

# Cartier isomorphism in mixed characteristic

Let  $R/\mathbb{F}_p$ . (based on joint work w/ Bhatt, arXiv:2202.04818)

## Construction

$$C^{-1}: \Omega_{R/\mathbb{F}_p}^* \longrightarrow H^*(\Omega_{R/\mathbb{F}_p}^0)$$

$$\begin{array}{ccc} v & \longmapsto & [v^p] \\ ds & \longmapsto & [s^{p-1} ds] \quad " = \frac{d(s^p)}{p} \end{array}$$

$v, s \in R$

Thm  $R/\mathbb{F}_p$  is regular noetherian  
then  $C^{-1}$  is an iso.

Pf: Check directly for  $\mathbb{F}_p[x_1, \dots, x_n]$ .

Étale localize  $\Rightarrow$  check for  
smooth  $\mathbb{F}_p$ -algebras.

$R$  is ind-smooth by Popescu's thm. ✓

Goal: Formulate & prove analog in  
mixed char.

Rank: (Lurie): Can prove above  
thm w/o ind-smoothness, w/ ~~an~~  
a more elaborate argument.

(9.5.6 of Bhatt-Lurie-M)

Q: Which other  $\mathbb{F}_p$ -alg satisfy  
Cartier?

Def (Kelly-Morrow, Katz-Strom-Tame):  
 $R/\mathbb{F}_p$  is Cartier smooth if

1)  $L_{R/\mathbb{F}_p} \in D(R)$  is in degree 0

$E$  is flat  $R$ -module  
2)  $C^{-1}$  is an iso.

Ex) 1) Regular  $\mathbb{F}_p$ -alg

2) Perfect  $\mathbb{F}_p$ -algs (or smooth over perfect  $\mathbb{F}_p$ -algs)

3) Algebras relatively perfect over a polynomial  $\mathbb{F}_p$ -alg ("p-basis").

4) (Gabber-Ramero, Gabber)

Valuation  
 $\mathbb{F}_p$ -algebras

Rmk (Bhatt-Gabber)

It's possible for  $R/\mathbb{F}_p$  to satisfy  
1) but not 2).

Ex:  $\exists R/\mathbb{F}_p$  s.t.  $R$  semi perfect,  
imperfect,

$$w/ L_{R/\mathbb{F}_p} = 0.$$

not Cartier smooth!

Why is notion useful?

1) dRW complex behaves well  
for Cartier smooth  $\mathbb{F}_p$ -algs

2)

Thm (Kelly-Morrow, Kerz-Schuk-Tame)  
 $X = \text{Spec}(R)$ ,  $R/\mathbb{F}_p$  Cartier smooth,

then

$$Z/p^n(i)_X = W_n \sum_{\log_0 X}^i [-i]$$

$\nearrow$   
same formula as if  $X$  smooth /  $\mathbb{F}_p$ .

Goal: mixed char. analog.

Reformulate:

$$R/\mathbb{F}_p \rightsquigarrow L\Omega_{R/\mathbb{F}_p}$$

→ derived de Rham complex  
(Illusie, Shott).

→ animation of usual dR  
complex for polynomial  $\mathbb{F}_p$ -alg

Equipped w)

1) Increasing conj. filtration  
(exhaustive)

$$Fil_{\leq}, L\Omega_{R/\mathbb{F}_p}$$

(animation of Postnikov filtration)

2) Descending Hodge filtration

$$L\Omega_{R/\mathbb{F}_p}^{\geq a}$$

(animation of Hodge filt)

— not always convergent.

In both cases,

$$g_{\text{Hodge}}^i = \bigwedge^i L_{R/\mathbb{F}_p}[-i].$$

Prop  $R/\mathbb{F}_p$  is Cartier smooth

$\Leftrightarrow$

1)  $\forall i \geq 0,$

$$\text{Fil}_{\leq i}^{\text{cos}} L\Omega_{R/\mathbb{F}_p} \rightarrow L\Omega_{R/\mathbb{F}_p}$$

has fiber in  $\mathcal{D}(R)$  w/

Tor-amplitude in degrees  $\geq i+2$ .

2)  $L\Omega_{R/\mathbb{F}_p}$  is complete

for Hodge filtration.

(given 1), 2) is the statement  
that  $LS_{R/\mathbb{F}_p} = \text{actual dR complex}$ .

These have analogs in mixed char.

Let  $R \in \mathcal{QSyn}$ .

We have

$$N^{\geq \bullet} \Delta_R \xrightarrow{\varphi} I' \Delta_R$$

a map of filtered complexes.

On  $gr^i$ , obtain a map

$$\varphi_i: N^i \Delta_R \longrightarrow \frac{I^0}{I^{i+1}} = \overline{\Delta_R} \{i\}.$$

Rank: Recurs, for  $R/\mathbb{F}_p$

$$Fil_{\leq i}^{\text{conj}} LS_{R/\mathbb{F}_p} \longrightarrow LS_{R/\mathbb{F}_p}.$$

Def  $R \in \mathcal{QSyn}$  is  $F$ -smooth

1) For each  $i \geq 0$ ,  
 $\text{fib}(\varphi_i: N^i \Delta_R \rightarrow \overline{\Delta}_R^{\{i\}})$  has  
 $p$ -complete Tor-amplitude in degrees  
 $\geq i+2$ .

2) For each  $i$ ,  $N^{\geq 0} \Delta_R^{\{i\}}$   
 is complete.

Ex) 1) For  $R/\mathbb{F}_p$ , equivalent to  
 Cartier smoothness

2) If  $R$  perfectoid, then  
 $R$  is  $F$ -smooth ( $\varphi_i$  are isos).

Ex) If  $R$  smooth/perfectoid, then



$R$  is  $F$ -smooth

(follows from HT comparison)  
in relative theory

Prop (Bouris): If  $R_0$  is perfectoid,  
then  $R/R_0$  is  $F$ -smooth  $\Leftrightarrow$

1)  $\hat{L}_{R/R_0}$  is  $p$ -completely flat

2)  $\hat{L}\hat{\Omega}_{R/R_0}$  is  $(p$ -completely)  
Hodge complete.

Ex)  $\mathbb{Z}_p$  is  $F$ -smooth (cf. APC).

$$H^*(\bigoplus N^i \Delta_{\mathbb{Z}_p/p}) = E(\alpha) \otimes P(\theta)$$

$$|\alpha| = (1, p)$$

$$|\theta| = (0, p)$$

$$H^*(\bigoplus \overline{\Delta}_{\mathbb{Z}_p} \{0\}^3 / p) = E(\alpha) \otimes P(\theta^{\pm 1})$$

(Here  $\theta \in H^0(N^p \Delta_{\mathbb{Z}_p} / \mathbb{F})$ )

Observation:

If  $R$  is  $F$ -smooth,

$$\theta: N^i \Delta_R / p \rightarrow N^{i+p} \Delta_R / p$$

has fib w/ Tor-amplitude in degrees  $\geq i+1$ . ("  $\theta$  is periodic in some range").

Prop The class of  $F$ -smooth rings is

1) Étale local

2) Closed under passage to smooth algebras.

3) Closed under filtered colimits.

Observation: If  $R$  is  $F$ -smooth,

then

$$N^{\geq 0} \overline{\Delta \{*\}} / p \text{ is}$$

uniformly pro-zero.  
(in  $R$ )

← in any cohomological range

Thm A: (Bhatt-M, Bous):

If  $R$  is  $p$ -torsion free and  $F$ -smooth,

then

$$F_p(i)(R) \rightarrow F_p(i)(R[1/p])$$

is iso on  $H_j^i$ ,  $j < i$

is inj on  $H_i^i$ .

(cf BMS2 for smooth/ $\mathcal{O}_C$ ,  $C$  alg closed complete NA).

Thm B (Bhatt-M):

If  $R$  is  $p$ -complete noetherian  
then  $R$  is  $F$ -smooth  $\Leftrightarrow R$  is regular.

Prop  $R \in \mathcal{QSyn}$ ,  $x \in R$  nonzerodivisor,  
then if  $R[1/x]$ ,  $R/x$  are  $F$ -smooth  
 $\Rightarrow R$  is  $F$ -smooth.

Remark: Implies Thm B ( $\Leftarrow$ ).  
(by noeth. induction, localization, &  
reduction to field of char.  $p$ ).

Represents Cartier iso for regular  
 $F_p$ -algebras (Lurie).

To prove, use

Prop For each  $i$ , there are  
 fiber sequences

$$\overline{\Delta}_R \{i\} / x \rightarrow \overline{\Delta}_{R/x} \{i\} \rightarrow \overline{\Delta}_{R/x} \{i-1\}$$

(also on Nygaard completions)

&

$$(N^i \Delta_R) / x \rightarrow N^i \Delta_{R/x} \rightarrow N^{i-1} \Delta_{R/x}$$

implies previous prop.

Remark Should be related to work  
 of Saito, Hochster-Jeffries.

Cor : Let  $R$  be a regular  
 local ring w/ perfect residue field.  
 Suppose  $R/p$  is  $F$ -finite.  
 Then  $\Delta_R \{c\} \in \mathcal{D}^{\leq \dim(R)}$ .

## Questions

- 1) Are valuation rings  $F$ -smooth?  
 (Bourb, over perfectoids)
- 2) Given a prism  $(A, I)$   
 with  $A$   $p$ -torsionfree and  $A/p$   
 Cartier smooth, is  $A/I$   $F$ -smooth?

Then A proof:

Strategy: If  $R$  is smooth, p-torsion free

$$V_1: F_p(i)(R) \rightarrow F_p(i+p-1)(R)$$

is iso in range. (By étale comp, enough)

Use description of  $F_p(i)$  as  
equalizer, and identification of  $v_1$   
by  $\theta$ , &  $\theta$  is periodicity operator.