Lieu de Hodge et applications : quelques résultats et conjectures

Gregorio Baldi

26/05/2025

- ► Habilitation à Diriger des Recherches : synthèse de l'activité scientifique
- Discipline : Mathématiques





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- 1. Opening. A guiding example: smooth hypersurfaces
 - Surfaces and the Noether-Lefschetz locus
 - New paradigm in higher dimension

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- 2. Middle Game. Zilber-Pink conjecture and general results

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- 3. End Game. Applications:
 - Moduli spaces of curves and co.
 - Complex hyperbolic lattices

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- 3. End Game. Applications:
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- 4. Post Mortem. Ideas behind some proofs

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A hypersurface of degree d and dimension n is the zero set in \mathbb{CP}^{n+1} of a homogeneous polynomial F of degree d in n+2 variables.

Solutions of systems of polynomial equations

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- A hypersurface of degree d and dimension n is the **zero** set in \mathbb{CP}^{n+1} of a homogeneous polynomial F of degree d in n+2 variables.
- ▶ **Smooth** if the partial derivatives of *F* don't simultaneously vanish.

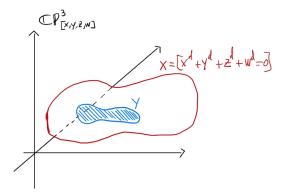
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Example (Fermat curve)

$$Y=\{[X,Y,Z]\in\mathbb{CP}^2:X^d+Y^d+Z^d=0\}$$

Goal

Understand higher dimensional varieties by looking at their lower dimensional subvarieties.



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Moduli spaces of smooth hypersurfaces (fix n, d)

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Question

How to compare hypersurfaces?

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$$X = [F = 0] \iff$$
 point of a \mathbb{C} -vector space
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Parameter space:
$$U_{n,d} := V - \Delta$$
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Max Noether's theorem, 1882

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Every curve on the very general surface $X\subset \mathbb{P}^3$ of degree $d\geq 4$ is the complete intersection of X with another surface.

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- ► Far from true in higher dimensions:

$$\operatorname{Pic} X = \langle \mathcal{O}_X(1) \rangle$$

$$\operatorname{NL}_d := \{ [X] \in U_{2,d} : \operatorname{Pic}(\mathbb{P}^3) \xrightarrow{\cong} \operatorname{Pic}(X) \}.$$

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$$U_{2,d} - \bigcup_{n,g} \rho(W(n,g))$$

F(n,g) is algebraic and ρ proper, therefore each $\rho(W(n,g))$ is a finite union of subvarieties...

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Solomon Lefschetz, 1920s

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Theorem (Noether-Lefschetz theorem)

If $d \geq 4$, NL_d is a countable union of strict subvarieties of $U_{2.d}$.

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Theorem (Noether-Lefschetz theorem)

If $d \geq 4$, NL_d is a countable union of strict subvarieties of $U_{2,d}$. Any $X \notin \operatorname{NL}_d$ has $\operatorname{Pic} X = \langle \mathcal{O}_X(1) \rangle$.

The eighties and infinitesimal Hodge theory à la Griffiths

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A component Y is **general** if it has codimension $h^{2,0}$ and **exceptional** otherwise.

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Exceptional components exist only for $d \geq 5$.

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Distribution of NL_d , after Harris, Green, Voisin...

▶ The general components are dense in $U_{2,d}(\mathbb{C})$

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Theorem (B.-Klingler-Ullmo)

The exceptional components are not Zariski dense in $U_{2,d}$.

Hypersurfaces via Hodge theory

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 $\qquad X = [F = 0] \text{ of dimension } n \leadsto H^n(X, \mathbb{Q}) \text{:}$

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▶ X = [F = 0] of dimension $n \rightsquigarrow H^n(X, \mathbb{Q})$: its dimension depends only on n and $\deg F$

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$$0 \to \underline{\mathbb{Z}} \to \mathcal{O}_X \to \mathcal{O}_X^* \to 0$$

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 $\mathrm{HL}(U_{n,d},\mathbb{V}^\otimes):=\{x\in U_{n,d}:\mathbb{V}_{|x} \text{ has extra Hodge tensors}\}$

Important point: Hodge tensors vs classes (aka the Tannakian perspective)

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▶ Hodge structure on $V_{\mathbb{Z}}$:

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Example

For $d \geq 4$, $NL_d \subset HL(U_{2,d}, \mathbb{V}^{\otimes})$. Are they equal?

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Theorem (Cattani-Deligne-Kaplan '95)

 $\mathrm{HL}(S,\mathbb{V}^\otimes)$ is a countable union of **algebraic** subvarieties.

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- CDK is predicted by the Hodge conjecture

Delicate tension (Kandinsky 1923) of $\mathrm{HL}(S,\mathbb{V}^\otimes)$



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General theory after Griffiths, Deligne,...

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General theory after Griffiths, Deligne,...

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 $\mathsf{VHS} = \mathbf{period} \ \mathbf{map} \ \Psi \ \mathsf{to} \ \mathsf{a} \ \mathbf{period} \ \mathbf{domain} \ G(\mathbb{Z}) \backslash D$

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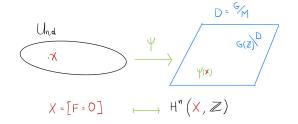
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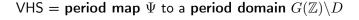
Post Mortem

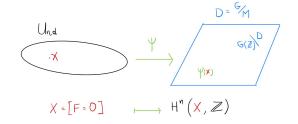
VHS = period map Ψ to a period domain $G(\mathbb{Z})\backslash D$



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End game

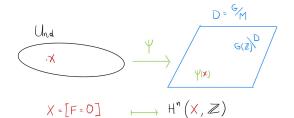




Example

(P.p.) abelian scheme over $S \leftrightsquigarrow S \to \mathcal{A}_g = \mathrm{Sp}_{2g}(\mathbb{Z}) \backslash \mathbb{H}_g$

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Example

(P.p.) abelian scheme over $S \iff S \to \mathcal{A}_q = \mathrm{Sp}_{2q}(\mathbb{Z}) \backslash \mathbb{H}_q$ Notable example: $\mathcal{M}_q \to \mathcal{A}_q, C \mapsto \operatorname{Jac}(C)$.

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Definition

 $Y\subset S$ has positive period dimension if $\Psi(Y)$ is not a point.

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Theorem (B.-Klingler-Ullmo)

If n=3 and $d\geq 5$; or n=4 and $d\geq 6$; or n=5,6,8 and $d\geq 4$; or n=7 or ≥ 9 and $d\geq 3$,

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Remark (Refined Bombieri-Lang conjecture)

The above can be plugged into the Lawrence-Venkatesh method to get finer results on integral points of $U_{n,d}$.

Components of the Hodge locus as intersections: Functoriality for (S, \mathbb{V})

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$$Y\subset S$$
 supports $\mathbb{V}_{|Y}$, which corresponds to a period map
$$Y(\mathbb{C})\to \Gamma_Y\backslash D_Y,$$

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$$Y(\mathbb{C}) \xrightarrow{\Psi_{|Y}} \Gamma_Y \backslash D_Y$$

$$\downarrow \qquad \qquad \downarrow$$

$$S(\mathbb{C}) \xrightarrow{\Psi} G(\mathbb{Z}) \backslash D$$

Special subvarieties

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 $Y \text{ is special if and only if } Y = \Psi^{-1}(\Gamma_Y \backslash D_Y)^0.$

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Special subvarieties

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Y is special if and only if $Y=\Psi^{-1}(\Gamma_Y\backslash D_Y)^0$. Morally $\Psi(Y){=}\Psi(S)\cap \Gamma_Y\backslash D_Y\subset G(\mathbb{Z})\backslash D.$

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$$\mathrm{HL} = \mathrm{HL}_{\mathrm{typ}} \cup \mathrm{HL}_{\mathrm{atyp}}$$

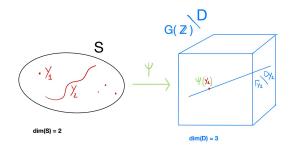
Schematic: typical components

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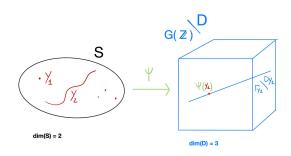


Schematic: typical components

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$$2 = \operatorname{Codim}_{S}(Y_{1}) = \operatorname{Codim}_{G(\mathbb{Z}) \setminus D}(\Gamma_{Y_{1}} \setminus D_{Y_{1}})$$

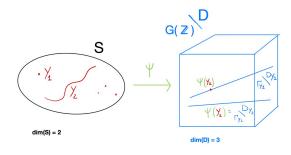
Vs atypical components

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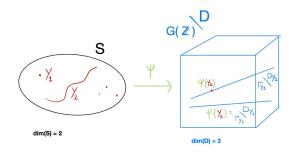


Vs atypical components

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$$1 = \operatorname{Codim}_{S}(Y_{2}) < \operatorname{Codim}_{G(\mathbb{Z}) \setminus D}(\Gamma_{Y_{2}} \setminus D_{Y_{2}}) = 2$$

The completed Zilber-Pink conjecture

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Let $\mathbb {V}$ be a graded-polarizable and admissible $\mathbb {Z}\mathsf{VMHS}$ over S.

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The completed Zilber-Pink conjecture

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Let $\mathbb {V}$ be a graded-polarizable and admissible $\mathbb {Z}VMHS$ over S.

Conjecture (B.-Klingler-Ullmo)

(AT) (S, \mathbb{V}) contains only finitely many maximal atypical intersections.

The completed Zilber-Pink conjecture

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The completed Zilber-Pink conjecture

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 - S contains one typical intersection;

The completed Zilber-Pink conjecture

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Post Morte

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Remark

1. \Rightarrow 2., and generalization of **André-Oort** for arbitrary VHS (Pila-Shankar-Tsimerman '21, for Shimura varieties)

The Zilber-Pink conjecture, atypical history

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► Bombieri-Masser-Zannier 1999: curves against algebraic subgroups of multiplicative groups

- ► Zilber 2002: exponential sum equations and the Schanuel conjecture
- Pink 2005, motivated by unifying the Mordell-Lang and AO conjectures

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- Pink 2005, motivated by unifying the Mordell-Lang and AO conjectures
- Gukov-Vafa. Moore 2004: CM Calabi-Yau 3-folds and relation to string theory
- de Jong, Beyond the André-Oort conjecture. 6 page personal note, 2004. Pure VHS
- Klingler 2017. Mixed VHS but weaker version Hodge codimension

Tightly related to work of Pila, Bakker-Tsimerman



Main results

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Theorem ("Geometric part of completed ZP")

The conjecture holds true for $\mathrm{HL}_{\mathrm{pos}}$

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The conjecture holds true for $\mathrm{HL}_{\mathrm{pos}}$

(AT) BKU for pure VHS, B-Urbanik for ZVMHS (new and effective proof)

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$$\text{Smallest } k: \underbrace{[-[-[\dots[\mathfrak{g}^{-1},\mathfrak{g}^{-1}],\mathfrak{g}^{-1}]\dots],\mathfrak{g}^{-1}]}_{k \text{ times}} = 0.$$

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Z-VMHS **Z**-VHS LvI=1 Abelian LvI=2 Exceptional Un,d Right, Lvl≥3

Roadmap for the level

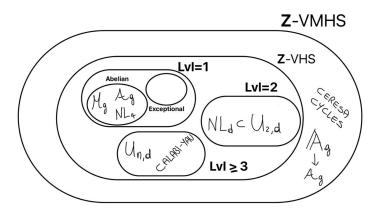
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Question

What do the conjecture/results say about the above moduli spaces? Concrete applications of such viewpoint?

Moduli spaces of smooth genus g curves and a question of Serre

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Moduli spaces of smooth genus g curves and a question of Serre

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Theorem (B.-Klingler-Ullmo)

There are smooth projective curves $C/\overline{\mathbb{Q}}$ of genus 4 whose Jacobian has **Mumford-Tate group** isogenous to a \mathbb{Q} -form of $M := \mathbb{C}^* \times SL_2 \times SL_2 \times SL_2$.

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- ▶ Q-forms of M define Shimura curves S in A_4 (discovered by Mumford)
- ▶ Main Conj. implies that usually $\mathcal{M}_4 \cap \mathcal{S}$ is a point with $\mathbf{MT}_{\mathbb{C}} = M$.

Teichmüller dynamics...

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- ▶ Real analytic action of $GL_2(\mathbb{R})^+$ on $\Omega \mathcal{M}_g(\kappa)$,

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- ▶ Real analytic action of $GL_2(\mathbb{R})^+$ on $\Omega \mathcal{M}_g(\kappa)$, (locally given by a diagonal action on a product of copies of $\mathbb{C} \cong \mathbb{R}^2$).

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- ▶ Orbit closures are algebraic, defined over Q, and admit a Hodge theoretic description (Filip, Möller).

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Theorem (B.-Urbanik (effective), reproving EFW)

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Let $\mathcal{M} \subset \Omega \mathcal{M}_g$ be an orbit closure. Then \mathcal{M} contains at most finitely many maximal atypical suborbit closures.

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There are only finitely many maximal totally geodesic subvarieties, with respect to the **Kobayashi metric**, of $\mathcal{M}_{g,n}$ of dimension greater than 1.

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Remark

Richer framework for suitable bundles above mixed Shimura varieties.

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Remark

Richer framework for suitable bundles above mixed Shimura varieties. Not predicted by the Main Conj.

Complex hyperbolic lattices

• $G = G(\mathbb{R})^+$ simple Lie group

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- $G = G(\mathbb{R})^+$ simple Lie group
- ▶ Discrete subgroup $\Gamma \subset G$ is a **lattice** if it has finite covolume.

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- ▶ $\mathbb{B}^n_{\mathbb{C}} = \frac{\mathrm{SU}(1,n)}{S(U(1) \times U(n))}$ parametrizes \mathbb{C} -Hodge structures of signature (1,n)

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Gromov & Piatetski-Shapiro construction breaks totally geodesic submanifolds?

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Gromov & Piatetski-Shapiro construction breaks totally geodesic submanifolds?



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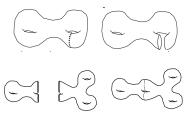
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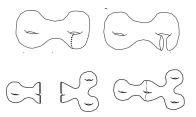
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Gromov & Piatetski-Shapiro construction breaks totally geodesic submanifolds?



▶ BFMS '19 also for SO(1, n)

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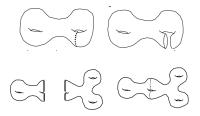
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Gromov & Piatetski-Shapiro construction breaks totally geodesic submanifolds?



- ▶ BFMS '19 also for SO(1, n)
- ▶ BU: construct a $\mathbb{Z}VHS$ on $\Gamma \backslash \mathbb{B}^n_{\mathbb{C}}$. Γ NA, then totally geodesic subvarieties are atypical intersections

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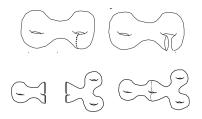
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Middle game

End game

Post Morten

Gromov & Piatetski-Shapiro construction breaks totally geodesic submanifolds?



- ▶ BFMS '19 also for SO(1, n)
- ▶ BU: construct a $\mathbb{Z}VHS$ on $\Gamma \backslash \mathbb{B}^n_{\mathbb{C}}$. Γ NA, then totally geodesic subvarieties are atypical intersections
- ▶ BU: Common & effective setting for understanding totally geodesics in $\mathcal{M}_{g,n}$ and $\Gamma \backslash \mathbb{B}^n_{\mathbb{C}}$

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How to prove all this?

Functional transcendence for foliated bundles

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Theorem (Blázquez-Sanz, Casale, Freitag, and Nagloo & Bakker–Tsimerman, . . .)

Let (P, ∇) the principal G-bundle associated to (S, \mathbb{V}) .

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Opening

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then the projection of U to S is contained in a **strict** weakly-special subvariety.

Geometric Zilber-Pink (joint with Urbanik)

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► Recall $\Psi(Y) = \Psi(S) \cap \Gamma_Y \backslash D_Y \subset G(\mathbb{Z}) \backslash D$

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- ▶ Recall $\Psi(Y) = \Psi(S) \cap \Gamma_Y \backslash D_Y \subset G(\mathbb{Z}) \backslash D$
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Middle game

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Geometric Zilber-Pink (joint with Urbanik)

- Recall $\Psi(Y) = \Psi(S) \cap \Gamma_Y \setminus D_Y \subset G(\mathbb{Z}) \setminus D$
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describes, for e in the atypical range, the projection of $\Sigma(f,e)$ to S, and therefore $\mathrm{HL}_{\mathrm{pos.atv}}$.

Everything is atypical in level ≥ 3

The power of **Griffiths Transversality**:

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Everything is atypical in level ≥ 3

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The power of **Griffiths Transversality**:

Theorem (B.-Klingler-Ullmo)

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In the style of Kostant's results on root systems of Levi factors for complex semi-simple Lie algebras.

THANKS FOR YOUR

ATTENTION!

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Theorem (B.-Urbanik)

There is a finite set $\Sigma = \Sigma_{(S,\mathbb{V})}$ of triples $(\mathbf{H},D_H,\mathbf{N})$, where (\mathbf{H},D_H) is some sub-Hodge datum of the generic Hodge datum (\mathbf{G}_S,D_S) , \mathbf{N} is a normal subgroup of \mathbf{H} whose reductive part is semisimple, and such that the following property holds:

For each monodromically atypical maximal (among all monodromically atypical subvarieties) $Y \subset S$ there is some $(\mathbf{H}, D_H, \mathbf{N}) \in \Sigma$ such that, up to the action of Γ , D_Y^0 is the image of $\mathbf{N}(\mathbb{R})^+\mathbf{N}(\mathbb{C})^u \cdot y$, for some $y \in D_H$.