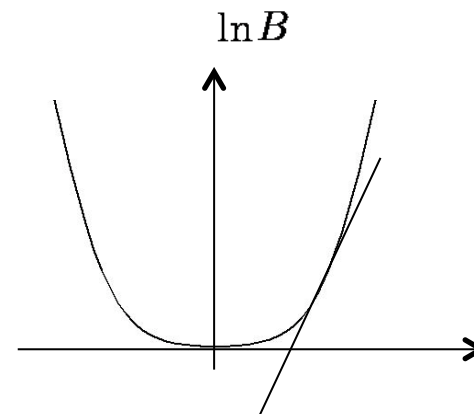
Violation of UFF is quantified by

$$\eta_{12} = 2 \frac{|\vec{a}_1 - \vec{a}_2|}{|\vec{a}_1 + \vec{a}_2|} = \frac{f_{\text{ext}} |f_1 - f_2|}{1 + f_{\text{ext}} (f_1 + f_2)/2}$$

It is of the order of

$$\eta_{12} \sim 10^{-9} \underbrace{X_{1,2,\text{ext}}(A, Z)}_{\mathcal{O}(0.1 - 10)} \times (\partial_\phi \ln B)_0^2$$

Requires to be close to the minimum





# Extra-dimensions

Such terms arise when compactifying a higher-dimensional theories

**Example:**

$$S = \frac{1}{12\pi^2 G_5} \int \bar{R} \sqrt{|\bar{g}|} d^5 x$$

$$\bar{g}_{AB} = \begin{pmatrix} g_{\mu\nu} + \frac{1}{M^2} \phi^2 A_\mu A_\nu & \frac{1}{M} \phi^2 A_\mu \\ \frac{1}{M} \phi^2 A_\nu & \phi^2 \end{pmatrix}$$

$$S = \frac{1}{16\pi G} \int d^4 x \sqrt{-g} \phi \left( R - \frac{\phi^2}{4M^2} F_{\alpha\beta} F^{\alpha\beta} \right)$$

$$G = \frac{3\pi \bar{G}_5}{4V_{(5)}} \quad V_{(5)} = \int dy$$

5D theory

Compactification

4D effective theory

Varying fine structure constant

Varying G

## *String (inspired)*

$$S = \int d^4x \sqrt{-g} (B_g R - B_\phi (\partial\phi)^2 - \frac{1}{4} B_{F_i} F_i^2 - B_\psi \psi D\bar{\psi} - V)$$

Little is known about these functions

$$B_i = e^{-\phi} + c_0^{(i)} + c_1^{(1)} e^\phi + \dots$$

For the attraction mechanism to exist:  
they must have a minimum at a common value

Damour, Polyakov (1994)

In Jordan frame

$$S = \int \sqrt{-g} d^4x \frac{1}{16\pi G} (R - 2(\partial\phi)^2 - U) - \frac{1}{4} B_F F^2 - \int m_i(\phi) ds$$

*Then all constants vary (correlated)*

$$S = \int \sqrt{-g} d^4x \frac{1}{16\pi G} (R - 2(\partial\phi)^2 - U) - \frac{1}{4} B_F F^2 - \int m_i(\phi) ds$$

Masses are now field dependent

$$m_i = Z(m_p + m_e) + Nm_n + E_3 + E_1$$

$$m_{p,n} = \Lambda_{QCD} \left( 1 + f(m_q/\Lambda_{QCD}) \right)$$

$$\Lambda_{QCD} \propto B_g^{-1/2} e^{-8\pi B_F/\alpha_3} \Lambda_S$$

$$m_i(\phi) = \Lambda_{QCD}(\phi) \left( 1 + a^q \frac{m_q}{\Lambda_{QCD}} + a^e \alpha \right)$$

Composition independent

$$|\gamma - 1|, \beta - 1, \dot{G}$$

Composition dependent

$$\eta, \dot{\alpha}, \dot{\mu}$$

$$B \simeq -\frac{1}{2}\kappa(\phi - \phi_m)^2 \quad \text{all deviations are proportional to} \quad (\phi_0 - \phi_m)^2$$

# Avoiding UFF problem TODAY

**Klein-Gordon equation (ST theory)**

Damour, Nordtvedt (1993)

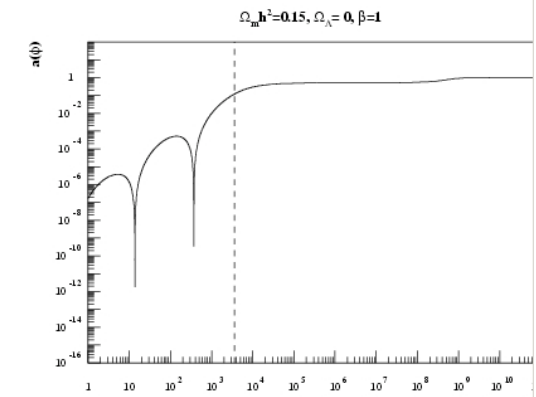
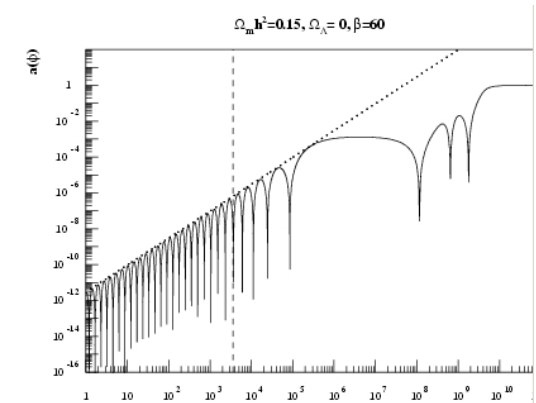
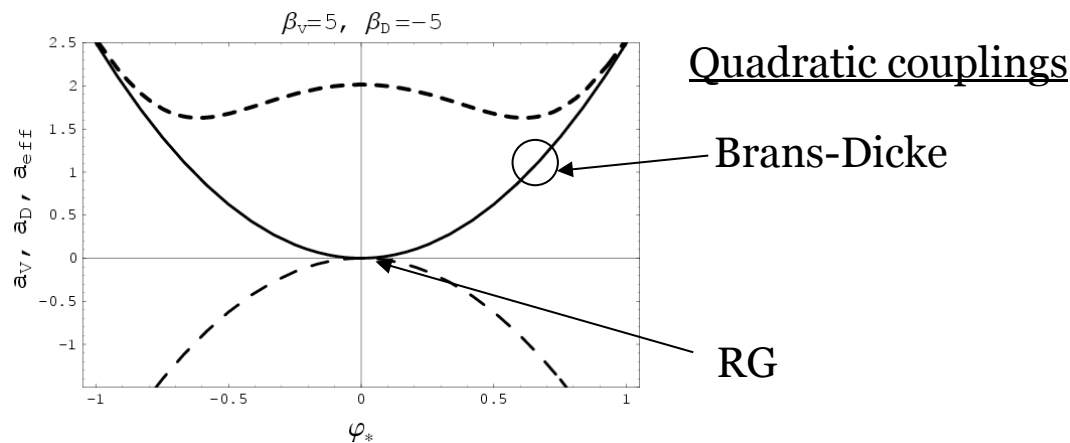
$$\frac{2}{3 - \varphi_*'^2} \varphi_*'' + \left(1 - \frac{P_T}{\rho_T}\right) \varphi_*' = -\alpha(\varphi_*) \frac{\rho_* - 3P_*}{\rho_T} - \alpha_V \frac{\rho_V - 3P_V}{\rho_T}$$

$$\alpha_V = d \ln V^{1/4} / d\varphi_*$$

If  $\ln(A)$  has a minimum, the field is driven toward the minimum and the ST theory attracted toward GR

**Distinct minima:**  $+S_V[A_V^2(\varphi_*)g_{\mu\nu}^*; \psi_V] + S_D[A_D^2(\varphi_*)g_{\mu\nu}^*; \psi_D]$

$$A_{\text{eff}}(\varphi_*) = A_V(\varphi_*) + \tilde{\Xi}_0 A_D(\varphi_*)$$



Coc, Olive, JPU, Vangioni, 2007

# Summary

The constancy of fundamental constants is a test of the equivalence principle.

The magnitude of the variation of the constants, violation of the universality of free fall and other deviations from GR are of the same order.

« Dynamical constants » are generic in most extensions of GR (extra-dimensions, string inspired model).

If one constant is varying then many other constants will also be varying (a consequence of unification).

They open a window on these theories or challenge them to explain why the constants vary so little (stabilisation mechanism).

In order to satisfy the constraints from the UFF today, there are 2 possibilities:

- Least coupling principle
- Chameleon mechanism

In both cases, the variations in the past are expected to be larger than on Solar system scales.

# Part II: Testing for constancy

JPU, Rev. Mod. Phys. **75**, 403 (2003)

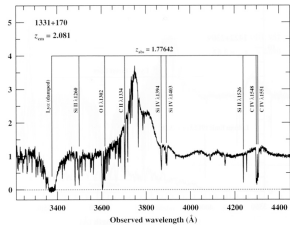
JPU, [[astro-ph/0409424](#)]

R. Lehoucq, JPU, *Les constantes fondamentales* (Belin, 2005)

G.F.R. Ellis and JPU, Am. J. Phys. **73** (2005) 240

JPU, B. Leclercq, *De l'importance d'être une constante* (Dunod, 2005)

# Physical systems



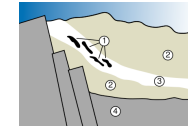
Quasar absorption spectra

$z = 0$   
 $z \sim 0.2$   
 $z \sim 4$

Atomic clocks

Oklo phenomenon

Meteorite dating



$z = 0.14$



$z = 0.43$

$z \sim 10^3$

CMB

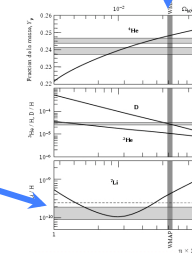
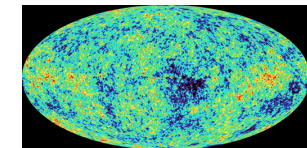
$z \sim 10^8$

BBN

Local obs

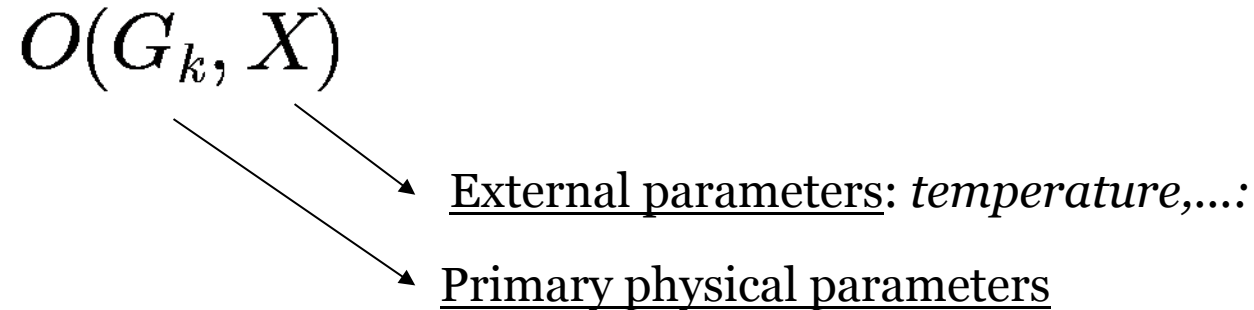
QSO obs

CMB obs



# Observables and primary constraints

A given physical system gives us an observable quantity



From a physical model of our system we can deduce the sensitivities to the primary physical parameters

$$\kappa_{G_k} = \frac{\partial \ln O}{\partial \ln G_k}$$

The primary physical parameters are usually not fundamental constants.

$$\Delta \ln G_k = \sum_i d_{ki} \Delta \ln c_i$$



# Physical systems

System	Observable	Primary constraint	Other hypothesis
Atomic clocks	Clock rates	$\alpha, \mu, g_i$	-
Quasar spectra	Atomic spectra	$\alpha, \mu, g_p$	Cloud physical properties
Oklo	Isotopic ratio	$E_r$	Geophysical model
Meteorite dating	Isotopic ratio	$\lambda$	
CMB	Temperature anisotropies	$\alpha, \mu$	Cosmological model
BBN	Light element abundances	$Q, \tau_n, m_e, m_N, \alpha, B_d$	Cosmological model

# Atomic clocks

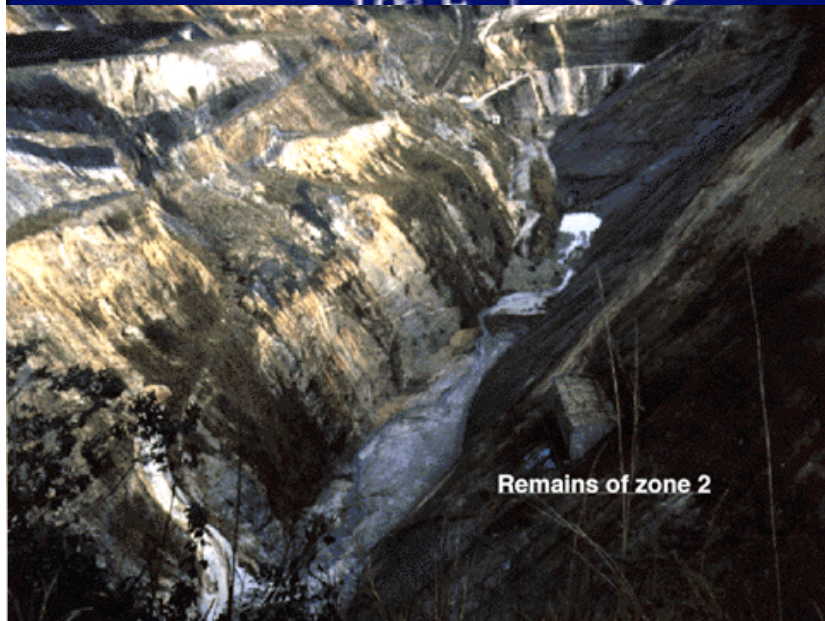
Based the comparison of atomic clocks using different transitions and atoms:

e.g. hfs Cs vs fs Mg :  $g_p \mu$  ;  
 hfs Cs vs hfs H:  $(g_p/g_I)\alpha$

$$\frac{\nu_{Cs}}{\nu_{Rb}} \propto \frac{g_{Cs}}{g_{Rb}} \alpha^{0.49} \qquad \frac{\nu_{Cs}}{\nu_H} \propto g_{Cs} \mu \alpha^{2.83}$$

Clock 1	Clock 2	Constraint (yr <sup>-1</sup> )	Constants dependence	Reference
	$\frac{d}{dt} \ln \left( \frac{\nu_{clock1}}{\nu_{clock2}} \right)$			
<sup>87</sup> Rb	<sup>133</sup> Cs	$(0.2 \pm 7.0) \times 10^{-16}$	$\frac{g_{Cs}}{g_{Rb}} \alpha_{EM}^{0.49}$	Marion (2003)
<sup>87</sup> Rb	<sup>133</sup> Cs	$(-0.5 \pm 5.3) \times 10^{-16}$		Bize (2003)
<sup>1</sup> H	<sup>133</sup> Cs	$(-32 \pm 63) \times 10^{-16}$	$g_{Cs} \mu \alpha_{EM}^{2.83}$	Fischer (2004)
<sup>199</sup> Hg <sup>+</sup>	<sup>133</sup> Cs	$(0.2 \pm 7) \times 10^{-15}$	$g_{Cs} \mu \alpha_{EM}^{6.05}$	Bize (2005)
<sup>199</sup> Hg <sup>+</sup>	<sup>133</sup> Cs	$(3.7 \pm 3.9) \times 10^{-16}$		Fortier (2007)
<sup>171</sup> Yb <sup>+</sup>	<sup>133</sup> Cs	$(-1.2 \pm 4.4) \times 10^{-15}$	$g_{Cs} \mu \alpha_{EM}^{1.93}$	Peik (2004)
<sup>171</sup> Yb <sup>+</sup>	<sup>133</sup> Cs	$(-0.78 \pm 1.40) \times 10^{-15}$		Peik (2006)
<sup>87</sup> Sr	<sup>133</sup> Cs	$(-1.0 \pm 1.8) \times 10^{-15}$	$g_{Cs} \mu \alpha_{EM}^{2.77}$	Blatt (2008)
<sup>87</sup> Dy	<sup>87</sup> Dy			Cingöz (2008)
<sup>27</sup> Al <sup>+</sup>	<sup>199</sup> Hg <sup>+</sup>	$(-5.3 \pm 7.9) \times 10^{-17}$	$\alpha_{EM}^{-3.208}$	Blatt (2008)

## *Oklo- a natural nuclear reactor*



It operated 2 billion years ago,  
during 200 000 years !!

# Oklo: why?

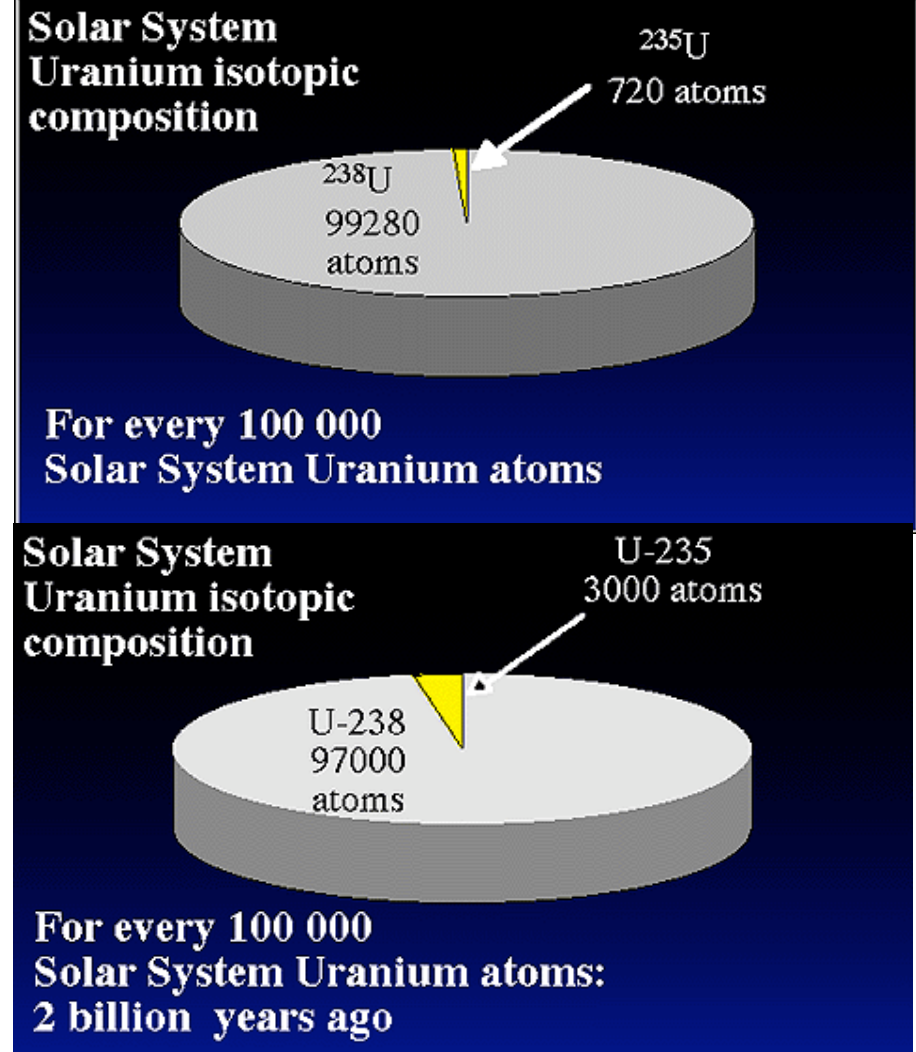
## 4 conditions :

1- Naturally high in  $U^{235}$ ,

2- moderator : water,

3- low abundance of neutron absorber,

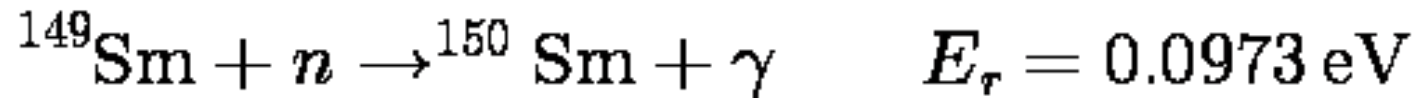
4- size of the room.



# Oklo-constraints

Natural nuclear reactor in Gabon,  
operating 1.8 Gyr ago ( $z \sim 0.14$ )

Abundance of Samarium isotopes



From isotopic abundances of Sm, U and Gd, one can  
measure the cross section averaged on the thermal neutron flux

$$\hat{\sigma}_{149}(T, E_\tau) = 91 \pm 6 \text{ kb}$$

From a model of Sm nuclei, one can infer

$$s = \Delta E_\tau / \Delta \ln \alpha$$

$s \sim 1 \text{ MeV}$  so that

$$\Delta \alpha / \alpha \sim 1 \text{ MeV} / 0.1 \text{ eV} \sim 10^{-7}$$

$$\Delta \alpha / \alpha = (0.5 \pm 1.05) \times 10^{-7}$$

Damour, Dyson, NPB **480** (1996) 37

Fujii et al., NPB **573** (2000) 37 2 branches.

Shlyakhter, Nature **264** (1976) 340

Damour, Dyson, NPB **480** (1996) 37

Fujii et al., NPB **573** (2000) 377

Lamoreaux, Torgerson, nucl-th/0309048

Flambaum, Shuryak, PRD **67** (2002) 083507

# Meteorite dating

Bounds on the variation of couplings can be obtained by  
Constraints on the lifetime of long-lives nuclei ( $\alpha$  and  $\beta$  decayers)

For  $\beta$  decayers,  $\lambda \sim \Lambda(\Delta E)^P \propto G_F^2 \alpha^s$

**Rhenium:**  ${}_{75}^{187}\text{Re} \longrightarrow {}_{76}^{187}\text{Os} + \bar{\nu}_e + e^-$  Peebles, Dicke, PR **128** (1962) 2006

$$\Delta E \sim 2.5 \text{ keV}, \quad s \sim -18000$$

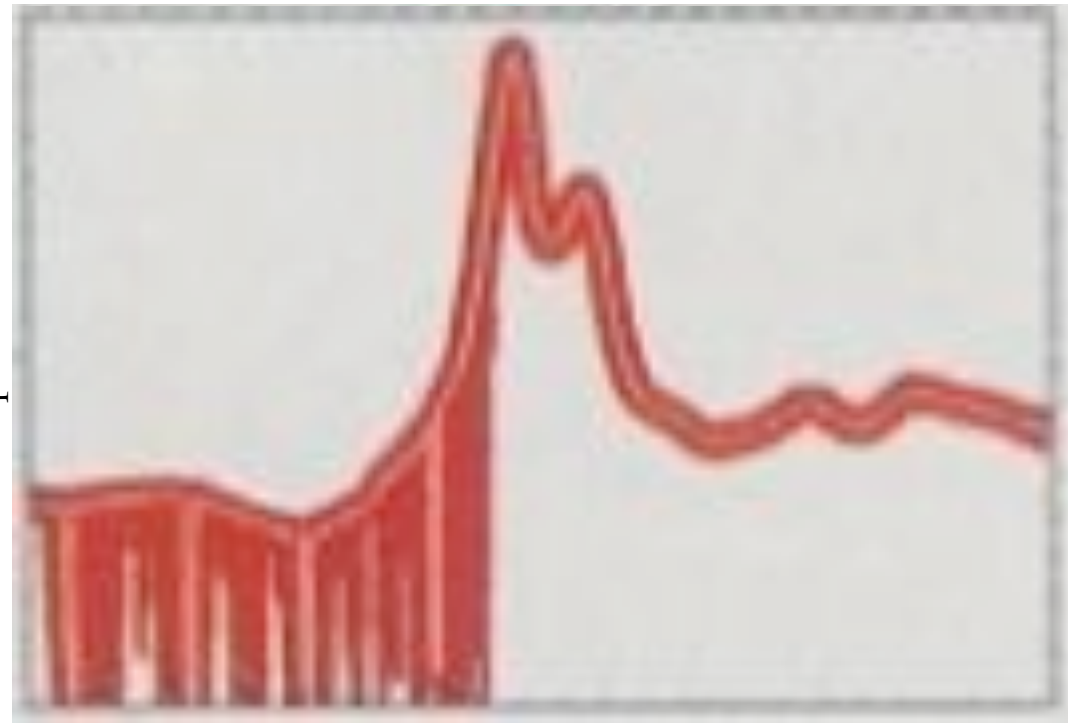
Use of laboratory data + meteorites data

$$-24 \times 10^{-7} < \Delta\alpha/\alpha < 8 \times 10^{-7} \quad \text{Olive et al., PRD **69** (2004) 027701}$$

Caveats: meteorites datation / averaged value



# Absorption spectra



Cosmic expansion redshift all spectra (achromatic)

We look for achromatic effects

## 3 main methods:

### Alkali doublet (AD)

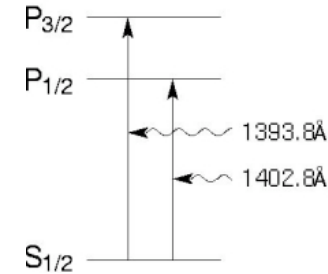
Savedoff 1956

Fine structure doublet,  $\Delta\lambda/\lambda \propto \alpha^2$

Single atom

Rather weak limit

### Si IV alkali doublet



VLT/UVES: Si IV in 15 systems,  $1.6 < z < 3$

$$\frac{\Delta\alpha}{\alpha} = (0.15 \pm 0.43) \times 10^{-5}$$

Chand et al. 2004

HIRES/Keck: Si IV in 21 systems,  $2 < z < 3$

$$\frac{\Delta\alpha}{\alpha} = (-0.5 \pm 1.3) \times 10^{-5}$$

Murphy et al. 2001

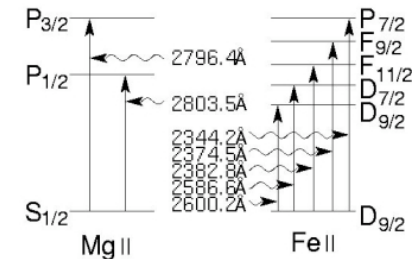
### Many multiplet (MM)

Webb et al. 1999

Compares transitions from multiplet and/or atoms

s-p vs d-p transitions in heavy elements

Better sensitivity



### Single Ion Differential $\alpha$ Measurement (SIDAM)

Levshakov et al. 1999

Analog to MM but with a single atom / FeII



# QSO: many multiplets

The many-multiplet method is based on the correlation of the shifts of different lines of different atoms.

Relativistic N-body with varying  $\alpha$ :

$$\omega = \omega_0 + 2q \frac{\Delta\alpha}{\alpha}$$

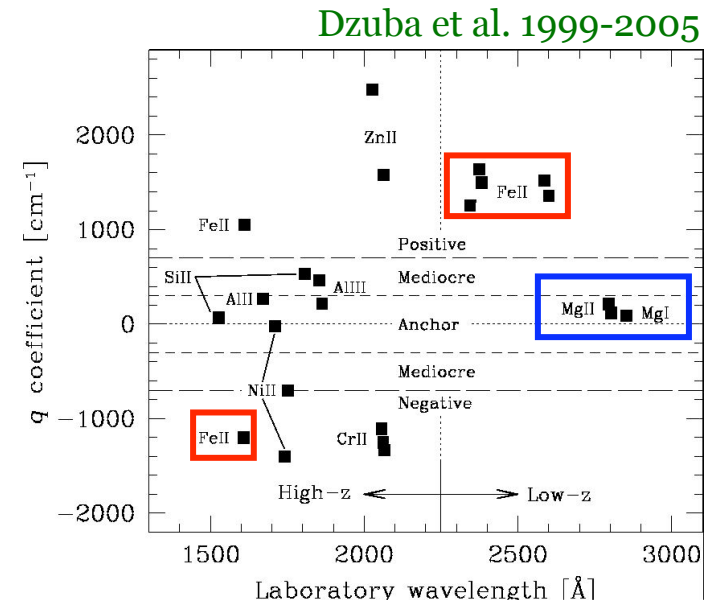
First implemented on 30 systems with MgII and FeII

Webb et al. 1999

HIRES-Keck, 153 systems,  $0.2 < z < 4.2$

$$\frac{\Delta\alpha}{\alpha} = (-0.57 \pm 0.11) \times 10^{-5}$$

Murphy et al. 2004



**5 $\sigma$  detection !**

## QSO: VLT/UVES analysis

Selection of the absorption spectra:

- lines with similar ionization potentials  
*most likely to originate from similar regions in the cloud*
- avoid lines contaminated by atmospheric lines
- at least one anchor line is not saturated  
*redshift measurement is robust*
- reject strongly saturated systems

Only 23 systems

lower statistics / better controlled systematics

VLT/UVES

$$\frac{\Delta\alpha}{\alpha} = (-0.06 \pm 0.06) \times 10^{-5}$$

Chand et al. 2004

**DOES NOT CONFIRM HIRES/Keck DETECTION**

# Controversy

VLT/UVES:

selection a priori of the systems  
data publicly available on the WEB

HIRES/Keck:

signal comes from only some systems  
data not public

Reanalysis of the VLT/UVES data by Murphy et al.

$\chi^2$  no smooth for some systems  
argue

$$\frac{\Delta\alpha}{\alpha} = (-0.64 \pm 0.36) \times 10^{-5}$$

Murphy et al. 2006

$\chi^2$  not smooth for some systems

2 problematic systems that dominate the analysis

If removed

$$\frac{\Delta\alpha}{\alpha} = (-0.01 \pm 0.15) \times 10^{-5}$$

Srianand et al. 2007

It changes the recombination history

1- modifies the optical depth

$$\dot{\tau} = x_e n_e c \sigma_T$$

2- induces a change in the hydrogen and helium abundances ( $x_e$ )

Effect on the position of the Doppler peak  
on polarization (reionisation)

Degeneracies:

cosmological parameters

electron mass

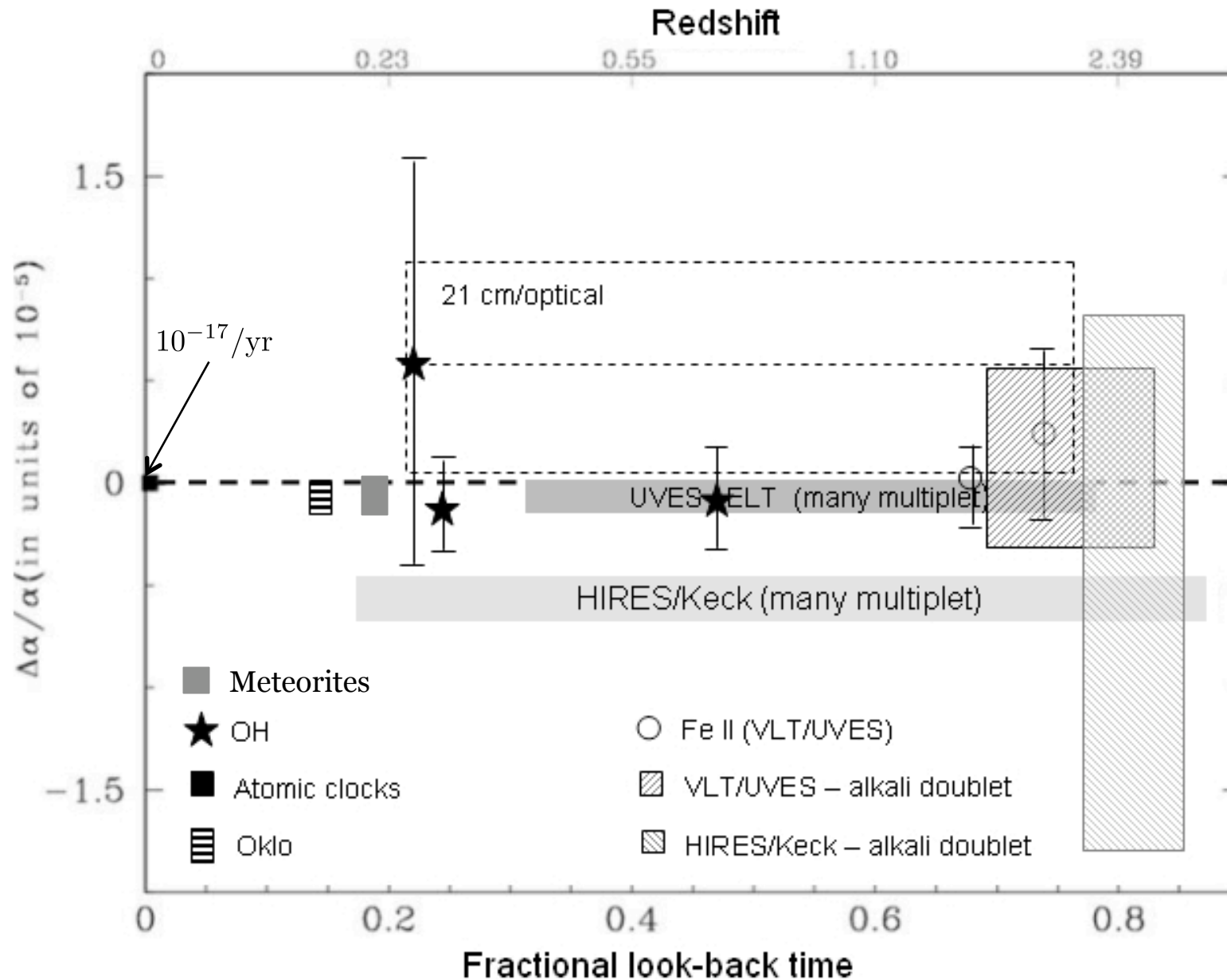
origin of primordial fluctuations

$$\sigma_T \propto \alpha^2 / m_e$$

## Analysis of WMAP data

$$\Delta\alpha/\alpha = (-1.5 \pm 3.5) \times 10^{-2} \quad z \sim 10^3$$

# Summary of the constraints on $\alpha$





# Part III: Coupled variation

*Example of BBN &  $3\alpha$*

# BBN: generality

BBN predicts the primordial abundances of D, He-3, He-4, Li-7

Mainly based on the balance between

1- expansion rate of the universe

2- weak interaction rate which controls  $n/p$  at the onset of BBN

**Example:** helium production

$$Y = \frac{2(n/p)_N}{1+(n/p)_N}$$

$$(n/p)_f \sim e^{-Q/k_B T_f}$$
$$(n/p)_N \sim (n/p)_f e^{-t_N/\tau_n}$$

$(B_D, \eta)$   
↙

freeze-out temperature is roughly given by  $G_F^2 (k_B T_f)^5 = \sqrt{GN} (k_B T_f)^2$

Coulomb barrier:  $\sigma = \frac{S(E)}{E} e^{-2\pi\alpha Z_1 Z_2 \sqrt{\mu/2E}}$

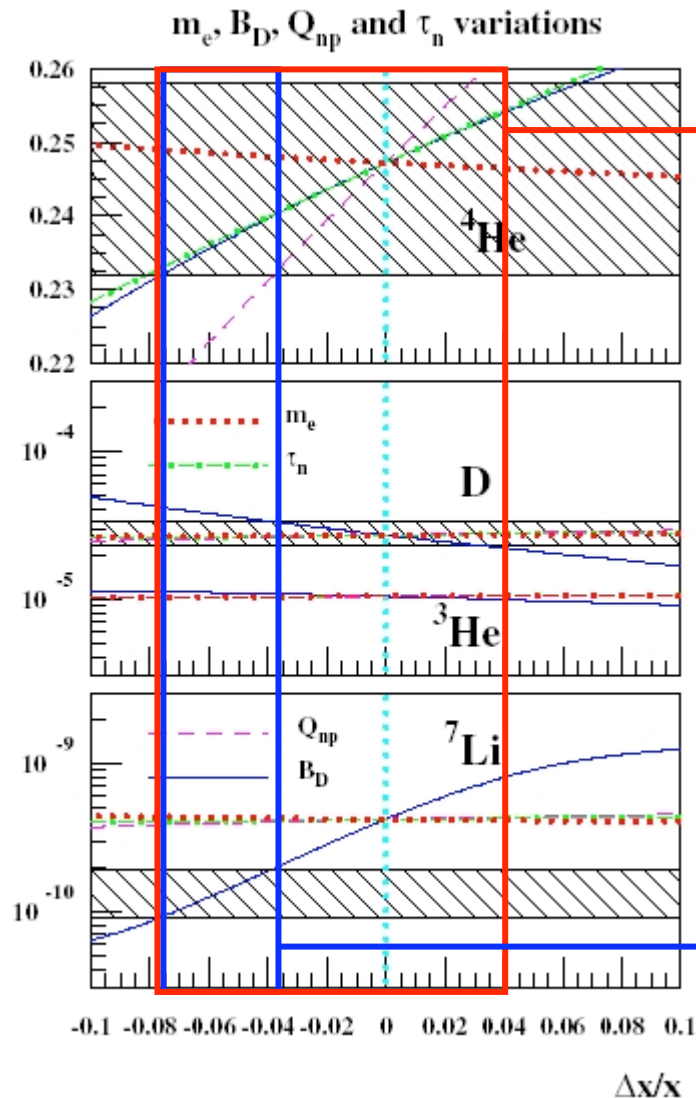
Predictions depend on

$$G_k = (G, \alpha, \tau_n, m_e, Q, B_D, \sigma_i)$$

$$X = (\eta, h, N_\nu, \dots)$$

# BBN: effective BBN parameters

Independent variations of the BBN parameters



$$-7.5 \times 10^{-2} < \frac{\Delta B_D}{B_D} < 6.5 \times 10^{-2}$$

$$-8.2 \times 10^{-2} < \frac{\Delta \tau_n}{\tau_n} < 6 \times 10^{-2}$$

$$-4 \times 10^{-2} < \frac{\Delta Q}{Q} < 2.7 \times 10^{-2}$$

Abundances are very sensitive to  $B_D$ .  
Equilibrium abundance of D and the reaction rate  $p(n,\gamma)D$  depend exponentially on  $B_D$ .

These parameters are not independent.

**Difficulty:** QCD and its role in low energy nuclear reactions.

$$-7.5 \times 10^{-2} < \frac{\Delta B_D}{B_D} < -4 \times 10^{-2}$$



# BBN: fundamental parameters (1)

**Neutron-proton mass difference:**

$$Q = m_n - m_p = a\alpha\Lambda + (h_d - h_u)v$$

$$\frac{\Delta Q}{Q} = -0.6 \left( \frac{\Delta\alpha}{\alpha} + \frac{\Delta\Lambda}{\Lambda} \right) + 1.6 \left( \frac{\Delta(h_d - h_u)}{h_d - h_u} + \frac{\Delta v}{v} \right)$$

**Neutron lifetime:**

$$\tau_n^{-1} = G_F^2 m_e^5 f(Q/m_e) \quad m_e = h_e v$$
$$G_F = 1/\sqrt{2}v^2$$

$$\frac{\Delta\tau_n}{\tau_n} = -4.8 \frac{\Delta v}{v} + 1.5 \frac{\Delta h_e}{h_e} - 10.4 \frac{\Delta(h_d - h_u)}{h_d - h_u} + 3.8 \left( \frac{\Delta\alpha}{\alpha} + \frac{\Delta\Lambda}{\Lambda} \right)$$

# BBN: fundamental parameters (2)

## D binding energy:

Use a potential model  $V_{nuc} = \frac{1}{4\pi r}(-g_s^2 e^{-rm_\sigma} + g_v^2 e^{-rm_\omega})$

$$\frac{\Delta B_D}{B_D} = -48 \frac{\Delta m_\sigma}{m_\sigma} + 50 \frac{\Delta m_\omega}{m_\omega} + 6 \frac{\Delta m_N}{m_N}$$

Flambaum, Shuryak 2003

Most important parameter beside  $\Lambda$  is the strange quark mass.  
One needs to trace the dependence in  $m_s$ .

$$\frac{\Delta m_\sigma}{m_\sigma} \sim 0.54 \frac{\Delta m_s}{m_s}$$

$$\frac{\Delta m_\omega}{m_\omega} \sim 0.15 \frac{\Delta m_s}{m_s}$$

$$\frac{\Delta m_N}{m_N} \sim 0.12 \frac{\Delta m_s}{m_s}$$

$$\frac{\Delta B_D}{B_D} = 18 \frac{\Delta \Lambda}{\Lambda} - 17 \left( \frac{\Delta v}{v} + \frac{\Delta h_s}{h_s} \right)$$

This allows to determine all the primary parameters in terms of  $(h_i, v, \Lambda, \alpha)$

# BBN: assuming GUT

## GUT:

The low-energy expression for the QCD scale

$$\Lambda = \mu \left( \frac{m_c m_b m_t}{\mu^3} \right)^{2/27} \exp \left( - \frac{2\pi}{9\alpha_3(\mu)} \right)$$

We deduce

$$\frac{\Delta\Lambda}{\Lambda} = R \frac{\Delta\alpha}{\alpha} + \frac{2}{27} \left( 3 \frac{\Delta v}{v} + \sum_{i=c,b,t} \frac{\Delta h_i}{h_i} \right)$$

The value of  $R$  depends on the particular GUT theory and particle content  
Which control the value of  $M_{\text{GUT}}$  and of  $\alpha(M_{\text{GUT}})$ .

Typically  $R=36$ .

Assume (for simplicity)  $h_i=h$

$$\frac{\Delta B_D}{B_D} = -13 \left( \frac{\Delta v}{v} + \frac{\Delta h}{h} \right) + 18R \frac{\Delta\alpha}{\alpha}$$

$$\frac{\Delta Q}{Q} = 1.5 \left( \frac{\Delta v}{v} + \frac{\Delta h}{h} \right) - 0.6(1+R) \frac{\Delta\alpha}{\alpha}$$

$$\frac{\Delta \tau_n}{\tau_n} = -4 \frac{\Delta v}{v} - 8 \frac{\Delta h}{h} + 3.8(1+R) \frac{\Delta\alpha}{\alpha}$$

$$(\alpha, v, h)$$

# Stellar carbon production

## □ Helium burning

- Triple alpha reaction  $3\alpha \rightarrow {}^{12}\text{C}$
- Competing with  ${}^{12}\text{C}(\alpha, \gamma){}^{16}\text{O}$

## □ Hydrogen burning (at $Z = 0$ )

- Slow pp chain
- CNO with **C from  $3\alpha \rightarrow {}^{12}\text{C}$**

## □ Three steps :

- $\alpha\alpha \leftrightarrow {}^8\text{Be}$  (lifetime  $\sim 10^{-16}$  s) leads to an equilibrium
- ${}^8\text{Be} + \alpha \rightarrow {}^{12}\text{C}^*$  (288 keV,  $l=0$  resonance, the “Hoyle state”)
- ${}^{12}\text{C}^* \rightarrow {}^{12}\text{C} + 2\gamma$

## □ Resonant reaction unlike e.g. ${}^{12}\text{C}(\alpha, \gamma){}^{16}\text{O}$

- Sensitive to the position of the “Hoyle state”
- Sensitive to the variation of “constants”

${}^{12}\text{C}$  production and variation of the strong interaction [Rozenal 1988]

C/O in Red Giant stars [Oberhummer et al. 2000; 2001]

1.3, 5 and 20  $M_{\odot}$  stars,  $Z=Z_{\odot}$  / Limits on effective N-N interaction

C/O in low, intermediate and high mass stars [Schlattl et al. 2004]

1.3, 5, 15 and 25  $M_{\odot}$  stars,  $Z=Z_{\odot}$  / Limits on resonance energy shift

# Stellar carbon production

## Triple $\alpha$ coincidence (Hoyle)

1. Equilibrium between  ${}^4\text{He}$  and the short lived ( $\sim 10^{-16}$  s)  ${}^8\text{Be}$  :  $\alpha\alpha \leftrightarrow {}^8\text{Be}$
2. Resonant capture to the ( $l=0, J^\pi=0^+$ ) Hoyle state:  ${}^8\text{Be} + \alpha \rightarrow {}^{12}\text{C}^* (\rightarrow {}^{12}\text{C} + \gamma)$

## Simple formula used in previous studies

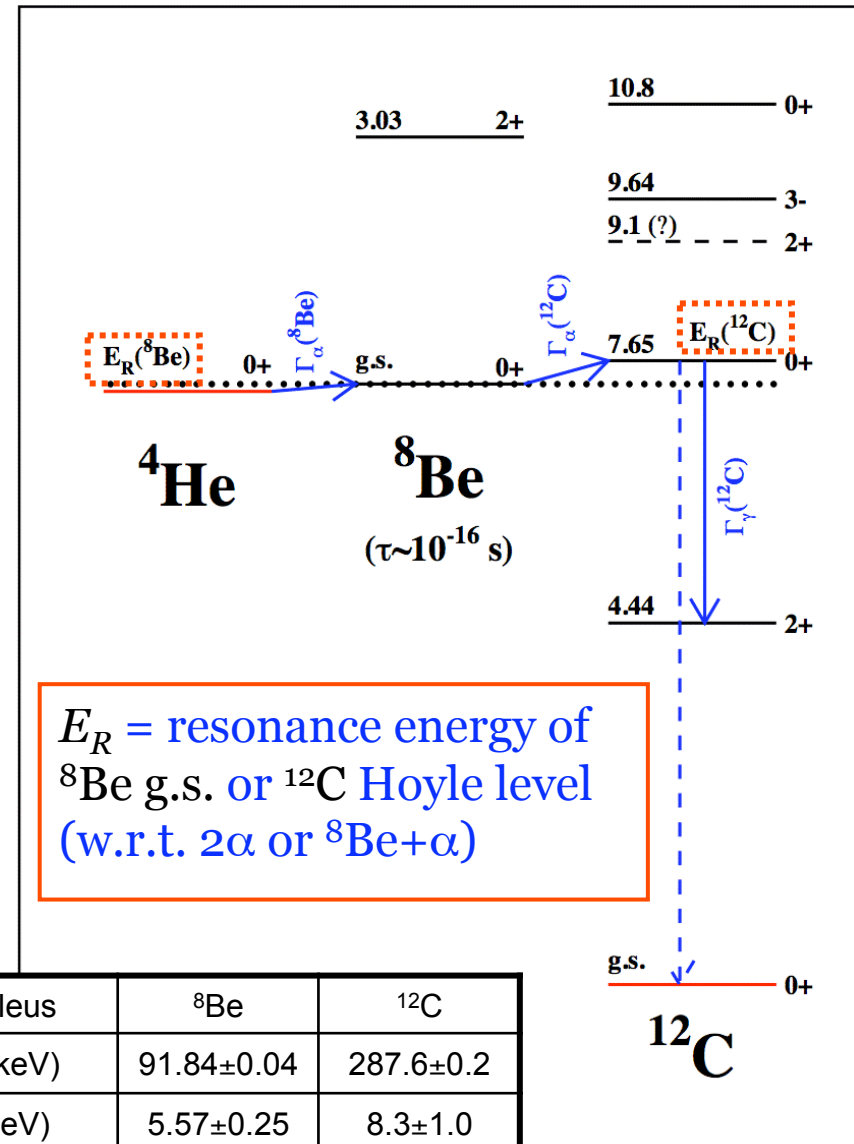
1. Saha equation (thermal equilibrium)
2. Sharp resonance analytic expression:

$$N_A^2 \langle \sigma v \rangle^{\alpha\alpha\alpha} = 3^{3/2} 6 N_A^2 \left( \frac{2\pi}{M_\alpha k_B T} \right)^3 \hbar^5 \gamma \exp\left( \frac{-Q_{\alpha\alpha\alpha}}{k_B T} \right)$$

with  $Q_{\alpha\alpha\alpha} = E_R({}^8\text{Be}) + E_R({}^{12}\text{C})$  and  $\gamma \approx \Gamma_\gamma$

## Approximations

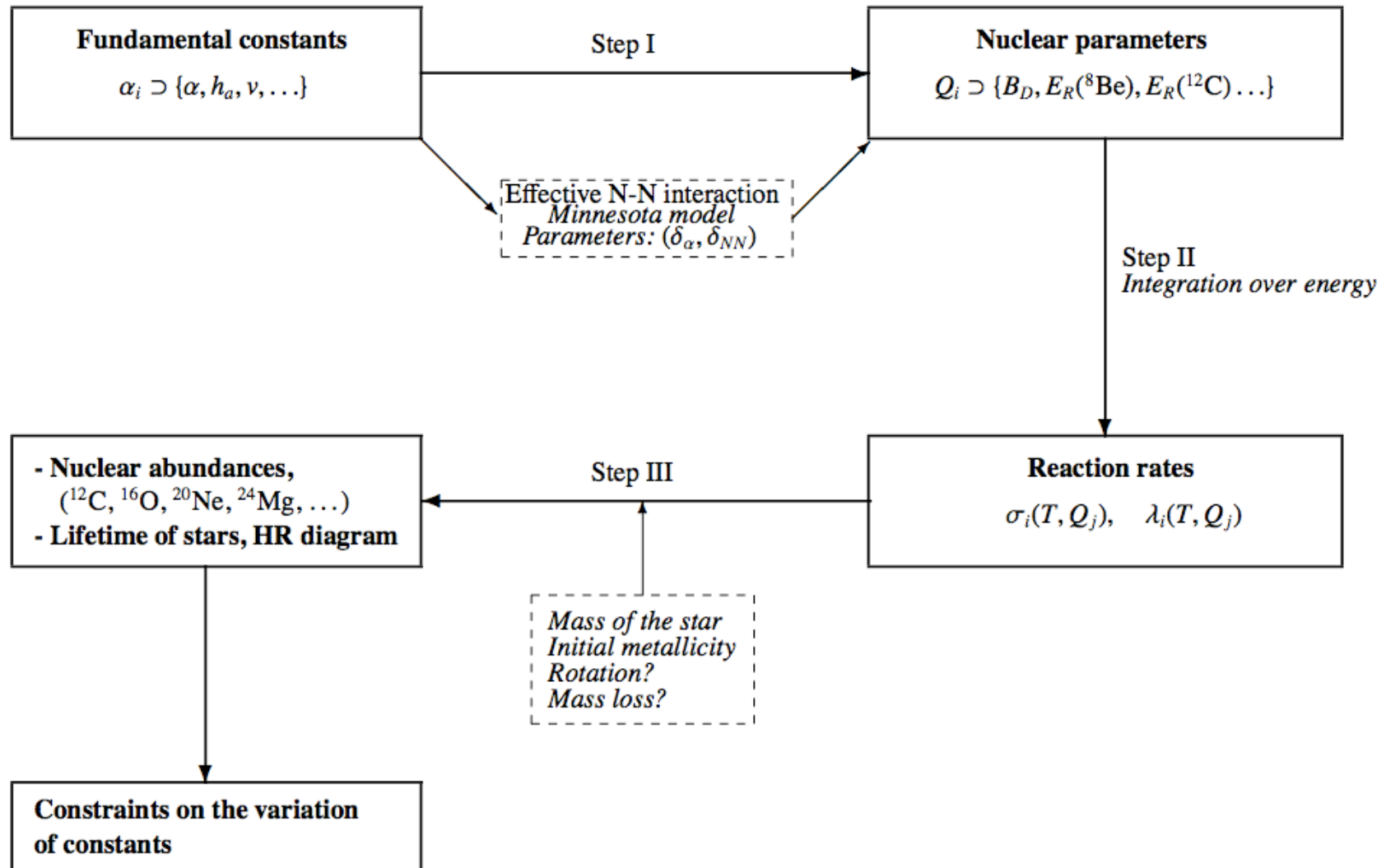
1. Thermal equilibrium
2. Sharp resonance
3.  ${}^8\text{Be}$  decay faster than  $\alpha$  capture



$E_R$  = resonance energy of  ${}^8\text{Be}$  g.s. or  ${}^{12}\text{C}$  Hoyle level (w.r.t.  $2\alpha$  or  ${}^8\text{Be} + \alpha$ )

Nucleus	${}^8\text{Be}$	${}^{12}\text{C}$
$E_R$ (keV)	$91.84 \pm 0.04$	$287.6 \pm 0.2$
$\Gamma_\alpha$ (eV)	$5.57 \pm 0.25$	$8.3 \pm 1.0$
$\Gamma_\gamma$ (meV)	-	$3.7 \pm 0.5$

# Modelisation



# Microscopic calculation

## □ Hamiltonian:

$$H = \sum_{i=1}^A T(r_i) + \sum_{i<j=1}^A (V_{Coul.}(r_{ij}) + V_{Nucl.}(r_{ij}))$$

Where  $V_{Nucl.}(r_{ij})$  is an effective Nucleon-Nucleon interaction

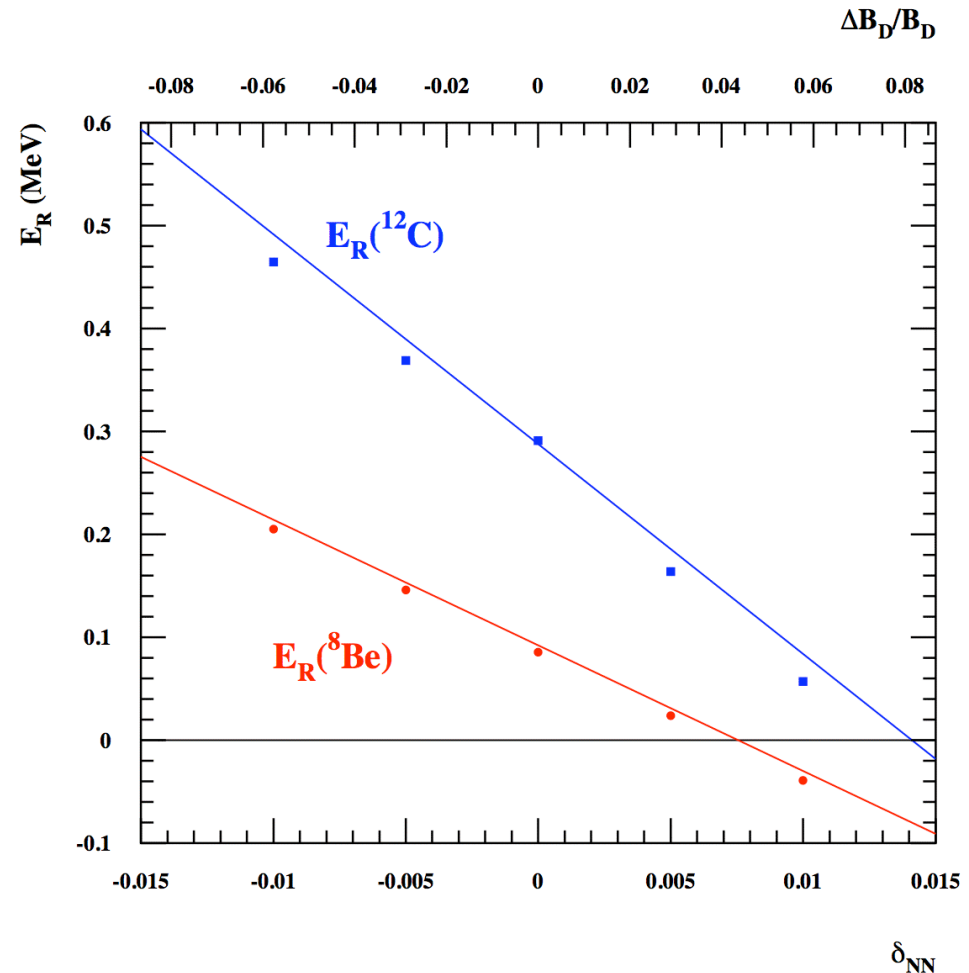
□ Minnesota N-N force [Thompson et al. 1977] optimized to reproduce low energy N-N scattering data and  $B_D$  (deuterium binding energy)

□  $\alpha$ -cluster approximation for  ${}^8\text{Be}^{\text{g.s.}}$  ( $2\alpha$ ) and the Hoyle state ( $3\alpha$ ) [Kamimura 1981]

## □ Scaling of the N-N interaction

$$V_{Nucl.}(r_{ij}) \rightarrow (1 + \delta_{NN}) \times V_{Nucl.}(r_{ij})$$

to obtain  $B_D$ ,  $E_R({}^8\text{Be})$ ,  $E_R({}^{12}\text{C})$  as a function of  $\delta_{NN}$ :

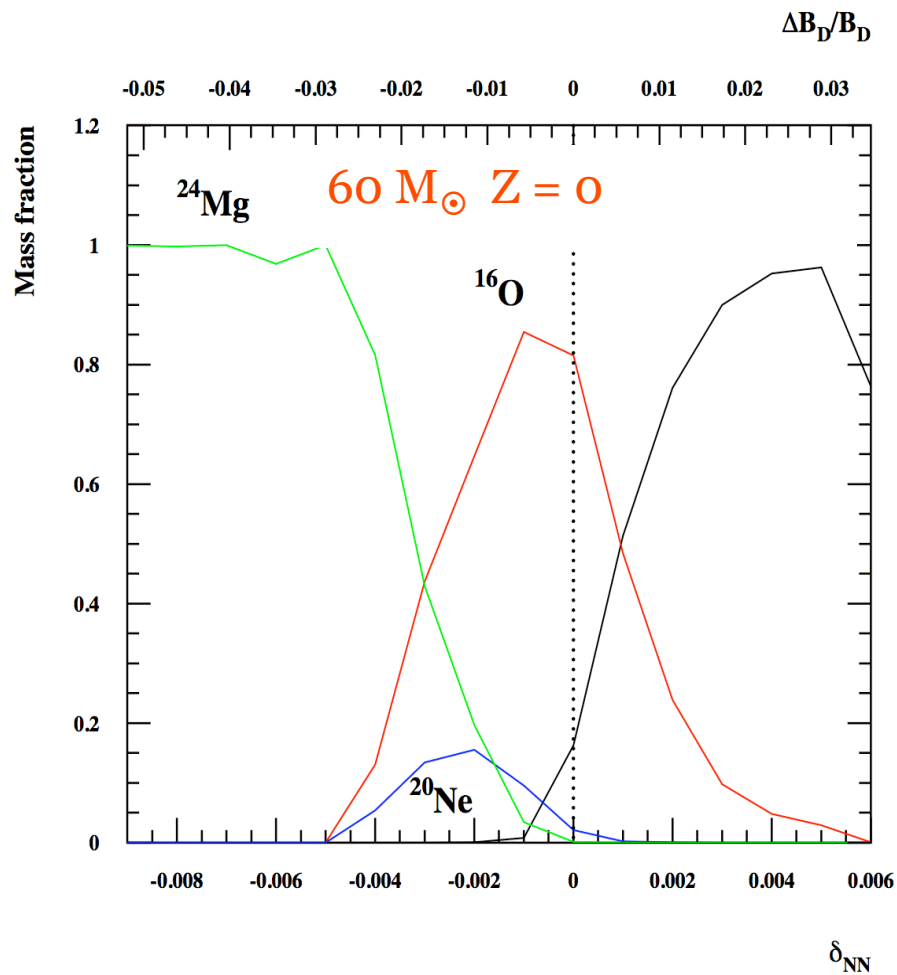


□ Link to fundamental couplings through  $B_D$  or  $\delta_{NN}$

# Composition at the end of core He burning

Stellar evolution of massive Pop. III stars

We choose *typical* masses of  $15$  and  $60 M_{\odot}$  stars/  $Z=0 \Rightarrow$  Very specific stellar evolution



- **The standard region:** Both  $^{12}\text{C}$  and  $^{16}\text{O}$  are produced.
- **The  $^{16}\text{O}$  region:** The  $3\alpha$  is slower than  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  resulting in a higher  $T_C$  and a conversion of most  $^{12}\text{C}$  into  $^{16}\text{O}$
- **The  $^{24}\text{Mg}$  region:** With an even weaker  $3\alpha$ , a higher  $T_C$  is achieved and  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}(\alpha,\gamma)^{20}\text{Ne}(\alpha,\gamma)^{24}\text{Mg}$  transforms  $^{12}\text{C}$  into  $^{24}\text{Mg}$
- **The  $^{12}\text{C}$  region:** The  $3\alpha$  is faster than  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  and  $^{12}\text{C}$  is not transformed into  $^{16}\text{O}$



# Constraints

From stellar evolution of zero metallicity 15 and 60  $M_{\odot}$  at redshift  $z = 10 - 15$

- Excluding a core dominated by  $^{24}\text{Mg} \Rightarrow \delta_{NN} > -0.005$   
or  $\Delta B_D/B_D > -0.029$
- Excluding a core dominated by  $^{12}\text{C} \Rightarrow \delta_{NN} < 0.003$   
or  $\Delta B_D/B_D < 0.017$
- Requiring  $^{12}\text{C}/^{16}\text{O}$  close to unity  $\Rightarrow -0.0005 < \delta_{NN} < 0.0015$   
or  $-0.003 < \Delta B_D/B_D < 0.009$

$$\Delta B_D/B_D \approx 5.77 \times \delta_{NN}$$

Conservative constraint on Nucleosynthesis

$$^{12}\text{C}/^{16}\text{O} \sim 1 \Rightarrow -0.0005 < \delta_{NN} < 0.0015$$

$$\text{or } -0.003 < \Delta B_D/B_D < 0.009$$

# Conclusions

Constants are a transversal way to look at the history of physics and at the structure of its theory.

Observational developments allow to set strong constraints on their possible variation

They allow to test general relativity and may open a window on more fundamental theories of gravity

# Future evolution

