

BOLTZMANN
MUNICH, 11-13 OCTOBER

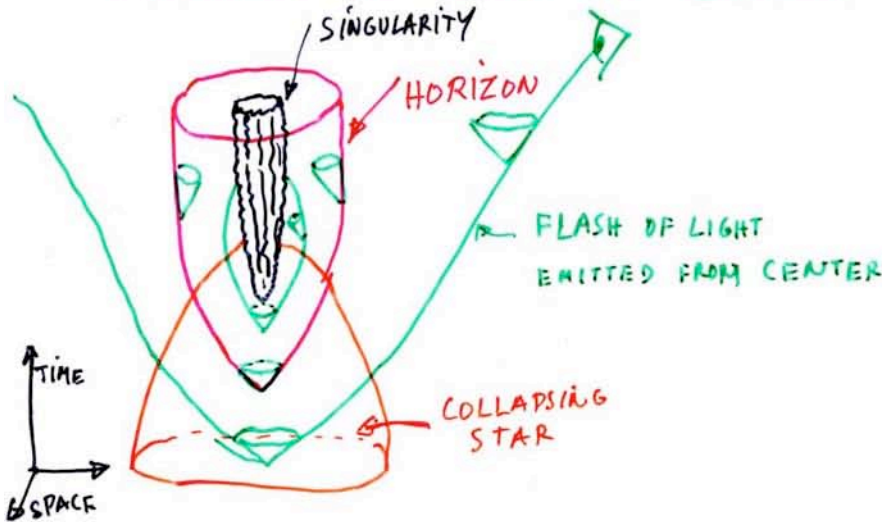
THE ENTROPY
OF
BLACK HOLES

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BLACK HOLE ^{B 1} (Oppenheimer, Snyder '39; Kruskal '60; Penrose '60; ...)

COLLAPSE OF A STAR IN GENERAL RELATIVITY



EXTERNAL METRIC (Schwarzschild '16)

$R_{\mu\nu} = 0$
SPHERICAL SYMMETRY

$$ds_{\text{SCHW}}^2 = -\left(1 - \frac{2GM}{c^2 r}\right) c^2 dt^2 + \frac{dr^2}{1 - \frac{2GM}{c^2 r}} + r^2(d\theta^2 + \sin^2\theta d\varphi^2)$$

"SCHWARZSCHILD RADIUS": $r_s = \frac{2GM}{c^2} \leftrightarrow$ "HORIZON": REGULAR HYPERSURFACE
 $r = r_s : \mathcal{H}(v, \theta, \varphi)$

Eddington-Finkelstein coordinates:

$(t, r, \theta, \varphi) \rightarrow (v, r, \theta, \varphi)$

$$v \equiv t + r_*$$

$$r_* \equiv \int \frac{dr}{1 - \frac{2GM}{c^2 r}} \\ \equiv r + \frac{2GM}{c^2} \ln\left(\frac{r}{\frac{2GM}{c^2}} - 1\right)$$

$$ds_{\text{SCHW}}^2 = -\left(1 - \frac{2GM}{c^2 r}\right) dv^2 + 2dvdr + r^2(d\theta^2 + \sin^2\theta d\varphi^2)$$

$r = \frac{2GM}{c^2}$: REGULAR NULL HYPERSURFACE



(SINGULARITY: $r = 0$)
SPACELIKE

BLACK HOLE = PHYSICAL OBJECT: 'GRAVITATIONAL SOLITON'

B2

- 'NO HAIR THEOREMS' (Israel, Carter, ...)

ISOLATED BLACK HOLE RELAXES (ON TIME SCALE $\sim GM/c^3$)

TO A (CLASSICAL) STATE CHARACTERIZED BY A FEW

GLOBAL PARAMETERS: M (MASS), J (ANGULAR MOMENTUM), Q (ELECTRIC CHARGE)

UNIQUE (CLASSICAL) EXTERNAL GEOMETRY: $ds^2(M, J, Q)$
KERR-NEWMAN

- INEQUALITY
($c=1$)

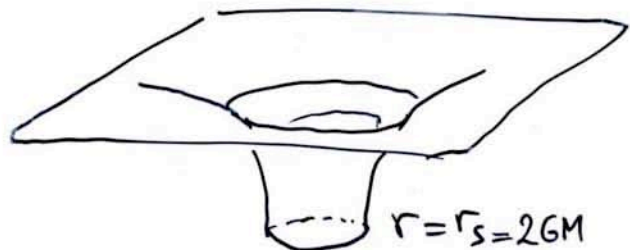
$$(GM)^2 \geq \left(\frac{J}{M}\right)^2 + GQ^2$$

EXTREMAL BHs: $(GM)^2 = \left(\frac{J}{M}\right)^2 + GQ^2$

GEOMETRY OF EXTERNAL SPATIAL SECTIONS

NON-EXTREMAL (SCHWARZSCHILD)

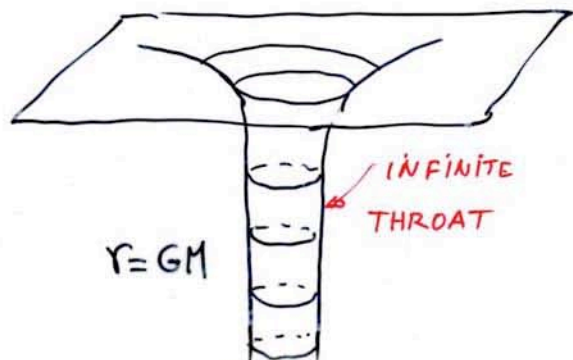
$$t = \text{cst}: ds^2 = \frac{dr^2}{1 - \frac{2GM}{c^2 r}} + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$



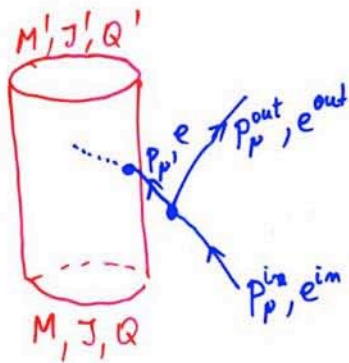
EXTREMAL REISSNER-NORDSTRÖM

$$\sqrt{G} M = |Q|$$

$$ds^2 = -\left(1 - \frac{GM}{c^2 r}\right)^2 c^2 dt^2 + \frac{dr^2}{\left(1 - \frac{GM}{c^2 r}\right)^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$



BLACK HOLE ENERGETICS (Penrose, Christodoulou-Ruffini) B3



$$M' = M + \delta M \quad ; \quad \delta M = E = -P_t$$

$$J' = J + \delta J \quad ; \quad \delta J = P_\phi$$

$$Q' = Q + \delta Q \quad ; \quad \delta Q = e$$

$$g^{\mu\nu} (P_\mu - e A_\mu) (P_\nu - e A_\nu) = -\mu^2$$

$$\delta M - \frac{a \delta J + r_+ Q \delta Q}{r_+^2 + a^2} = \frac{\Gamma_+^2 + a^2 \cos^2 \theta}{r_+^2 + a^2} |P^\Gamma|_{\mu} \geq 0$$

$(c=1)$
 $(G=1)$

$$a \equiv \frac{J}{M}$$

$$r_+ \equiv M + \sqrt{M^2 - a^2 - Q^2}$$

'radius of horizon'

'radial kinetic energy' on the horizon

INEQUALITY

$$\delta M \geq \frac{a \delta J + r_+ Q \delta Q}{r_+^2 + a^2}$$

REVERSIBLE TRANSFORMATIONS

$$\delta M = \frac{a \delta J + r_+ Q \delta Q}{r_+^2 + a^2}$$

$$(\delta M, \delta J, \delta Q) + (\delta' M, \delta' J, \delta' Q) = (\delta M, \delta J, \delta Q) + (\delta' M, \delta' J, \delta' Q)$$

$$\Rightarrow (M + \delta M, J + \delta J, Q + \delta Q) + (M + \delta' M, J + \delta' J, Q + \delta' Q)$$

$$= (M, J, Q)$$

IRREVERSIBLE TRANSFORMATIONS

$$\delta M > \frac{a \delta J + r_+ Q \delta Q}{r_+^2 + a^2}$$

ONE CAN INTEGRATE A SEQUENCE OF REVERSIBLE TRANSF.

$$dM = \left(\frac{a}{r_+^2 + a^2} \right) (J, Q) dJ + \left(\frac{r_+ Q}{r_+^2 + a^2} \right) (J, Q) dQ = d(M(J, Q))$$

(Christodoulou-Ruffini)

IRREVERSIBILITY IN BLACK HOLE PHYSICS

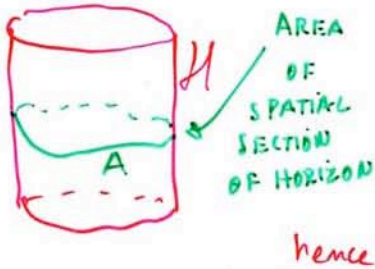
B4

Christodoulou-Ruffini
(MASS FORMULA)

$$M^2 = \left(M_{\text{irr}} + \frac{Q^2}{4M_{\text{irr}}} \right)^2 + \frac{J^2}{4M_{\text{irr}}^2}$$

WITH

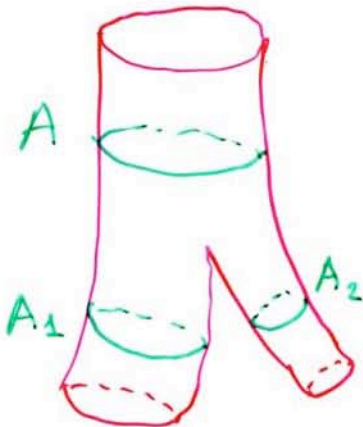
$$\delta M_{\text{irr}} \geq 0 \begin{cases} \delta M_{\text{irr}} = 0 & \text{REVERSIBLE} \\ \delta M_{\text{irr}} > 0 & \text{IRREVERS.} \end{cases}$$



$$A = 4\pi(r_+^2 + a^2) = 16\pi M_{\text{irr}}^2$$

$$\delta A \geq 0$$

MORE GENERALLY (Hawking)



$$\delta \left(\sum_a A_a \right) \geq 0$$

BLACK HOLE THERMODYNAMICS

If one defines

$$S_{BH} \equiv \alpha A$$

the following ANALOGIES hold

- "1ST LAW OF BH THERMODYNAMICS"

$$dM = \underbrace{\Omega}_{\substack{\uparrow \\ \text{SURFACE GRAVITY}}} dJ + \underbrace{\Phi}_{\substack{\uparrow \\ \text{SURFACE GRAVITY}}} dQ + \underbrace{T_{BH}}_{\substack{\uparrow \\ \text{SURFACE GRAVITY}}} dS_{BH}$$

$$(l^\nu \partial_\mu)_\nu = (\partial_t + \Omega \partial_\phi)_\mu$$

$$\Omega = \frac{a}{r_+^2 + a^2}$$

$$\Phi = \frac{Q r_+}{r_+^2 + a^2}$$

SURFACE GRAVITY

SURFACE GRAVITY

$$l^\nu \nabla_\nu l^\mu = \kappa l^\mu$$

$$\kappa = \frac{\sqrt{M^2 - a^2 - Q^2}}{r_+^2 + a^2}$$

$$T_{BH} = \frac{1}{\alpha} \left(\frac{\partial M}{\partial A} \right)_{J,Q} = \frac{\kappa}{8\pi \alpha}$$

SCHWARZ: $\kappa = \frac{GM}{r_s^2} = \frac{1}{4M}$

EXTREMAL BH: $\kappa = 0$

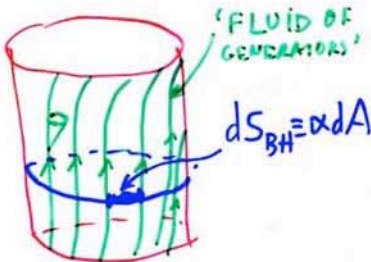
- "0TH LAW OF BH THERMODYNAMICS" (Carter)

$$T_{BH} \text{ IS UNIFORM OVER } \mathcal{H}$$

- "2ND LAW OF BH THERMODYNAMICS"

$$\delta S_{BH} \geq 0$$

- LOCAL VERSION OF 2ND LAW (Damour)



$$\frac{d}{dt}(dS_{BH}) - \tau \frac{d^2}{dt^2}(dS_{BH}) = \frac{dA}{T_{BH}} \left[2\eta \sigma_{AB}^{AB} + \zeta \theta^2 + \rho (\vec{J}_{BH} - \sigma \vec{v})^2 \right]$$

$\tau = \kappa^{-1}$ (SHEAR)
 $\eta = \frac{1}{16\pi}$ (SHEAR VISCOSITY)
 $\zeta = -\eta$ (BULK VISCOS.)
 $\rho = 4\pi = 377 \Omega m$ (SURFACE RESISTIVITY)
 JOULE EFFECT

BEKENSTEIN'S BLACK HOLE ENTROPY (172) ^{B6}

SEVERAL ARGUMENTS:

- ? REVERSIBLE TRANSF.: $\underbrace{\delta M - \Omega \delta J - \Phi \delta Q}_{\propto \delta M_{\text{irr}} \propto \delta A} = \frac{r_+^2 + a^2 \cos^2 \theta}{r_+^2 + a^2} |p^r|_{\mathcal{H}}$

NEED $p^r = 0$ @ $r = r_+$

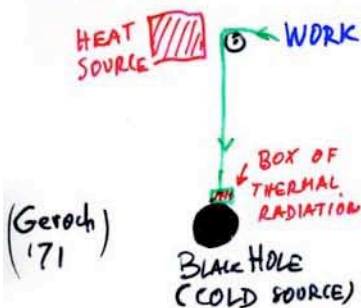
CONFLICT WITH HEISENBERG: $[r, p_r] = i\hbar$

→ QUANTUM LIMITATION: $\delta A \geq \mathcal{O}(\hbar)$ WHEN ABSORBING ONE PARTICLE
 I.E. LOSING ONE BIT OF INFORMATION

→ SUGGESTS

$$S_{\text{BH}} = \hat{\alpha} \frac{c^3 A}{\hbar G} \equiv \hat{\alpha} \frac{A}{\ell_{\text{PLANCK}}^2} \quad \text{WITH} \quad \hat{\alpha} = \mathcal{O}(1)$$

- QUANTUM LIMITATIONS ON EFFICIENCY OF CARNOT CYCLES

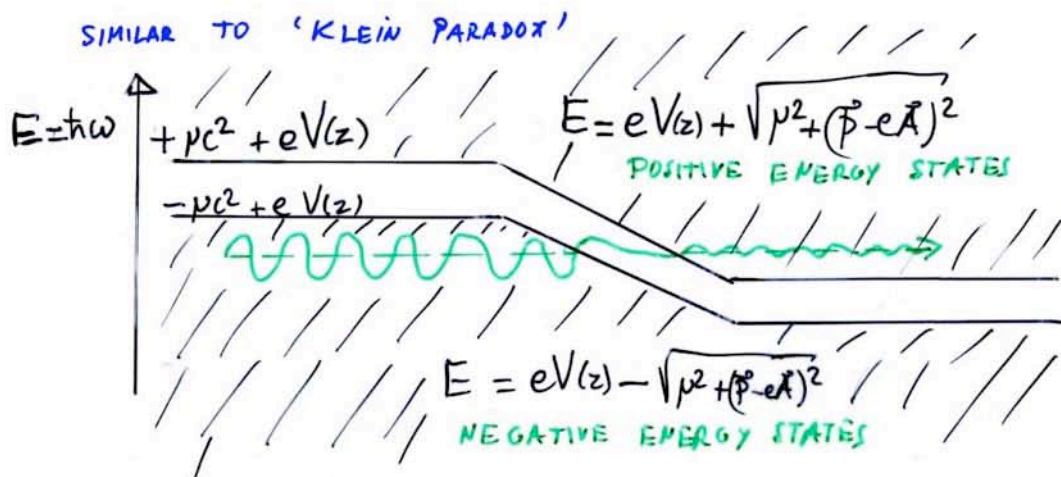
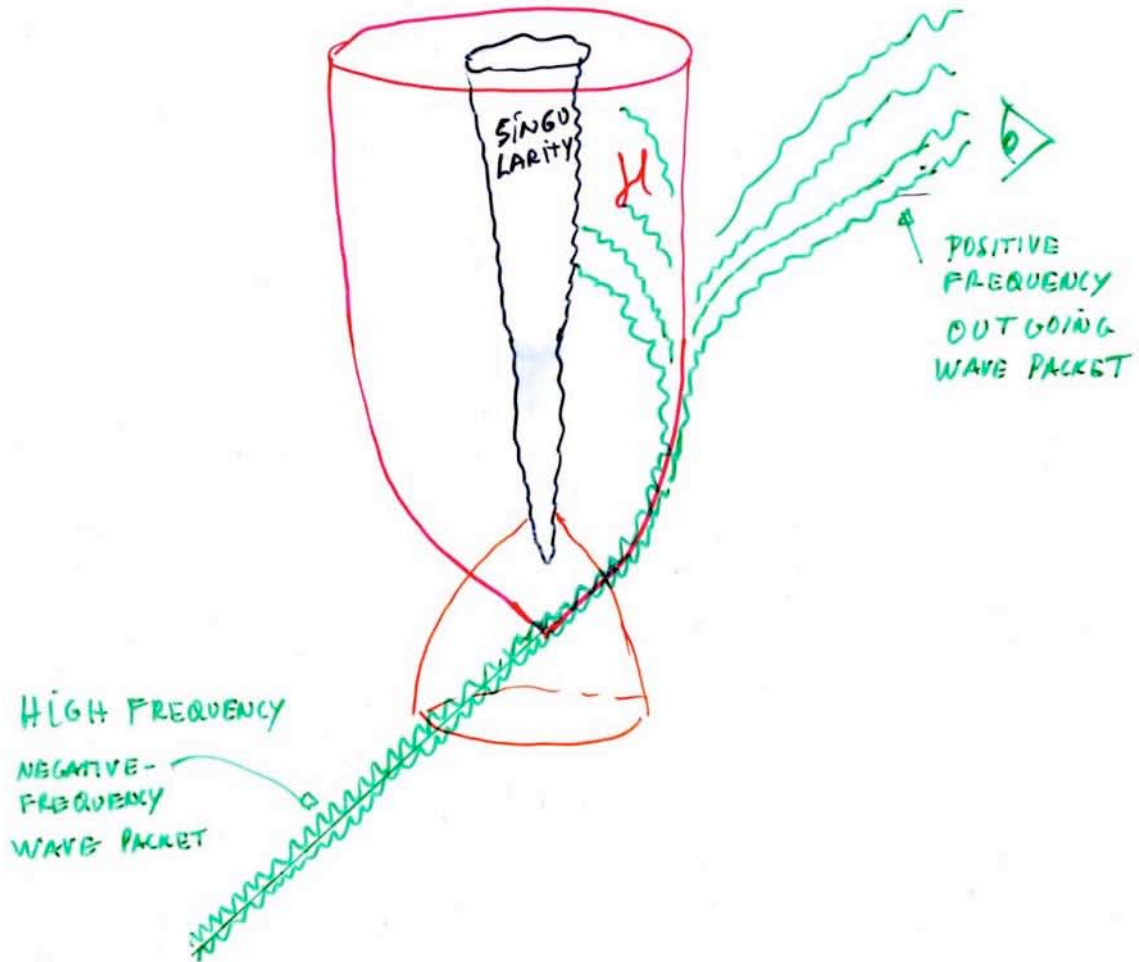


$$T_{\text{BH}} \sim \frac{\hbar}{c} \kappa$$

- SUGGESTION OF \exists 'GENERALIZED SECOND LAW'

$$\delta(S_{\text{BH}} + S_{\text{EXTERIOR}}) \geq 0$$

HAWKING RADIATION ('74)



CREATION OF PARTICLE-ANTIPARTICLE PAIR $\propto |\text{TRANSITION } (E < 0) \rightarrow (E > 0)|^2$

MASSLESS SCALAR FIELD IN SCHWARZSCHILD SPACETIME B8

$$0 = \square_g \varphi \equiv \frac{1}{\sqrt{g}} \partial_\nu (\sqrt{g} g^{\mu\nu} \partial_\nu \varphi)$$

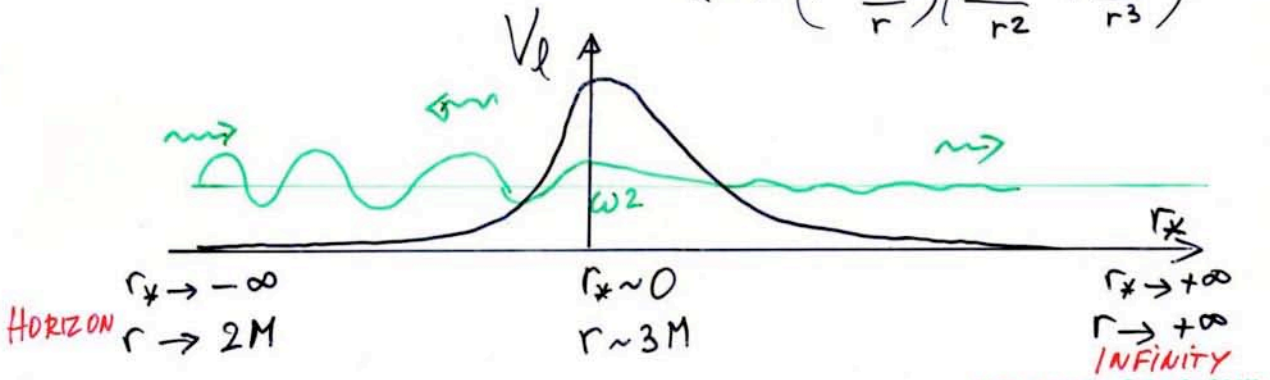
$$\varphi_{\omega, l, m}(t, r, \theta, \varphi) = \frac{e^{-i\omega t}}{\sqrt{2\pi|\omega|}} \frac{u_{\omega l m}(r)}{r} Y_{lm}(\theta, \varphi)$$

$$\boxed{r_* \equiv \int \frac{dr}{1 - \frac{2M}{r}} = r + 2M \ln\left(\frac{r}{2M} - 1\right)} \quad \begin{aligned} & -\left(1 - \frac{2M}{r}\right) dt^2 + \frac{dr^2}{1 - \frac{2M}{r}} \\ & = \left(1 - \frac{2M}{r}\right) [-dt^2 + dr_*^2] \end{aligned}$$

$$\frac{\partial^2 u}{\partial r_*^2} + (\omega^2 - V_l(r(r_*))) u = 0$$

EFFECTIVE RADIAL POTENTIAL

$$V_l(r) = \left(1 - \frac{2M}{r}\right) \left(\frac{l(l+1)}{r^2} + \frac{2M}{r^3}\right)$$



NEAR HORIZON

$$u_\omega^H = e^{-i\omega(t - r_*)}$$

USE EDGING-FINK COORDS

$$v = t + r_* \Rightarrow t - r_* = v - r_*$$

MODULO PENETRABILITY FACTOR OF POTENTIAL BARRIER (GREY BODY FACTOR) $\Gamma_l(\omega)$

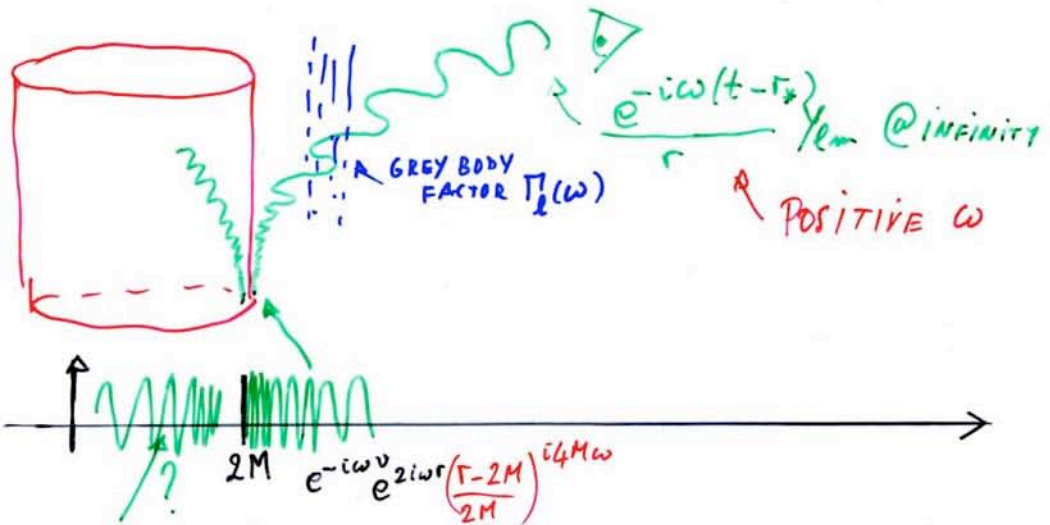
$$u_\omega(r) \sim e^{-i\omega(t - r_*)}$$

$$\left[\varphi_\omega^{\text{out}}(\sigma, r) \right]_{\text{NEAR BUT OUT}} \propto e^{-i\omega(v - 2r_*)} = e^{-i\omega v} e^{2i\omega r} \left(\frac{r - 2M}{2M}\right)^{i4M\omega}$$

↑ CRUCIAL FACTOR

HAWKING TUNNELLING

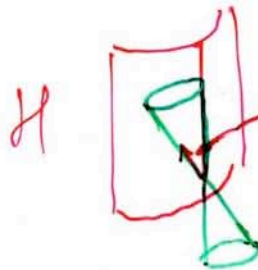
B9



? CONTINUATION BELOW $r=2M$ SO AS TO HAVE A NEGATIVE FREQ. WAVE PACKET

LOCAL (FLAT SPACE)
CRITERION FOR ' $\omega < 0$ '

$$\Phi_-(x) = \int_C d^4k \tilde{\Phi}(k) e^{ik_\mu x^\mu} \leftrightarrow \Phi_-(x^\mu + iy^\mu) \text{ EXISTS}$$



$r \rightarrow r - \epsilon$ IS FUTURE DIRECTED



NEG. FREQ. WAVE PACKET DEFINED BY

$r - 2M \rightarrow r - 2M - i\epsilon$ ANALYTIC CONTINUATION

$$\begin{aligned} n_{\omega}(v, r) &= N_{\omega} \Phi_{\omega}^{\text{out}}(v, r - i\epsilon) \propto (r - 2M - i\epsilon)^{i4M\omega} \\ &= N_{\omega} \left[\theta(r - 2M) \Phi_{\omega}^{\text{out}}(r - 2M) + e^{4\pi M\omega} \theta(2M - r) \Phi_{\omega}^{\text{out}}(2M - r) \right] \\ &\quad \uparrow \\ &\quad (e^{-i\pi})^{i4M\omega} = e^{+4\pi M\omega} \end{aligned}$$

THEN

$$|N_{\omega}|^2 = \frac{1}{e^{8\pi M\omega} - 1}$$

BOLTZMANN-PLANCK
FACTOR

HAWKING TEMPERATURE

B10

RATE OF PARTICLE CREATION BY BLACK HOLE

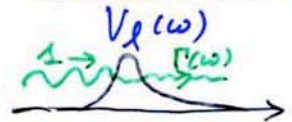
$$\frac{d}{dt} \langle N \rangle = \int \frac{d\omega}{2\pi} \sum_{l,m} |N_{\omega}|^2 \Gamma_l(\omega)$$

$$= \sum_{l,m} \int \frac{d\omega}{2\pi} \frac{1}{e^{8\pi M\omega} - 1} \Gamma_l(\omega)$$

PLANCK SPECTRUM

TEMPERATURE

GREY BODY FACTOR:
TRANSMISSION
COEFFICIENT THROUGH



= ABSORPTION CROSS SECTION
 $\sigma_l(\omega)$

$$T_{BH} = \frac{1}{8\pi M} = \frac{1}{2\pi} \frac{\hbar}{c} \kappa$$

SCHWARZSCHILD

GENERAL
BLACK HOLE

SURFACE GRAVITY $l^\nu \nabla_\nu l^\mu = \kappa l^\mu$

$$dM = \dots + \frac{\kappa dA}{8\pi}$$

KERR-NEWMAN

$$\kappa = \frac{\sqrt{M^2 - a^2 - Q^2}}{r_+^2 + a^2}$$

$$T_{BH} = 0$$

FOR EXTREMAL BH'S

BEKENSTEIN-
HAWKING
ENTROPY

$$S_{BH} = \frac{1}{4} \frac{c^3}{\hbar G} A = \frac{1}{4} \frac{A}{l_{\text{PLANCK}}^2}$$

$\frac{1}{4}$ VALID IN ALL DIMENSIONS

CHALLENGES AND PUZZLES

B11

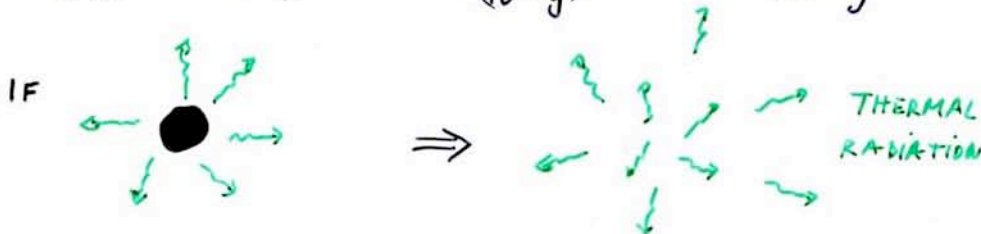
- PHYSICAL MEANING OF $S_{BH} = \frac{1}{4} \frac{A}{l_p^2}$?
 $S_{BH} = \ln(\# \text{ microscopic states})$?

UNIVERSALITY OF $\frac{1}{4} \rightarrow$ CONSISTENCY CONDITION ON ANY 'QUANTUM COMPLETION' OF GR

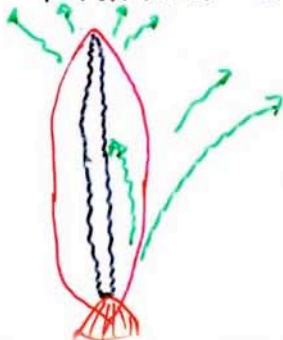
- ? GENERALIZED 2ND LAW? $\delta(S_{EXT} + \frac{1}{4} \frac{A}{l_p^2}) \geq 0$?
- FINAL STATE OF EVAPORATION PROCESS ?

SCHW: $\frac{dM}{dt} \sim -A T_{BH}^4 \sim -(M)^2 \left(\frac{1}{M}\right)^4 \sim -M^{-2}$
 $\Rightarrow M^3 \sim M_0^3 - (t - t_0)$

$t_{EVAPORATION} \sim t_P \left(\frac{M}{M_P}\right)^3 \sim 10^{-44} \text{ s} \left(\frac{M}{10^{-5} \text{ g}}\right)^3 \sim 10^{10} \text{ yr} \left(\frac{M}{10^{14} \text{ g}}\right)^3$

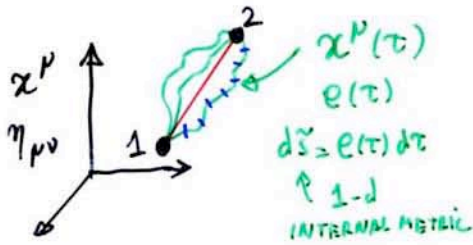


- ? PURE STATE \rightarrow THERMAL STATE ? UNITARITY VIOLATION ?
- ? 'INFORMATION LOSS' ?



- ? IS THERE A REMNANT ?
- ? WHAT HAPPENS TO THE CORRELATED MODES THAT FALL TOWARDS THE BH SINGULARITY ?

QUANTUM RELATIVISTIC PARTICLES AND QUANTUM FIELD THEORY



$$S[x^\mu(\tau), e(\tau)] = \frac{1}{2} \int d\tau \left[\frac{1}{e(\tau)} \eta_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} - e(\tau) m^2 \right]$$

CLASSICAL EOM (GAUGE $e=1$)

$$\begin{cases} \frac{d^2 x^\mu}{d\tau^2} = 0 \rightarrow x^\mu = x_0^\mu + p^\mu \tau \\ \eta_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = -m^2 \rightarrow \eta^{\mu\nu} p_\mu p_\nu = -m^2 \end{cases}$$

FIRST QUANTIZATION:

EITHER FEYNMAN: $A(2;1) = \int \frac{Dx^\mu(\tau) De(\tau)}{\text{diff}} e^{iS[x,e]} \propto \int_0^\infty d\tau \int \frac{d^D p}{(2\pi)^D} e^{i p_\mu (x_2^\mu - x_1^\mu)} e^{-\frac{i}{2} \tau (p^2 + m^2)}$

$$= \int \frac{d^D p}{(2\pi)^D} \frac{e^{i p \cdot (x_2 - x_1)}}{i(p^2 + m^2)} = G_F(x_2^\mu - x_1^\mu)$$

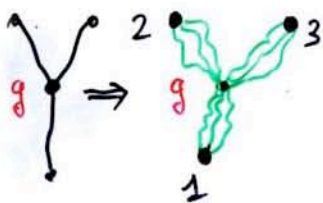
OR HEISENBERG: $\hat{x}^\mu(\tau) = \hat{x}_0^\mu + \hat{p}^\mu \tau$ WITH $[\hat{x}^\mu, \hat{p}_\nu] = i\hbar \delta_\nu^\mu$

SINGLE PARTICLE STATES: $|p_\mu; m\rangle$

$$\hat{P}_\mu |p_\mu; m\rangle = p_\mu |p_\mu; m\rangle$$

CONSTRAINT: $(\eta^{\mu\nu} \hat{p}_\mu \hat{p}_\nu + m^2) |p_\mu; m\rangle = 0$

SECOND QUANTIZATION: SPLITTING WORLDLINES \leftrightarrow INTERACTING MULTI-PARTICLE STATES

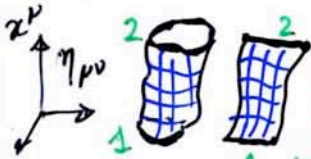


$$\Rightarrow A(2,3;1) = \int D^D x p e^{iS} = \int d^D x G_F(x_2 - x) G_F(x_3 - x) G_F(x - x_1)$$

(SPLITTING WORLDLINES)

\Leftrightarrow QUANTUM FIELD THEORY $\hat{S} = \int d^D x \left[-\frac{1}{2} (\partial \hat{\phi})^2 - \frac{m^2}{2} \hat{\phi}^2 - \frac{g}{3!} \hat{\phi}^3(x) \right]$

$$\sum_{\text{OUT}} P_2, P_3 \dots | P_1 \dots \rangle_{\text{IN}} = \prod_i (\square_i - m^2) A(x_2^\mu, x_3^\mu, \dots; x_1^\mu, \dots)$$



$X^\mu(\tau, \sigma)$
 $h_{ab}(\sigma)$
 $d\tilde{s}^2 = h_{ab}(\sigma) d\sigma^a d\sigma^b$
 2-d INTERNAL METRIC

$a, b = 0, 1 : \sigma^a = (\sigma^0, \sigma^1) = (\tau, \sigma)$

$$S[X^\mu(\tau, \sigma); \sqrt{-h} h^{ab}(\tau, \sigma)] = -\frac{1}{2} T \int d\tau d\sigma \sqrt{-h} h^{ab}(\tau, \sigma) \frac{\partial X^\mu}{\partial \sigma^a} \frac{\partial X^\nu}{\partial \sigma^b} \eta_{\mu\nu}$$

STRING TENSION $T = \frac{1}{2\pi\alpha'}$

STRING LENGTH $\alpha' = l_s^2 = \frac{1}{m_s^2}$

CLASSICAL EOM (GAUGE $\sqrt{-h} h^{ab} = \eta^{ab}$)

$$\left\{ \begin{array}{l} \partial_\tau^2 X^\mu - \partial_\sigma^2 X^\mu = 0 \\ T_{ab} = \partial_a X^\mu \partial_b X_\mu - \frac{1}{2} \eta_{ab} \eta^{cd} \partial_c X^\mu \partial_d X_\mu = 0 \end{array} \right.$$

FIRST QUANTIZATION

OPEN STRING (FREE ENDS)

$$\hat{X}^\mu(\tau, \sigma) = \hat{x}^\mu + 2l_s^2 \hat{p}^\mu \tau + i\sqrt{2} l_s \sum_{n \neq 0} \frac{\hat{\alpha}_n^\mu}{n} e^{-in\tau} \cos n\sigma$$

CENTER OF MASS

INTERNAL OSCILLATIONS

SIN $n\sigma$ FOR FIXED ENDS: ('DIRICHLET') BOUNDARY CONDITIONS

$$[\hat{x}^\mu, \hat{p}^\nu] = i\hbar \eta^{\mu\nu} \quad [\hat{\alpha}_m^\mu, \hat{\alpha}_n^\nu] = m \delta_{m+n}^0 \frac{\hbar}{m} \eta^{\mu\nu}$$

USUAL HARMONIC OSCILLATORS:

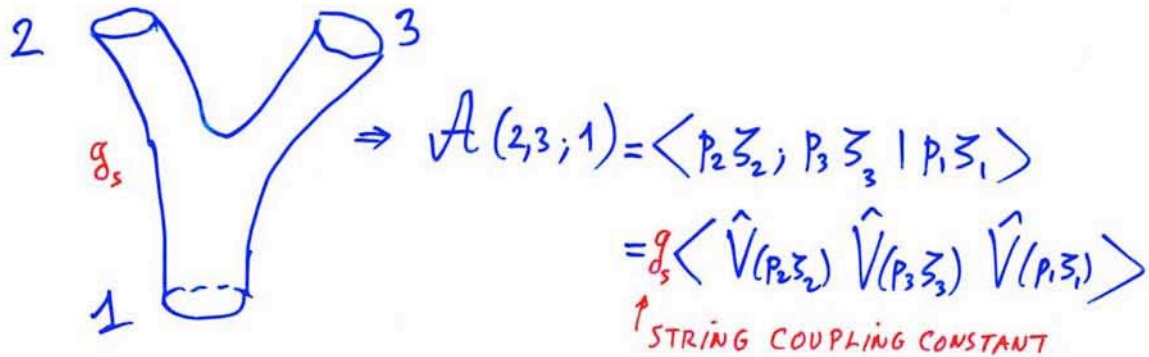
$$m > 0: a_m^\mu \equiv \frac{\alpha_m^\mu}{\sqrt{m}}; (a_m^\mu)^\dagger = \frac{\alpha_{-m}^\mu}{\sqrt{m}}$$

$$[a_m^\mu, a_n^{\nu\dagger}] = \eta^{\mu\nu} \delta_{m+n} \hbar$$

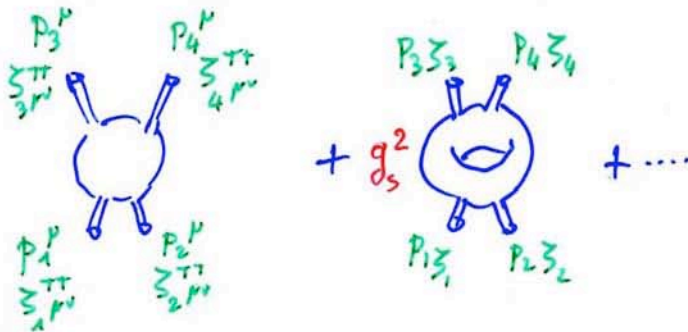
CONSTRAINTS: $\hat{T}_{ab}^{(>0 \text{ FREQ})} |Z\rangle = 0$

STRING INTERACTIONS : 'SPLITTING STRINGS'

B15



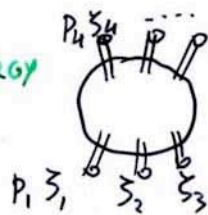
FOR INSTANCE : 2 GRAVITONS \rightarrow 2 GRAVITONS :



• CONSISTENCY OF STRING THY \Rightarrow $D = \text{FIXED}$ $\begin{cases} 26 \text{ BOSONIC} \\ 10 \text{ SUPERSTRING} \end{cases}$

• CONSISTENCY OF STRING THY \Rightarrow GAUGE INVARIANCE: $\zeta'_{\mu\nu} = \zeta_{\mu\nu} + p_\mu b_\nu + a_\mu p_\nu$
 \Rightarrow ASYMPTOTIC GR INVARIANCE: $h'_{\mu\nu}(z) = h_{\mu\nu}(z) + \partial_\mu \xi_\nu(z) + \partial_\nu \xi_\mu(z)$

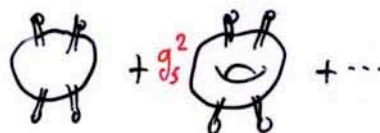
UNIQUENESS OF G.R. \Rightarrow LOW-ENERGY LIMIT



EINSTEIN'S PREDICTIONS FROM $\mathcal{L} = \sqrt{g} R = (\partial h)^2 + h \partial h \partial h + h^2 \partial h \partial h$
 $g_{\mu\nu}(z) = \eta_{\mu\nu} + h_{\mu\nu}(z)$

• l_s^2 : FUNDAMENTAL LENGTH \rightarrow \sim UV REGULATOR CURES THE UV PROBLEMS OF G.R.

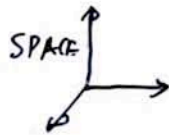
AND DEFINES A PERTURBATIVE QUANTUM GRAVITY THY :



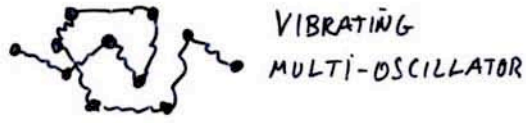
SOME NON-PERTURBATIVE ASPECTS OF STRING THEORY B16

'OLD' PERTURBATIVE STRING THEORY:

QUANTIZE



~ QUANTIZE



→ ∞ TOWER OF QUANTUM STATES = QUANTUM PARTICLES = QUANTUM FIELDS

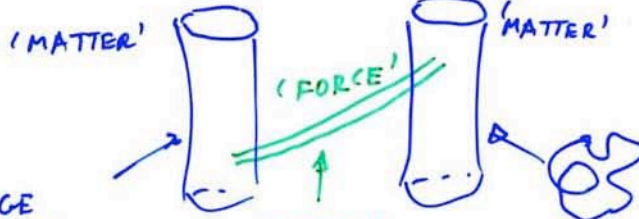


MASSIVE STATES
~ MATTER

LONG-RANGE FORCES:

$A_p; G_{\mu\nu}, \Phi, B_{\mu\nu};$

$C_{p_1 \dots p_p}$ IN SUPERSTRING
(RR FIELDS)



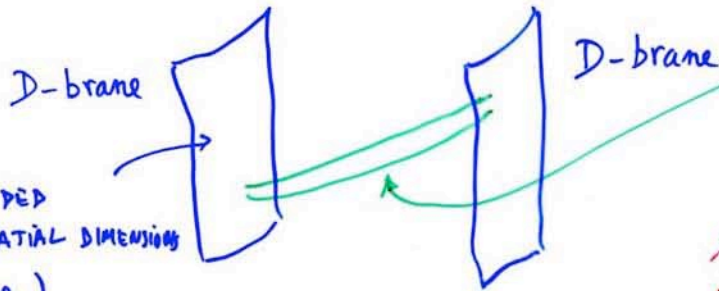
LARGE STRING



(SMALL STRINGS)
 $\circ h_{\mu\nu} \dots C_{p_1 \dots p_p}$
 $\circ A_p$

↑ GENERALIZATION OF MAXWELL A_p BUT DO NOT COUPLE TO STRING?

≡ ALSO NON-PERTURBATIVE OBJECTS: 'D-BRANES' (Polchinski)



EXTENDED IN p SPATIAL DIMENSIONS (+ time)

LONG-RANGE FORCES: SMALL CLOSED STRINGS

$G_{\mu\nu}(x), \Phi, B_{\mu\nu}$

$C_{p_1 \dots p_p}(x)$
 $p' = p+1$ FOR 'ELECTRIC'
 $p' = 10-3-p$: 'MAGNETIC'

MULTI-D-BRANE STATES IN (II B) SUPERSTRING THY B17

MASSLESS BOSONIC INTERACTIONS
IN II B SUPERSTRING THY

$$G_{\mu\nu}, \Phi, B_{[\mu\nu]}; C, \boxed{C_{[\mu\nu]}}, C_{[\mu\nu\rho\sigma]}$$

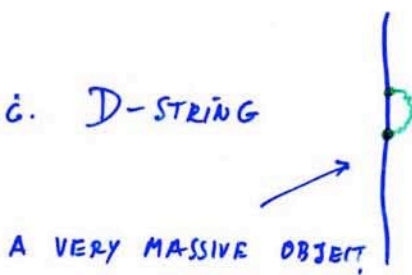
COUPLES ELECTRICALLY TO
A D1-BRANE = D-STRING

$$\int C_{\mu\nu} dx^\mu \wedge dx^\nu$$

AND MAGNETICALLY TO A D5-BRANE

$$\int \tilde{C}_{\mu_1 \dots \mu_6} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_6} \text{ WITH } d\tilde{C}_6 \sim * dC_2$$

E.G. D-STRING



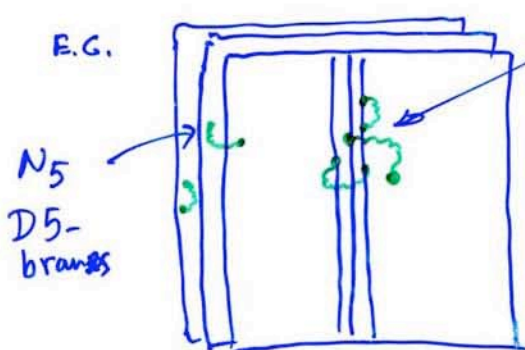
A VERY MASSIVE OBJECT!

THE ELASTIC FLUCTUATIONS
OF D-STRING ARE DESCRIBED
BY OPEN STRINGS ENDING ON IT

TENSION $T_{D1} = \frac{m_s^2}{g_s}$ ← INFINITELY HEAVY AS $g_s \rightarrow 0$

(QUANTIZED)

MORE GENERALLY THE ELASTIC FLUCTUATIONS OF
AN ASSEMBLY OF D-BRANES ARE DESCRIBED BY QUANTUM
OPEN STRINGS WHOSE ENDS ARE ATTACHED TO THE BRANES



N_1 D1-branes

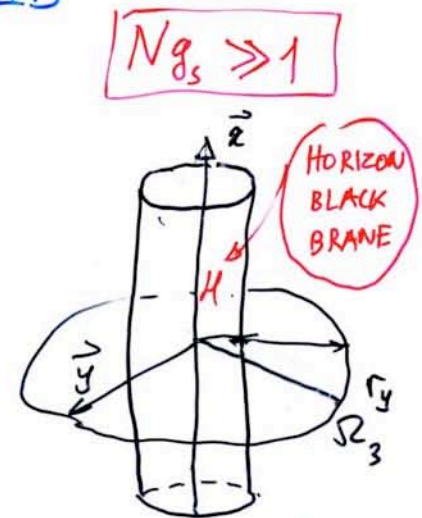
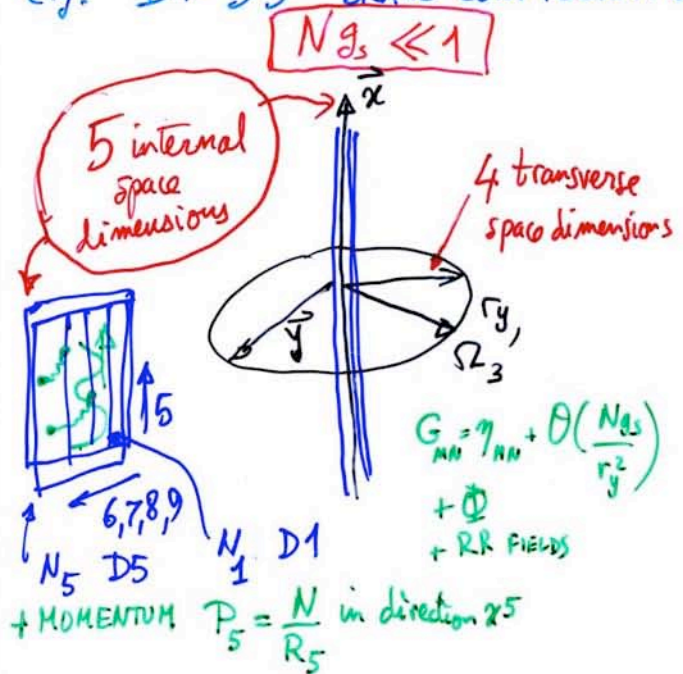
VARIOUS TYPES OF EXCITATIONS:

- 1-1 STRINGS
- 5-5 STRINGS
- 1→5 and 5→1 STRINGS

SUPERSYMMETRIC D-BRANE SYSTEMS AND EXTREMAL BLACK HOLES B18

[Sem '95] Strominger-Vafa '96, Callan-Maldacena '96, ...

e.g. D1-D5 BRANE CONFIGURATION IN IIB



CURVED SPACETIME

$$ds_{\text{string}}^2 = H_1^{-1/2} H_5^{-1/2} \left[-dt^2 + dx_5^2 + H_n (dt + dx_5)^2 \right] + H_1^{1/2} H_5^{1/2} d\vec{y}^2 + H_1^{1/2} H_5^{-1/2} (dx_6^2 + dx_7^2 + dx_8^2 + dx_9^2)$$

$$e^{-2\Phi} = H_5 / H_1$$

$$C_{05} = -\frac{1}{2} (H_1^{-1} - 1)$$

$$(dC)_{ijk} = \epsilon_{ijkl} \partial_l H_5$$

DISTRIBUTE TOTAL $P_5 = \frac{N}{R_5}$

AMONG

- 4 $N_1 N_5$ BOSONIC EXCITATIONS (1-5 OPEN STRINGS)
- + 4 $N_1 N_5$ FERMIONIC ONES

COUNTING HOW MANY WAYS:

$$N = \sum_{i=1}^{4N_1 N_5} \sum_m \left\{ m (a_m^i)^+ a_m^i + n (b_m^i)^+ b_m^i \right\}$$

DEGENERACY

$$D(N) = \exp \left[2\pi \sqrt{4N_1 N_5 \left(1 + \frac{1}{2}\right) \frac{N}{6}} \right]$$

$$\log D(N) = 2\pi \sqrt{N_1 N_5 N}$$

$$\begin{cases} H_1 = 1 + c_1 \frac{g_s N_1}{r_y^2} \\ H_5 = 1 + c_5 \frac{g_s N_5}{r_y^2} \\ H_n = c_n \frac{g_s^2 N}{r_y^2} \end{cases}$$

AREA OF (4+1)-d EINSTEIN METRIC HORIZON ($r_y = 0$)

$$A = 2^6 \pi^7 g_s^2 l_s^8 / N_1 N_5 N; G_5 = 8\pi^6 g_s^2 l_s^8$$

$$\frac{A}{4G_5} = 2\pi \sqrt{N_1 N_5 N}$$

COUNTING DEGENERACIES OF STRING EXCITATIONS ^{B19}

$$\hat{N} = \sum_{i=1}^g \sum_{n \in \mathbb{N}} \left\{ n \hat{a}_m^i + \hat{a}_m^i + n \hat{b}_m^i + \hat{b}_m^i \right\}$$

↑ BOSONIC OSCILLATORS ↑ FERMIONIC OSCILL.

[a, a†] = 1 {b, b†} = 1

PARTITION FUNCTION (CANONICAL ENSEMBLE)

$$\begin{aligned} Z(\beta) &= \text{Tr} e^{-\beta \hat{N}} = \prod_{i=1}^g \prod_m \text{Tr} e^{-\beta m a_m^i - \beta m b_m^i} \\ &= \prod_m \left(\text{Tr} e^{-\beta m a_m^i} \right)^g \prod_m \left(\text{Tr} e^{-\beta m b_m^i} \right)^g \\ &= \left(\prod_{m=1}^{\infty} \frac{1}{1 - e^{-\beta m}} \right)^g \left(\prod_m (1 + e^{-\beta m}) \right)^g \end{aligned}$$

$$\ln Z(\beta) = g \sum_n \ln[(1 - e^{-\beta n})^{-1}] + g \sum_n \ln(1 + e^{-\beta n})$$

CONTINUOUS APPROXIMATION

$$\ln Z(\beta) \approx -\frac{g}{\beta} \int_0^{\infty} dx \ln(1 - e^{-x}) + \frac{g}{\beta} \int_0^{\infty} dx \ln(1 + e^{-x}) = \frac{g}{\beta} \frac{\pi^2}{6} + \frac{g}{\beta} \frac{\pi^2}{12}$$

↑ BOSONS ↑ FERMIONS

LEGENDRE TRANSFORM: $Z(\beta) = \sum_N \mathcal{P}(N) e^{-\beta N} = \sum_N e^{S(N) - \beta N} \approx e^{S(\bar{N}) - \beta \bar{N}}$

$\ln \mathcal{P}(N) = S(N) \approx 2\pi \sqrt{\frac{g + \frac{1}{2}g}{6} N}$

(Hardy-Ramanujan, Cardy)

IN BRIEF: RELATIVISTIC GAS IN $d=1$ DIMENSION $E = P = \frac{\pi}{R}$

IN ANY d : $E \sim T^g V_d T^d \sim g R^d T^{d+1}$; $S \sim g V_d T^d \sim g R^d T^d$

$S \sim g \left(\frac{ER}{g} \right)^{\frac{d}{d+1}} \sim g \sqrt{\frac{ER}{g}} \sim \sqrt{g ER} \sim \sqrt{g N}$

$E = \frac{N}{R}$

↑ $d=1$

D BRANES

AND BPS EXTREMAL BLACK HOLES

B20

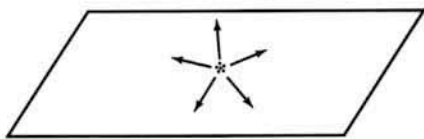
FOLLOW SUPERSYMMETRIC (BPS)
QUANTUM STATES AS $g_s \nearrow$

MASS FORMULA

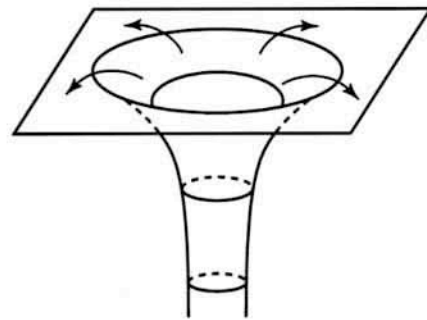
$$M = \frac{N_1 R_5}{g_s} + \frac{N_5 R_5 V_4}{g_s} + \frac{N}{R_5}$$

VALID $\forall g_s$ BECAUSE OF BPS
(Olive Witten)

COUNT
DEGENERACY
WHEN $g_s N \ll 1$



$g_s N \ll 1$



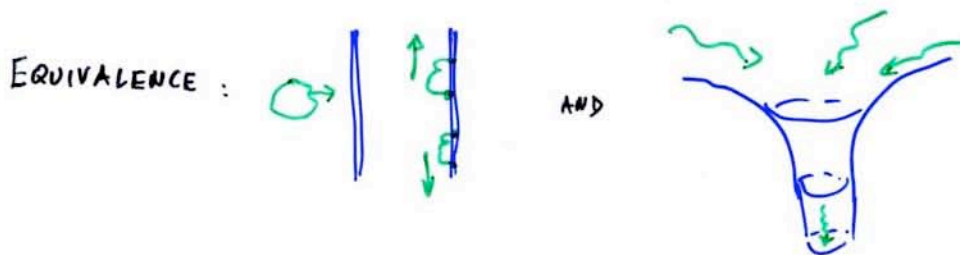
$g_s N \gg 1$

↑
COMPUTE

$$\frac{A}{4G} \text{ WHEN } g_s N \gg 1$$

STRING THEORY AND BLACK HOLES

- THE AGREEMENT $\mathcal{D}(N) \approx e^{S_{BH}}$ IS A DEEP CONFIRMATION OF THE ABILITY OF STRING THEORY TO DESCRIBE QUANTUM GRAVITATIONAL EFFECTS
($S_{BH} \propto \frac{1}{\hbar G}$)
- AGREEMENT CHECKED FOR MANY BPS BLACK HOLES:
 - in $D=5$
 - in $D=4$ (Maldacena, Strominger, Johnson, Khuri, Myers, ...)
 - BEYOND THERMODYNAMICAL LIMIT: FINITE N_i 's (Oguri, Strominger, Vafa)
 - INCLUDING $R + l_s^p R^m$ HIGHER-ORDER CURVATURE CORRECTIONS (Sen...)
 - INCLUDING MULTI-CENTER BH SOLUTIONS (Denef...)
- AGREEMENT REMARKABLY EXTENDED TO NEAR-EXTREMAL BH'S
 - ENTROPY
 - RADIATION AND ABSORPTION (Callan, Maldacena, Das, Mathur, ..., Uddia...)



- \rightarrow AdS/CFT (Klebanov, Maldacena, ...) which, in turn, suggests that BH entropy and evaporation could, in principle, be described within SYM_4

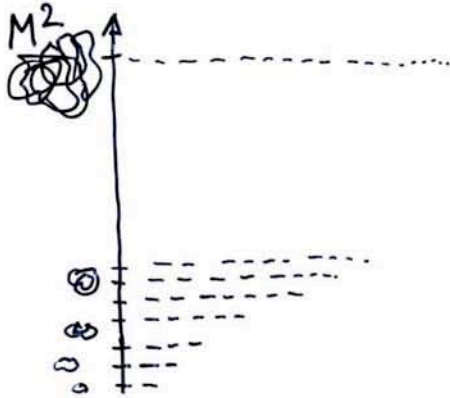
- HOWEVER: NO UNDERSTANDING OF TRANSITION

$$\mathcal{Z}(q) = \langle q | \text{[diagram of a box with dots]} \rangle \rightarrow \langle q | \text{[diagram of a black hole]} \rangle$$

- POOR UNDERSTANDING OF VERY NON-SUSY STATES SUCH AS SCHWARZSCHILD BLACK HOLES + ASSOCIATED FINAL STATE + UNITARITY?

MASSIVE STRING STATES AND SCHWARZSCHILD BL. HOLES B22

(Susskind 193; Horowitz-Polchinski 19798; Damour-Veneziano'00)



↑ ? DO THEY BECOME BLACK HOLES AS $M \uparrow$

? FOR WHICH MASS M ?

STRING ENTROPY

EG OPEN BOSONIC

$$M^2 = m_s^2 [-1 + N]$$

$$\hat{N} = \sum_{m=1}^{\infty} \sum_{l=1}^{D-2} m (\hat{a}_m^i)^\dagger \hat{a}_m^i$$

BOSONIC OSCILLATORS

$$[a_m^i, (a_n^j)^\dagger] = \delta^{ij} \delta_{nm}$$

EIGENVALUES OF EACH $\hat{a}^\dagger \hat{a} = 0, 1, 2, 3, \dots$

DEGENERACY OF MASS LEVEL M :

$$\mathcal{D}(M) = \exp\left[2\pi \sqrt{\frac{D-2}{6} N}\right] \approx \exp\left[2\pi \sqrt{\frac{D-2}{6}} \frac{M}{m_s}\right]$$

STRING ENTROPY

$$S_{\text{STRING}}(M) \equiv \ln \mathcal{D}(M) \approx 2\pi \sqrt{\frac{D-2}{6}} \frac{M}{m_s}$$

STRING ENTROPY VS BLACK HOLE ENTROPY

B23

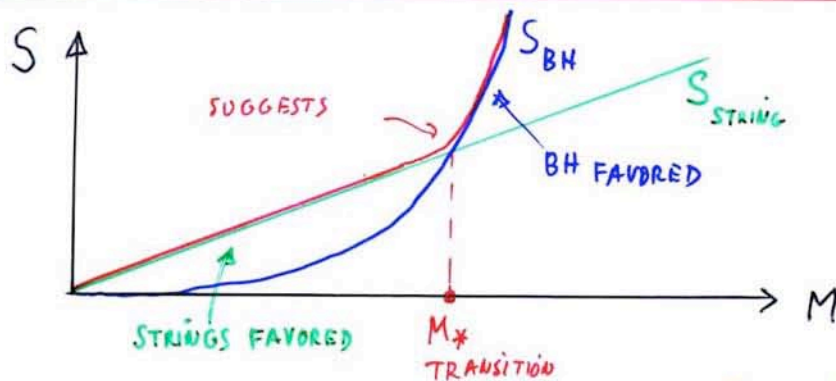
IN UNCOMPACTIFIED SPACE DIMENSION d (SPACETIME $D \equiv d+1$)

$$S_{BH}(M) \sim \frac{A}{G_d} \sim \frac{R_{BH}^{d-1}}{G_d}$$

RADIUS OF BH: $-g_{00} = 1 - c \frac{G_d M}{r^{d-2}}$

$$\rightarrow R_{BH} \sim (G_d M)^{\frac{1}{d-2}}$$

$$S_{BH}(M) \sim \frac{(G_d M)^{\frac{d-1}{d-2}}}{G_d} \sim G M^2 \text{ in } d=3$$



EXPECT TRANSITION STRING \rightleftharpoons BH WHEN $\frac{(G_d M_*)^{\frac{d-1}{d-2}}}{G_d} \sim \frac{M}{m_s}$

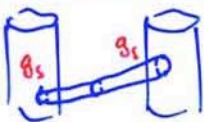
$$(G_d M_*)^{\frac{1}{d-2}} \sim l_s$$

$$R_{BH}^* \sim l_s$$

$$g_s^2 M_* \sim m_s$$

USING

$$G_d \sim g_s^2 l_s^{d-1}$$



\rightarrow M_* LARGER THAN PLANCK MASS

$$M_P \sim \frac{1}{G_d^{\frac{1}{d-1}}} \sim \frac{m_s}{g_s^{\frac{2}{d-1}}}$$

SELF-GRAVITY OF STRINGS AND A DYNAMICAL STUDY OF THE TRANSITION STRING → BLACK HOLE

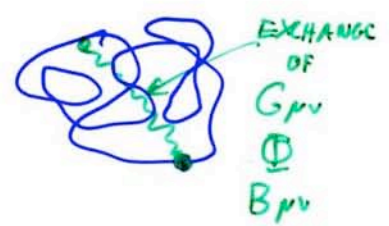
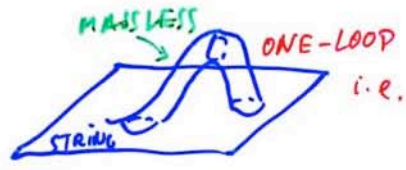
Define characteristic size of string state



$$R^2 \equiv \frac{1}{d} \langle (\hat{X}_{\perp}^P(\tau, \sigma))^2 \rangle = \frac{2}{d} \ell_s^2 \sum_{n=1}^{\infty} \frac{\alpha_n^i + \alpha_n^i}{n}$$

$i=1, \dots, d$

EFFECT OF SIZE ON THE MASS OF A STRING STATE



$$\int d\tau \delta M^2 = 64\pi G T^2 \iint d\sigma_1 d\sigma_2 \frac{d^d k}{(2\pi)^d} e^{ik \cdot (X_1 - X_2)} (\partial_{\sigma_1} X_{\mu} \partial_{\sigma_2} X_{\nu}) (\partial_{\sigma_1} X_{\nu} \partial_{\sigma_2} X_{\mu})$$

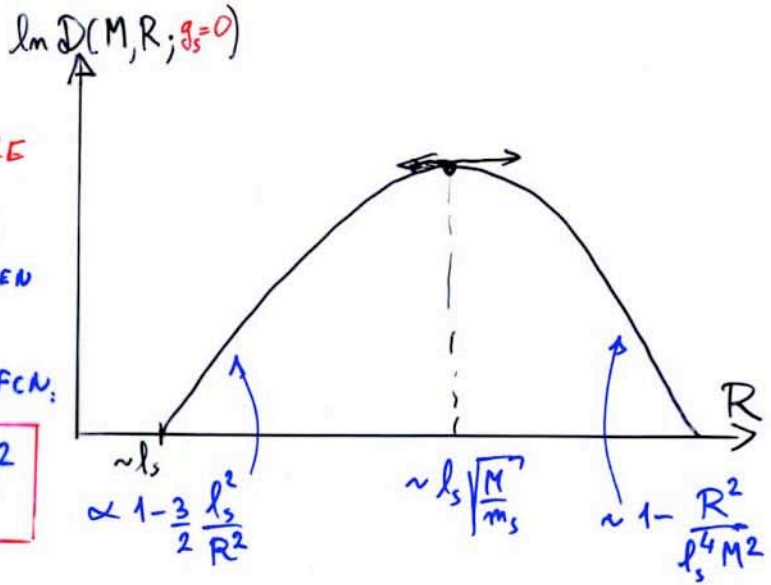
$$M = M_0 - c_d \frac{G_d M^2}{R^{d-2}}$$

MASS SHIFT depends on SIZE OF STATE

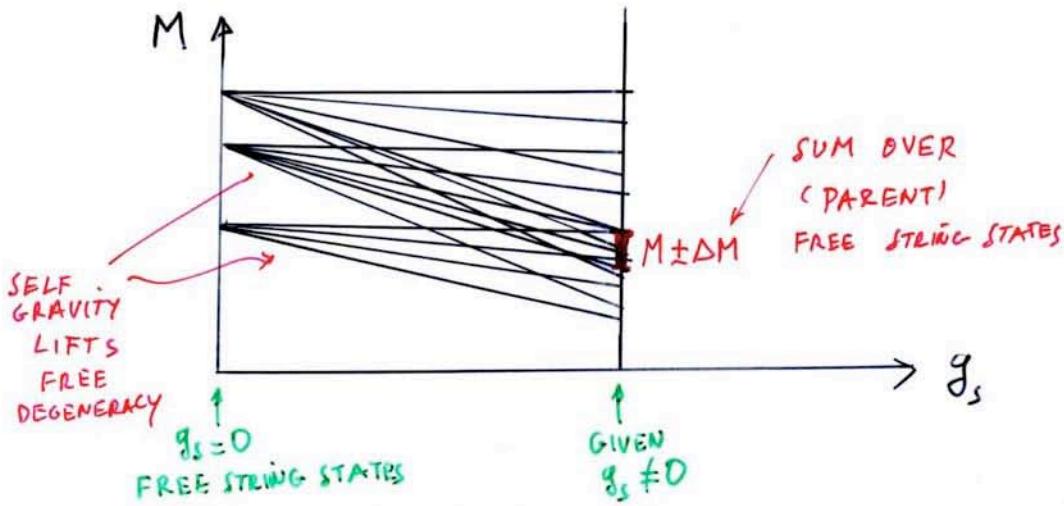
'BARE MASS' when $g_s \rightarrow 0$ adiabatically

DISTRIBUTION OF FREE STRING STATES BY SIZE
 MODIFIED COUNTING BY CONSTRAINT $R^2 = \text{GIVEN}$
 → MODIFIED 'GRAND CANONICAL' PARTITION FCN:

$$Z(\beta, \gamma) = \text{Tr} e^{-\beta \hat{N} - \gamma \hat{R}^2}$$



ENTROPY OF SELF-GRAVITATING STRINGS B25



DEGENERACY OF SELF-GRAVITATING STRING STATES

$$D(M, R) = e^{S(M, R)}$$

$$S(M, R; g_s^2) \approx a_0 M \left(1 - \frac{1}{R^2}\right) \left(1 - \frac{R^2}{M^2}\right) \left(1 + \frac{g_s^2 M}{R^{d-2}}\right)$$

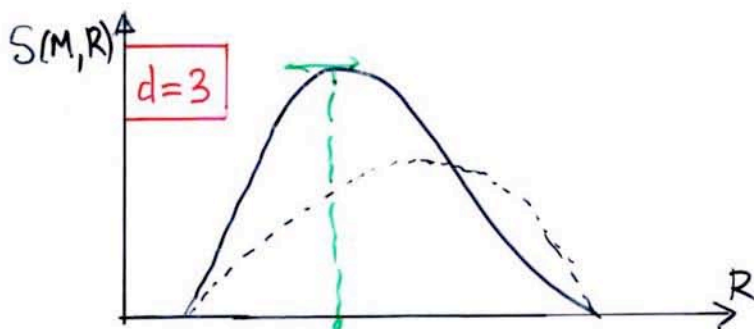
in units $l_s \sim 1$

USUAL STRING ENTROPY

LESS STATES IF R SMALL BECAUSE OF CONSTRAINT ON SIZE

MORE STATES IF R SMALL BECAUSE $M_0 > M$

MOST PROBABLE SIZE OF SELF-GRAVITATING STRING

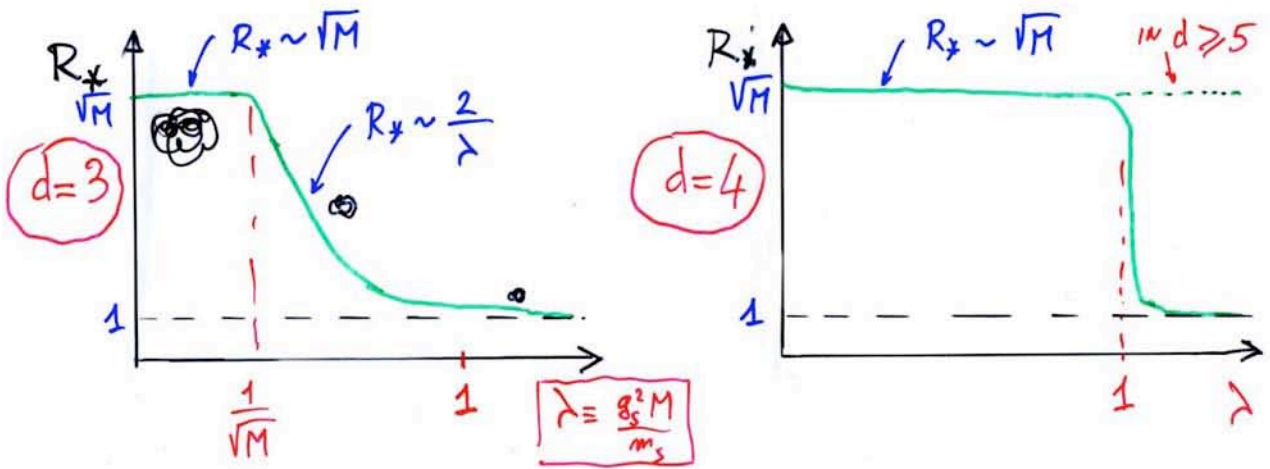


R_* BECOMES $< l_s \sqrt{\frac{M}{m_s}}$ OF FREE STRINGS

RANDOM WALK



SHRINKING OF STRING SIZE WITH SELF-GRAVITY B26



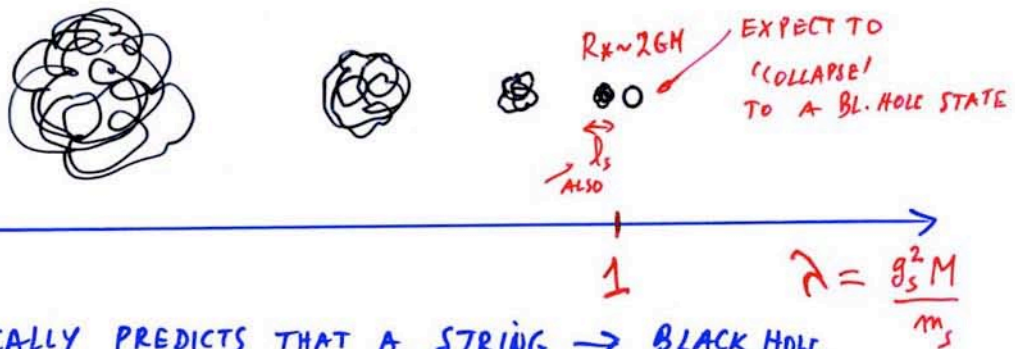
IMPORTANCE OF SELF GRAVITY

$d=3$

(COMPACTNESS) $\equiv \frac{2GM}{R_*} \sim \frac{2\lambda}{(2/\lambda)} \sim \lambda^2$

WHEN $\lambda \rightarrow 1$: $R_* \rightarrow 2GM \rightarrow$ EXPECT STRING TO BECOME A BLACK HOLE

IN OTHER WORDS



DYNAMICALLY PREDICTS THAT A STRING \rightarrow BLACK HOLE

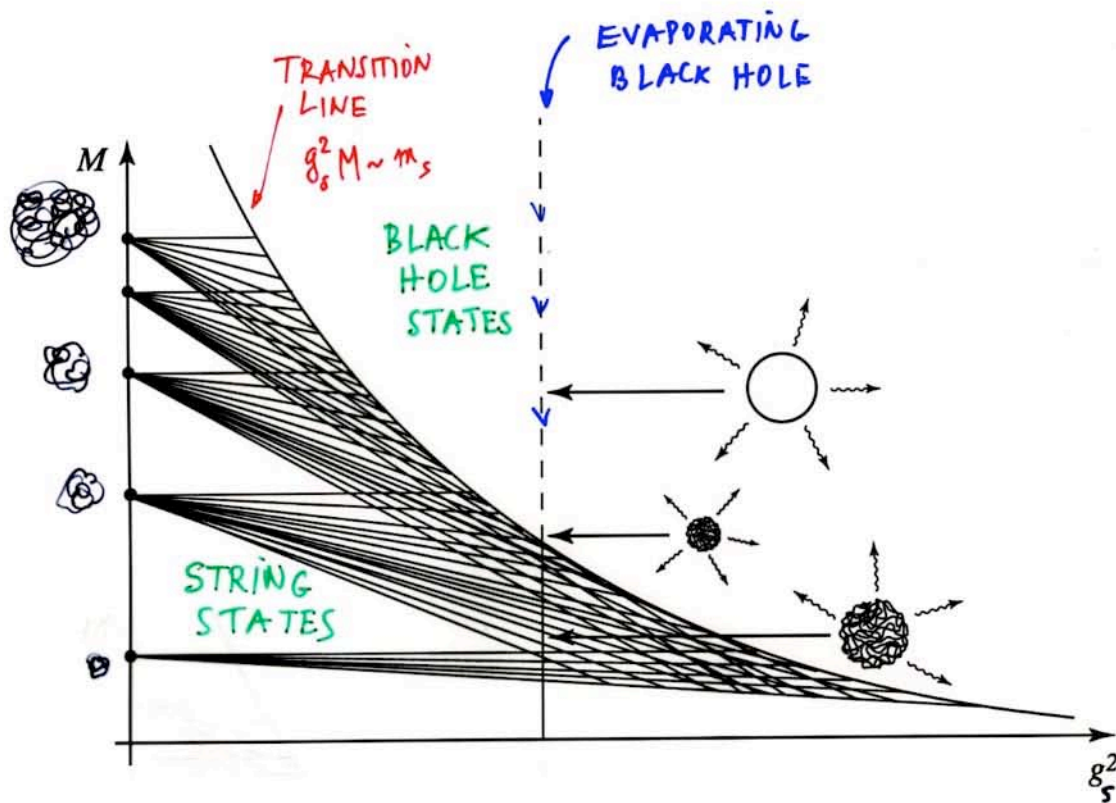
WHEN

$$g_s^2 M \approx m_s$$

i.e. PRECISELY WHEN

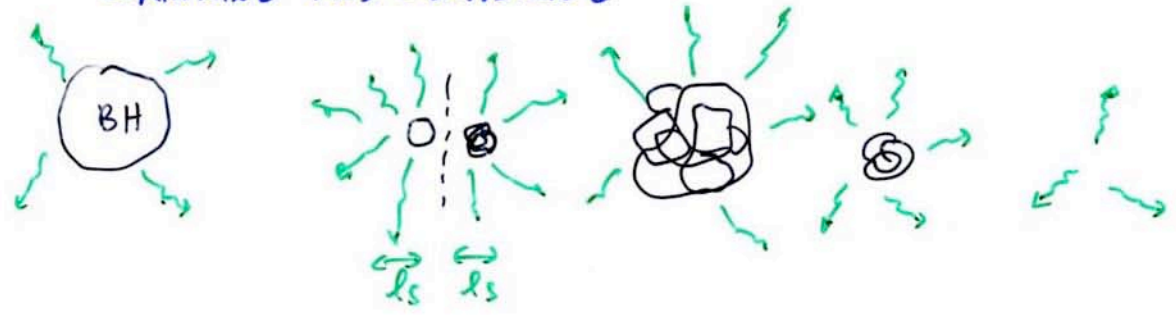
STRING ENTROPY \rightarrow $S_{\text{STRING}}(M) \approx S_{\text{BH}}(M)$ \leftarrow BLACK HOLE ENTROPY

PHYSICAL PICTURE



- THIS CONFIRMS THE STATISTICAL MEANING OF $S_{BH}(M)$ AS $S_{STRING}(M)$ WHEN $g_s^2 M = m_s$, BUT DOES NOT HELP TO EXPLAIN STATISTICAL MEANING WHEN $g_s^2 M > m_s$

- SUGGESTS THAT AN EVAPORATING BH TRANSFORMS INTO A VERY TIGHT STRING STATE WHEN $M = \frac{m_s}{g^2} \gg M_{Planch}$ THEN IT EXPANDS AGAIN TO SIZE $R \gg l_s$ BEFORE SHRINKING AND DISAPPEARING



- BLURRED DISCRETENESS OF BH QUANTUM STATES



CONCLUSIONS

- THE STRING THEORY CALCULATIONS (IN MANY CASES, SOME WITH GREAT COMPLEXITY AND STRUCTURE) THAT

$$\ln \mathcal{D}_{\text{BPS STATES}}(N) = \sum_{\substack{\text{EXTERNAL} \\ \text{BPS BH} \\ \text{SAME GLOBAL CHARGES}}} \text{INCLUDING FACTOR } \frac{1}{4}, \text{ OR EVEN HIGHER-ORDER CURVATURE CORRECTIONS,}$$

IS A DEEP CONFIRMATION OF THE ABILITY OF STRING THEORY TO DESCRIBE QUANTUM GRAVITATIONAL EFFECTS

- HOWEVER, NO UNDERSTANDING OF THE EVOLUTION OF THE QUANTUM STATE:

$$\mathcal{Z}_{\text{BPS}}(q) = \langle q | \text{[Diagram of a box with dots]} \rangle \rightarrow ? \mathcal{Z}_{\text{BH}}(q) = \langle q | \text{[Diagram of a black hole]} \rangle ?$$

- THE CHECK THAT A GENERIC MASSIVE STRING STATE SHRINKS UNDER ITS OWN WEIGHT AND BECOMES \approx ^{SCHWARZSCHILD} BLACK HOLE ($R_{\text{H}} \sim (GM)^{\frac{1}{d-2}}$) WHEN (IN ORDER OF MAGNITUDE) $S_{\text{STRING}}(M) \sim S_{\text{BH}}(M)$ IS THE BEGINNING OF THE UNDERSTANDING OF THE EVOLUTION OF THE QUANTUM STATE:

$$\mathcal{Z}_{\text{STRING}}(q) = \langle q | \text{[Diagram of a string]} \rangle \rightarrow \mathcal{Z}_{\text{TIGHT STRING}}(q) = \langle q | \text{[Diagram of a tight string]} \rangle$$

- HOWEVER, THERE IS STILL NO TECHNICALLY PRECISE DESCRIPTION OF ANY {HIGHLY-DEGENERATE OR WITH HIGH STATE DENSITY} BLACK HOLE QUANTUM STATE AND THEREFORE NO TECHNICALLY PRECISE, AND UNITARY, DESCRIPTION OF THE QUANTUM EVAPORATION OF BLACK HOLES

- IT IS PROBABLE THAT THE PROCESS IS UNITARY AND THAT THE END POINT OF THE EVAPORATION IS: $\text{SCHWARZSCHILD BH} \rightarrow \text{TIGHT STRING} \rightarrow \text{FINAL DECAY INTO MASSLESS QUANTA}$

- ADS/CFT DEFINES IN PRINCIPLE THE WHOLE PROCESS: FORMATION + EVAPORATION OF BH AS A UNITARY EVOLUTION IN SYM_4 FOR $\frac{2N}{YM} \gg 1$ BUT 'DETAILS ARE LACKING'