

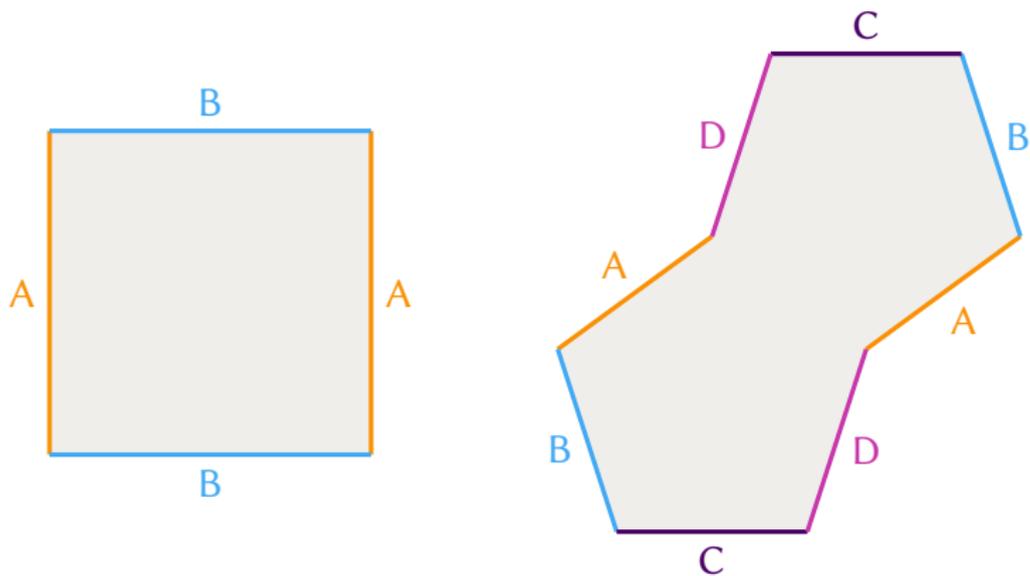
# **Pleated hyperbolic surfaces in condensed matter physics**

Aaron Fenyes (IHÉS)

Heidelberg Geometry Seminar

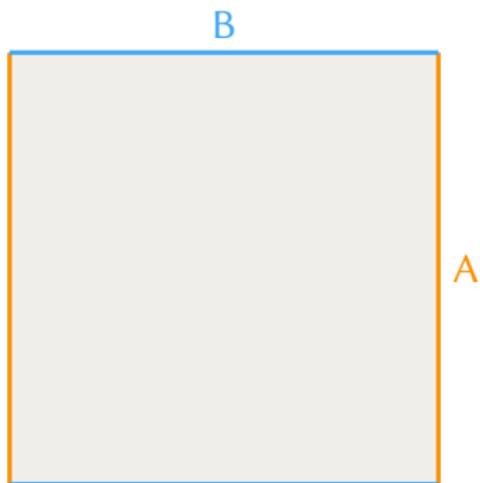
16 February, 2020

## Translation surfaces

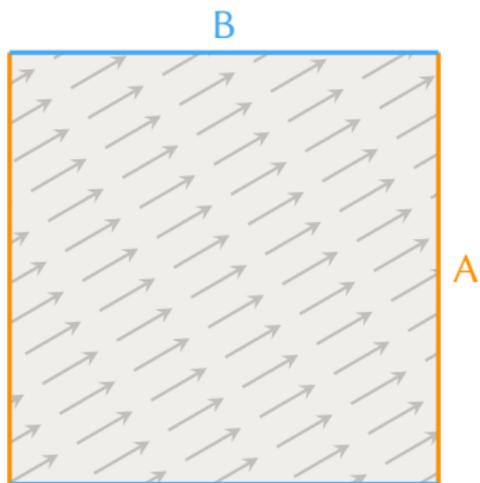


Build a *translation surface*  $\Sigma$  by gluing polygons along parallel sides.

# Toy 1D quasicrystals from flat surfaces

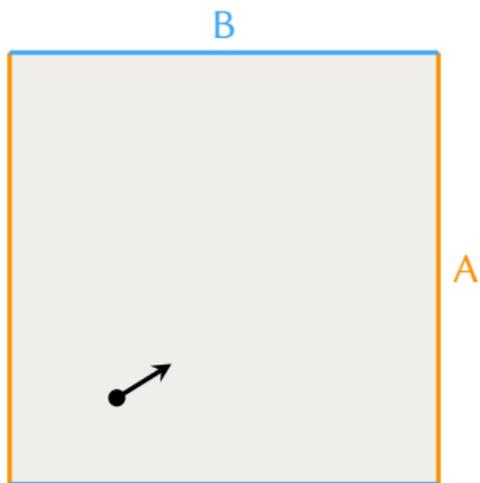


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Fix a direction. Let  $\phi : \mathbb{R} \times \Sigma \dashrightarrow \Sigma$  be the unit-speed flow along it.

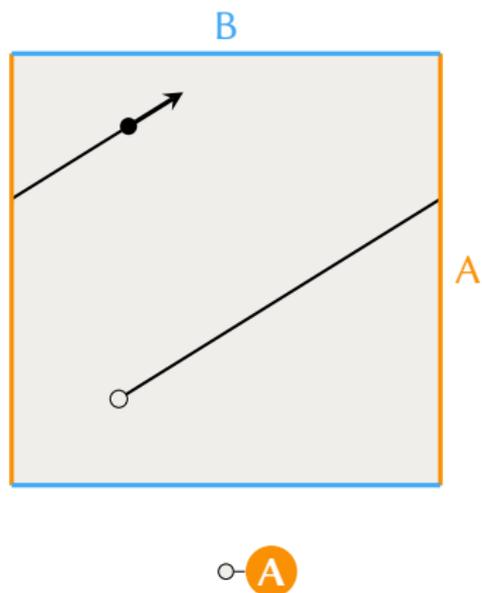
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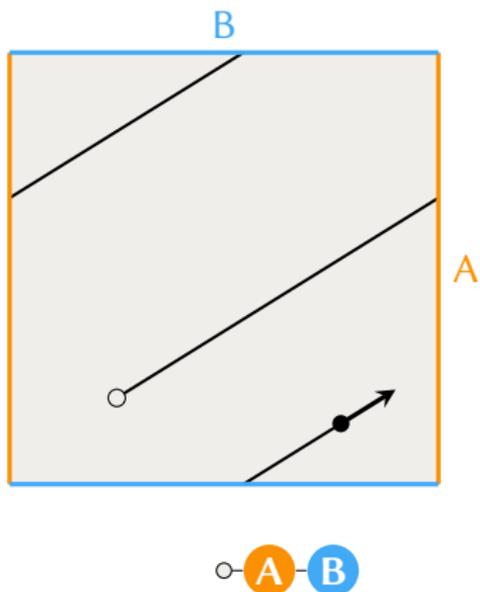
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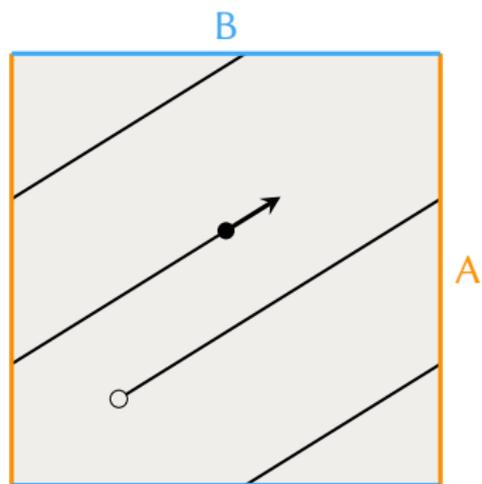
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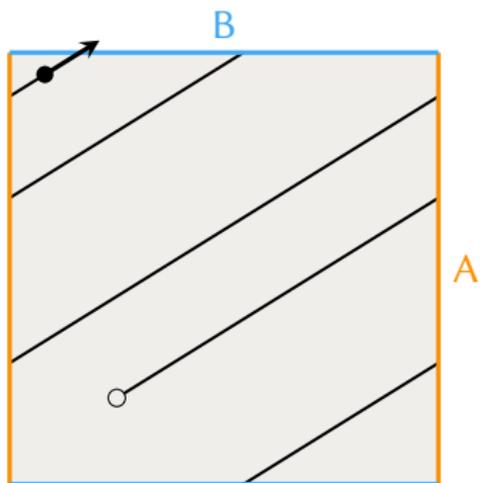
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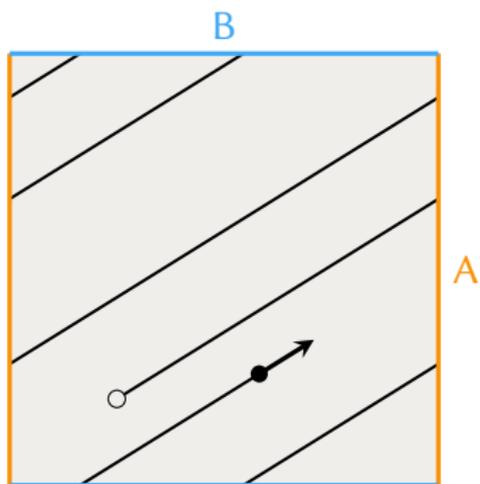
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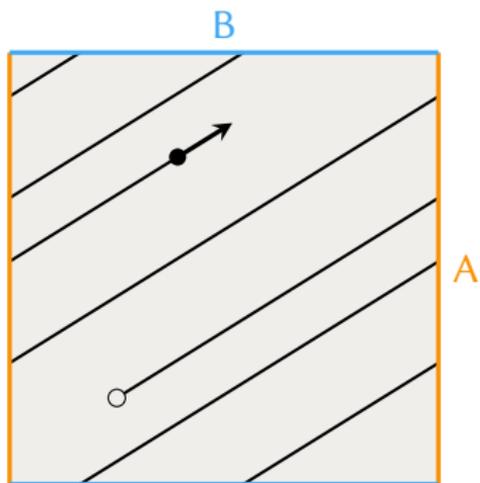
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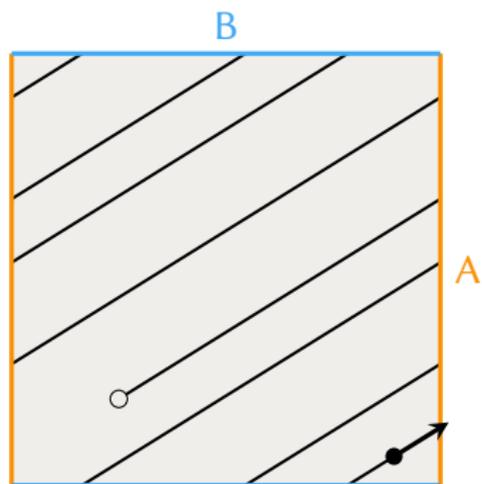
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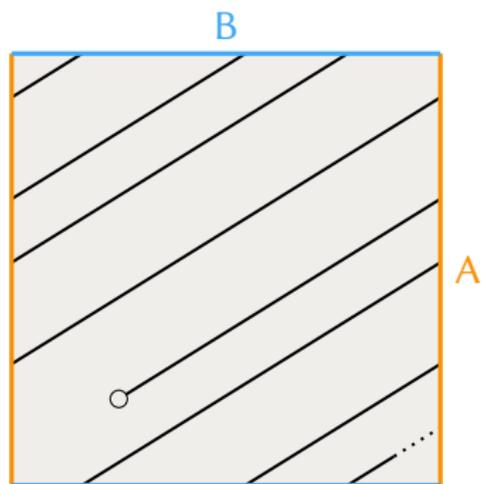
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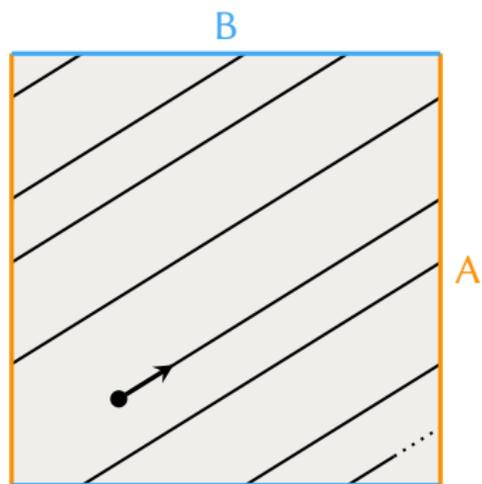
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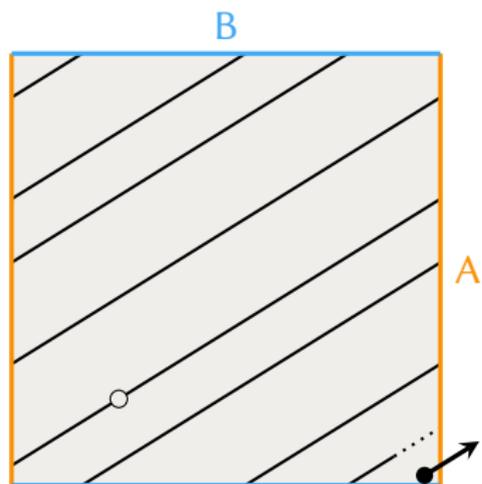
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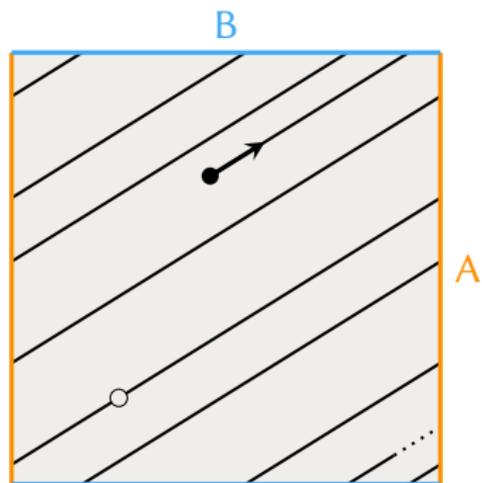
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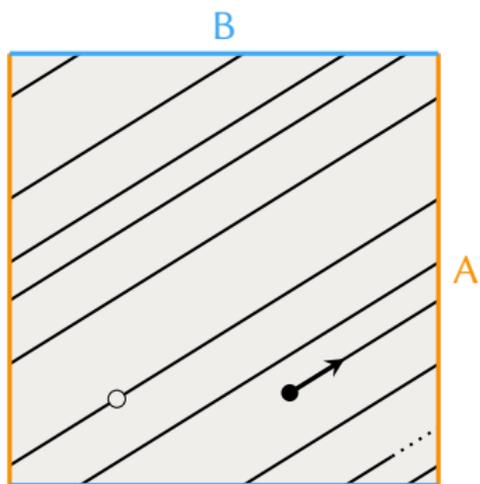
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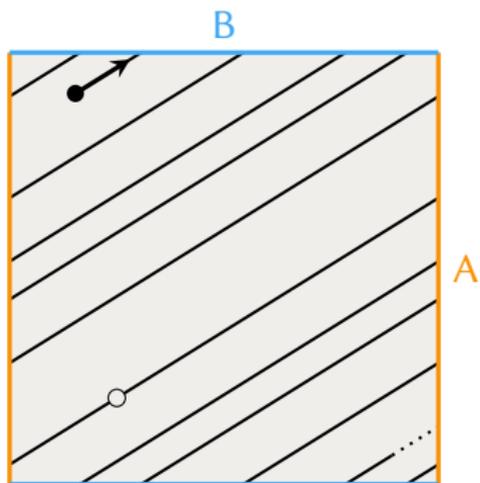
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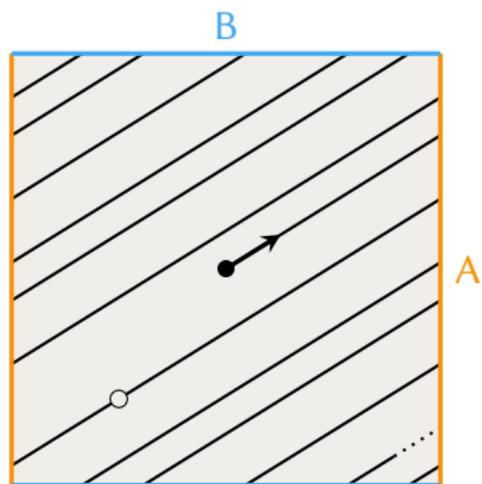
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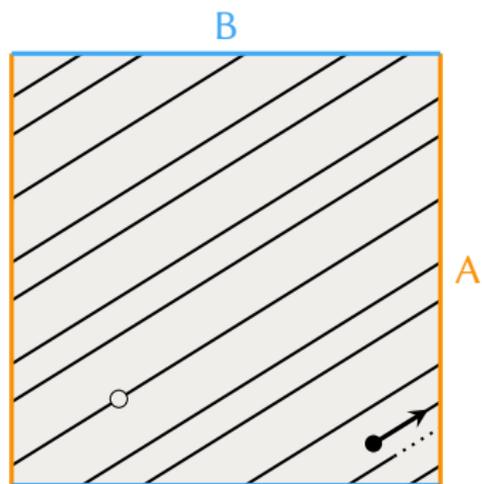
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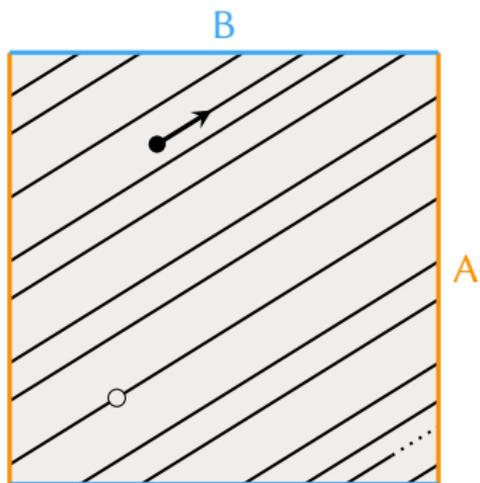
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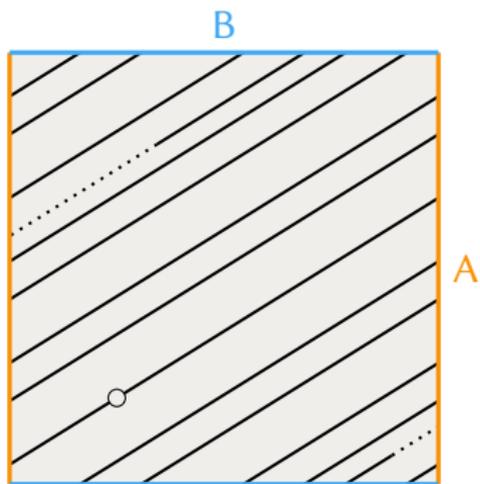
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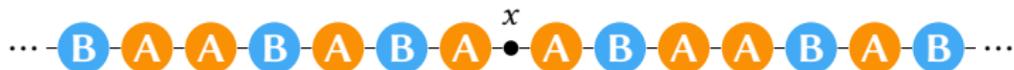
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## Cutting sequences are quasiperiodic

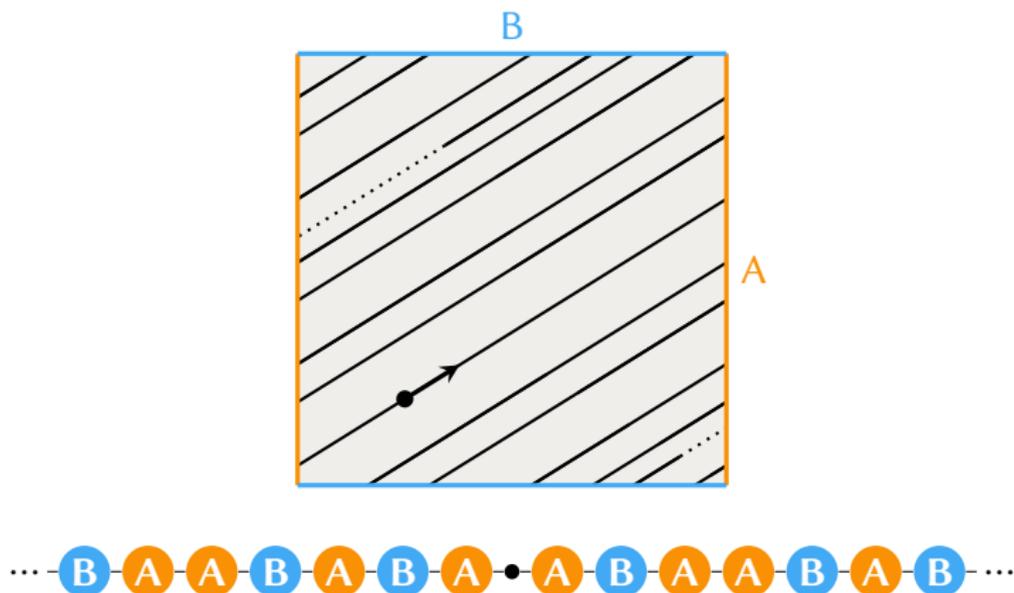


Every word you see in a cutting sequence has occurred before, and will occur again.

The distance to the next occurrence is bounded above and below.

This is a kind of *quasiperiodicity*.

## Family resemblance among cutting sequences

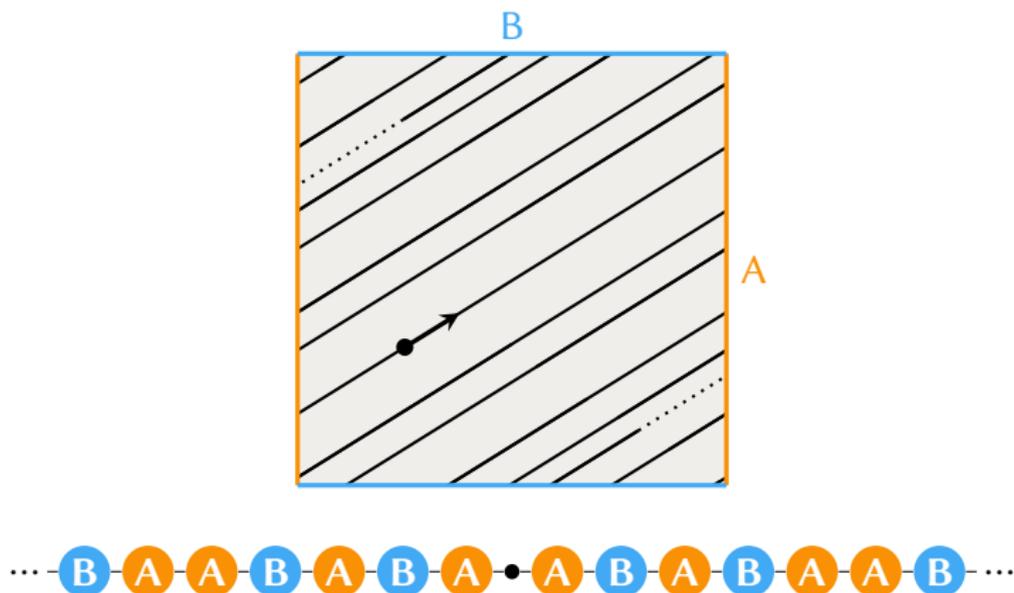


Varying  $x \in \Sigma$  gives a family of cutting sequences.

They all contain the same words.

Each word's upper and lower periods are uniform over the family.

## Family resemblance among cutting sequences

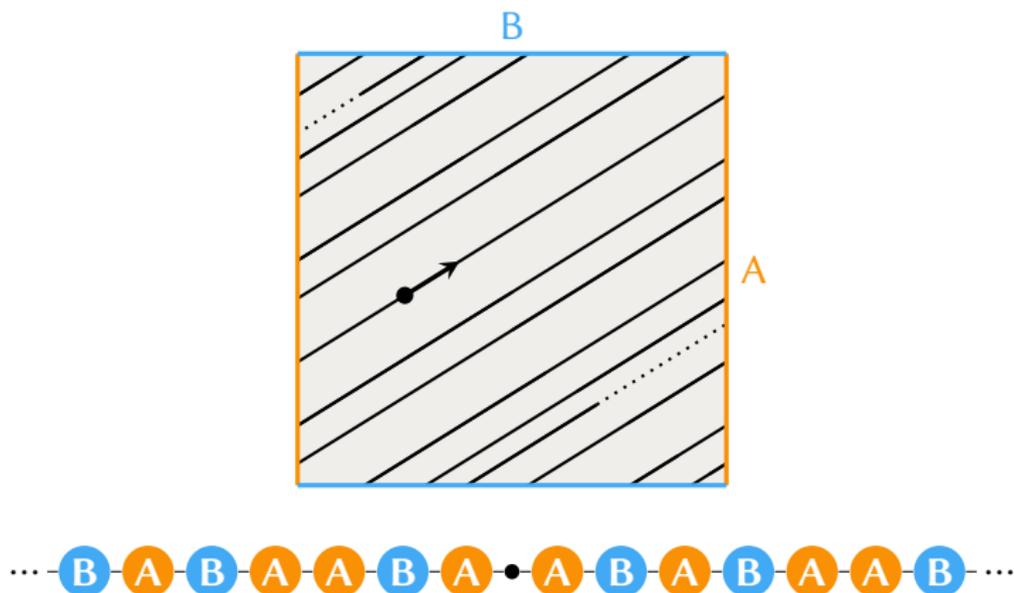


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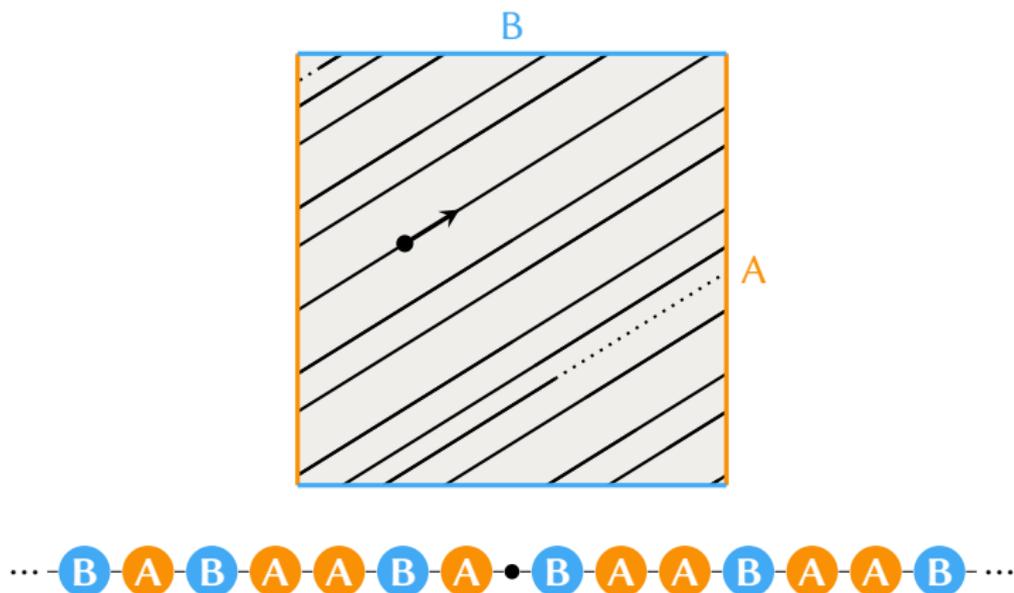


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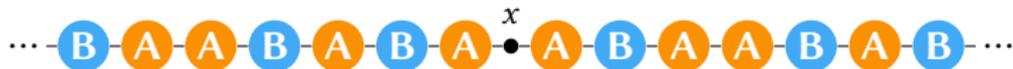


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## Cutting sequences as quasicrystals



Imagine A and B are types of atoms.

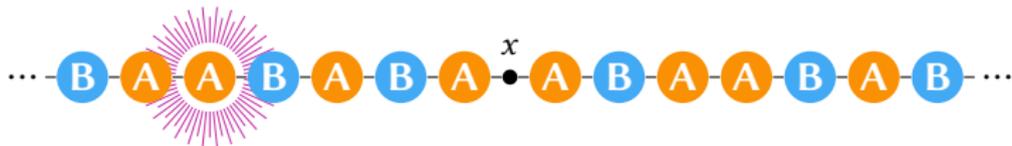
Then a cutting sequence is a quasiperiodic chain of atoms.

Physicists call this a one-dimensional *quasicrystal*.

Let's investigate its physical properties.

We'll model the motion of an electron hopping from atom to atom.

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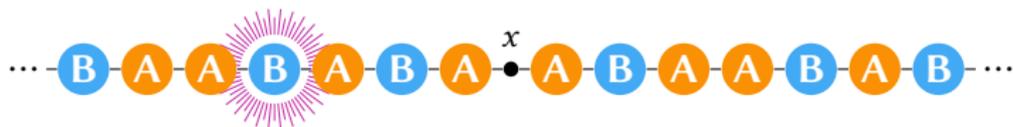
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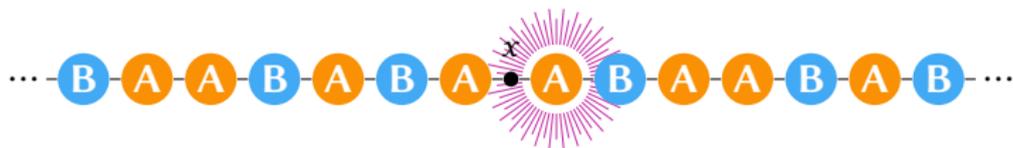
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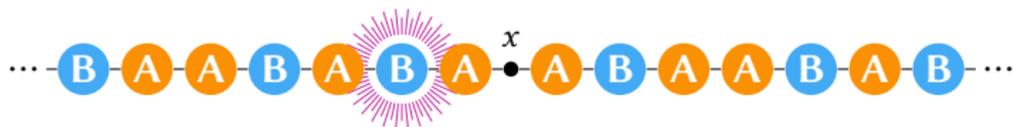
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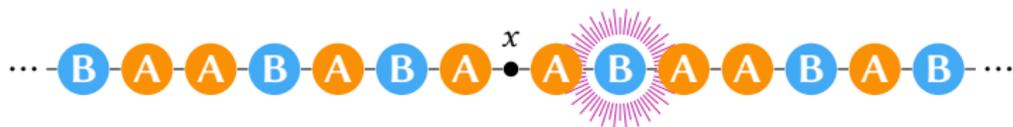
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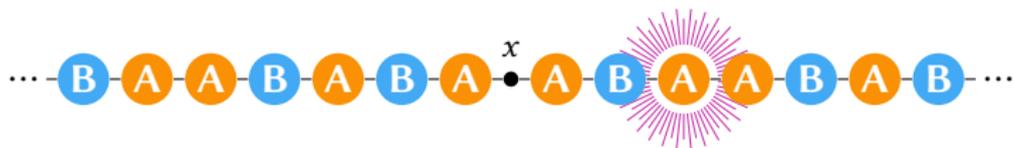
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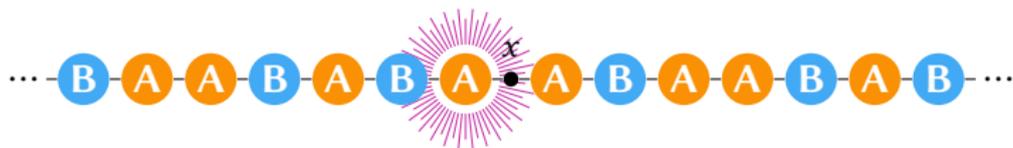
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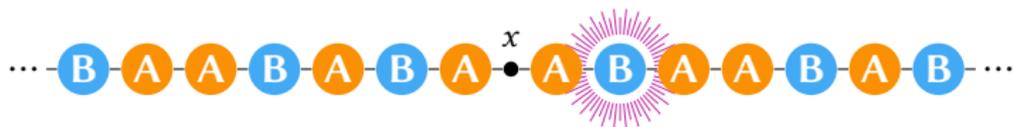
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## Quasicrystals are interesting materials

When  $\Sigma$  is a flat torus, the electron is known to move strangely.

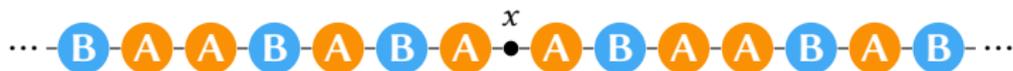
- ▶ Its allowed energies form a Cantor set of zero measure.  
Bellissard, Iochum, Scoppola, Testard (1989).
- ▶ In some cases, it displays *anomalous transport*—it doesn't move steadily, or do a random walk, or sit still.  
Damanik, Tcheremchantsev (2007); Marin (2010).

Quasicrystals from other translation surfaces might be just as weird.

Even the well-studied flat torus case has some mysteries left.

See Damanik, “Schrödinger operators with dynamically defined potentials,” §§7, 8.3.

## A model for a hopping electron



In the *tight-binding model*, the electron's state is a vector  $\psi \in L^2(\mathbb{Z})$ .

Its motion is described by the difference operator

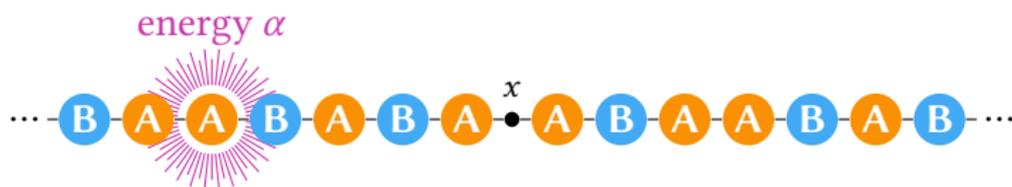
$$(H_x \psi)^n = -(\psi^{n+1} + \psi^{n-1}) + u_x^n \psi^n,$$

where

$$u_x^n = \begin{cases} \alpha & \text{atom } n \text{ is type A} \\ \beta & \text{atom } n \text{ is type B} \end{cases}$$

is its potential energy at site  $n$ .

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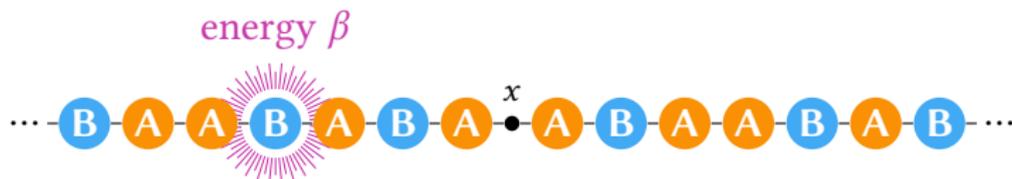
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## Flat bundles reveal the electron's energies

The spectrum of  $H_x \circlearrowleft L^2(\mathbb{Z})$  gives the electron's allowed energies.

Studying the  $E$ -eigenspace of  $H_x \circlearrowleft \mathbb{C}^{\mathbb{Z}}$  will lead us to a test for whether  $E \in \mathbb{C}$  is in the spectrum. To build eigenvectors, solve

$$H_x \psi = E \psi$$

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$v^{n+1}$    $v^n$

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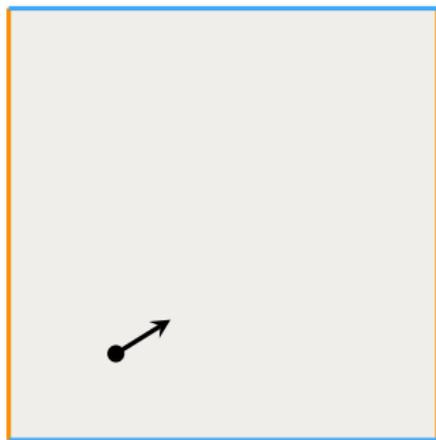
$v^{n+1}$   $v^n$

The atom type at  $n$  determines the transition from  $v^n$  to  $v^{n+1}$ .

See Viana, *Lectures on Lyapunov Exponents*, §2.1.3.

## Flat bundles reveal the electron's energies

$$B = \begin{bmatrix} \beta - E & -1 \\ 1 & \cdot \end{bmatrix}$$



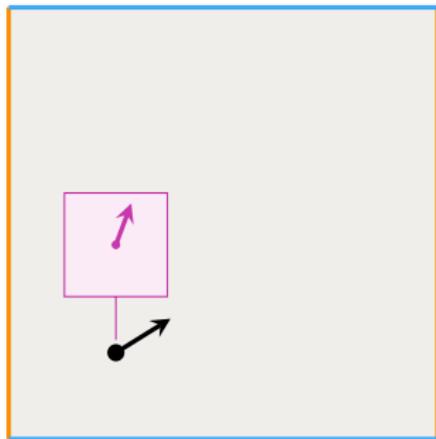
$$A = \begin{bmatrix} \alpha - E & -1 \\ 1 & \cdot \end{bmatrix}$$

The transition matrices define a flat  $SL_2 \mathbb{C}$  vector bundle  $\mathcal{V}(E) \rightarrow \Sigma$ .

Its flat sections along the  $\phi$ -orbit of  $x$  are the  $E$ -eigenvectors of  $H_x$ .

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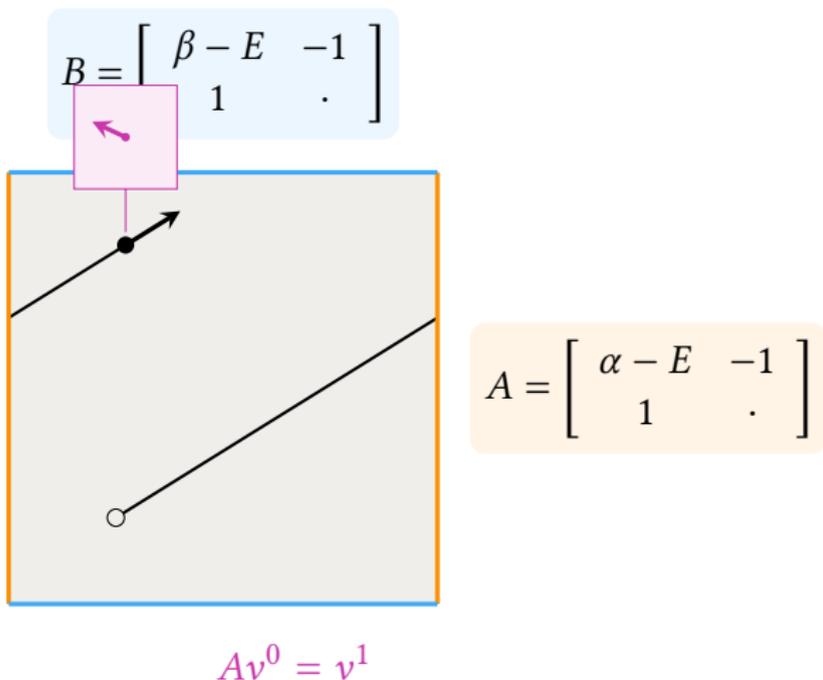
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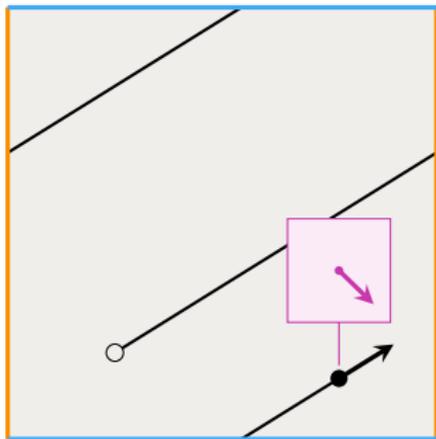


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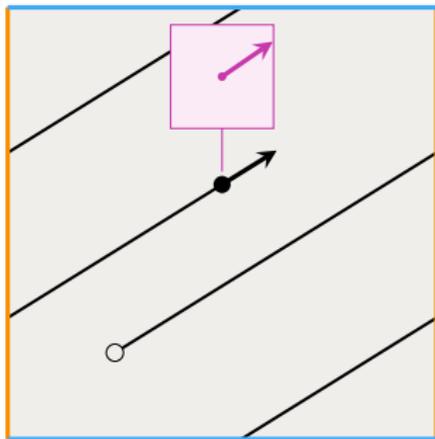
$$BAv^0 = v^2$$

The transition matrices define a flat  $SL_2 \mathbb{C}$  vector bundle  $\mathcal{V}(E) \rightarrow \Sigma$ .

Its flat sections along the  $\phi$ -orbit of  $x$  are the  $E$ -eigenvectors of  $H_x$ .

## Flat bundles reveal the electron's energies

$$B = \begin{bmatrix} \beta - E & -1 \\ 1 & \cdot \end{bmatrix}$$



$$A = \begin{bmatrix} \alpha - E & -1 \\ 1 & \cdot \end{bmatrix}$$

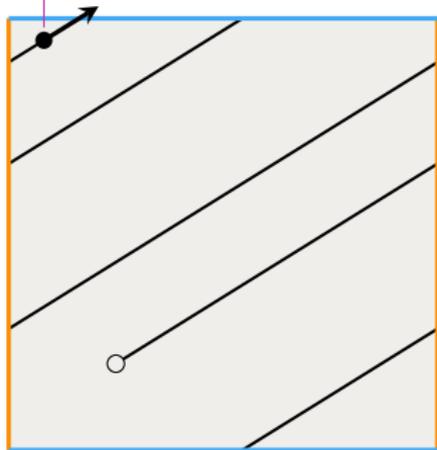
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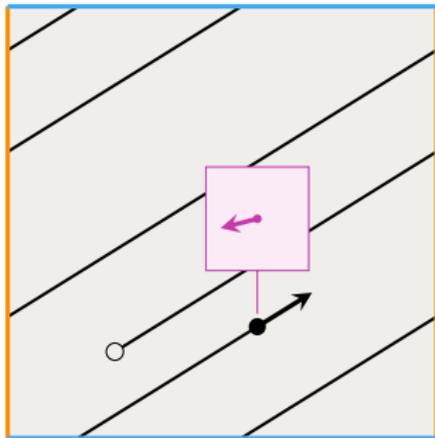
$$AABAv^0 = v^4$$

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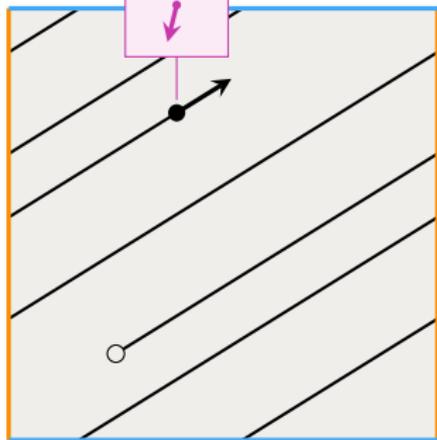
$$BAABAv^0 = v^5$$

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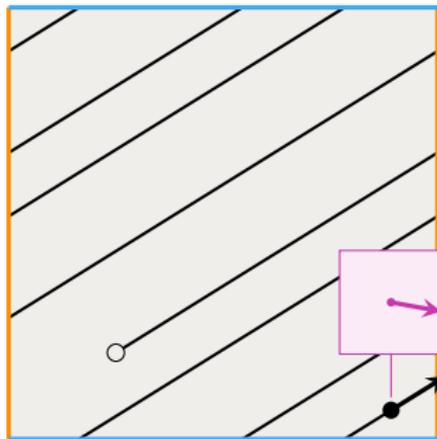
$$ABAABAv^0 = v^6$$

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## Flat bundles reveal the electron's energies

Theorem (special case of Johnson 1986)

If the orbit of  $x \in \Sigma$  is dense, the spectrum of  $H_x$  is the complement of

$$\{E \in \mathbb{C} : \mathcal{V}(E) \text{ is } \textit{uniformly hyperbolic} \text{ with respect to } \phi\}.$$

Uniform hyperbolicity is a dynamical condition. It's like being Anosov, but only along  $\phi$ , instead of in all directions.

## Uniform hyperbolicity: dynamical definition

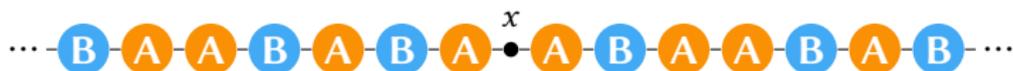
Lift  $\phi$  along the flat connection to a flow  $\Phi$  on  $\mathcal{V}(E)$ .

We say  $\mathcal{V}(E)$  is *uniformly hyperbolic* with respect to  $\phi$  if it splits into line sub-bundles  $\mathcal{V}^\pm(E)$ , preserved by  $\Phi$ , with

$$\|\Phi_x^{\pm t} v\| \lesssim e^{-Kt} \|v\|$$

over all  $x \in \Sigma$ ,  $v \in \mathcal{V}^\pm(E)_x$ , and  $t \in [0, \infty)$ .

## Uniform hyperbolicity: dynamical definition



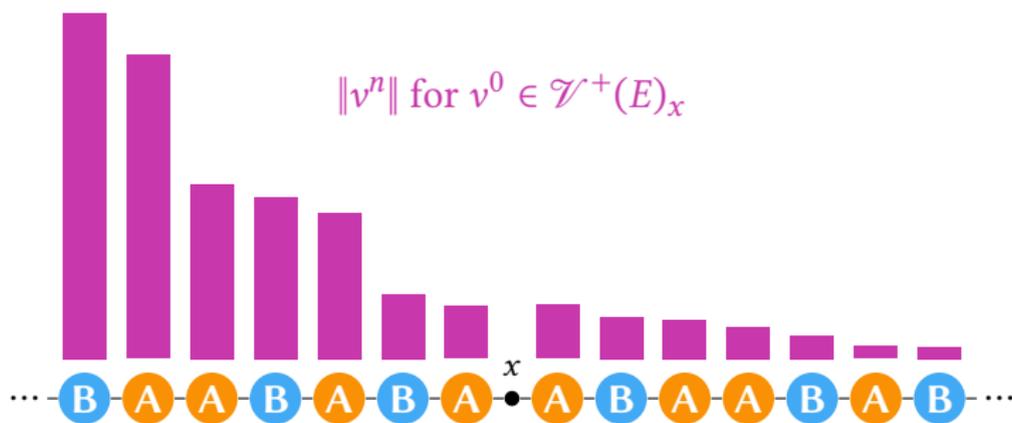
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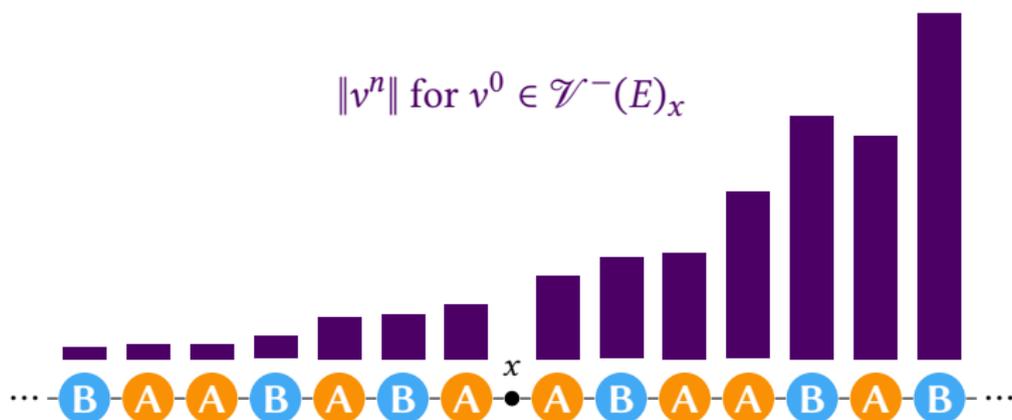
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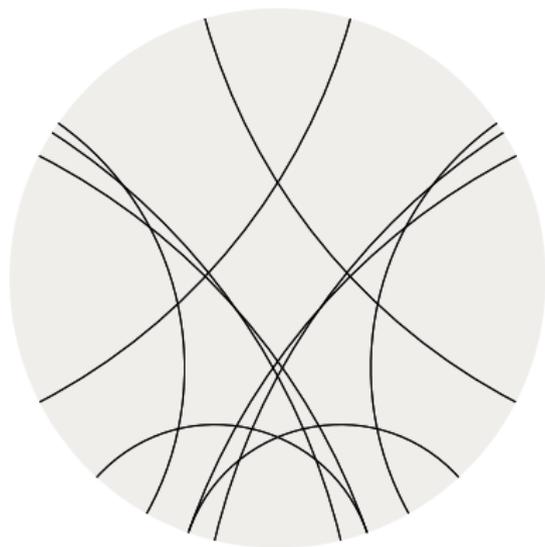
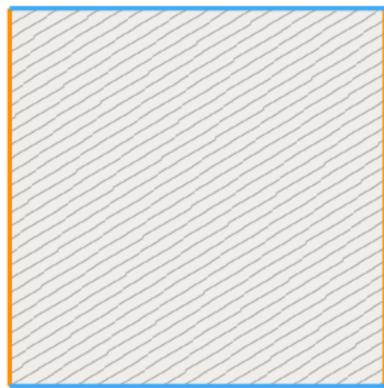


Our construction gives  $\mathcal{V}(E)_x \xrightarrow{\cong} \mathbb{C}^2$  over the fundamental polygon.

That makes  $\mathcal{V}^+(E)_x$  and  $\mathcal{V}^-(E)_x$  points in  $\mathbb{P}\mathbb{C}^2 \cong \partial\mathbb{H}^3$ , giving

orbit segments in polygon  $\xrightarrow{\text{equivariant}}$  geodesics in  $\mathbb{H}^3$

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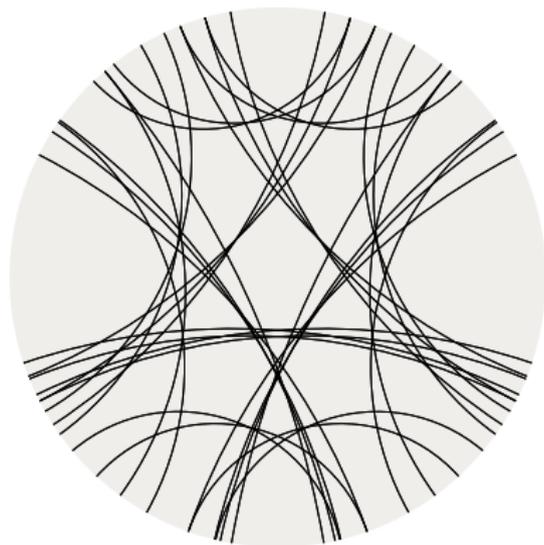
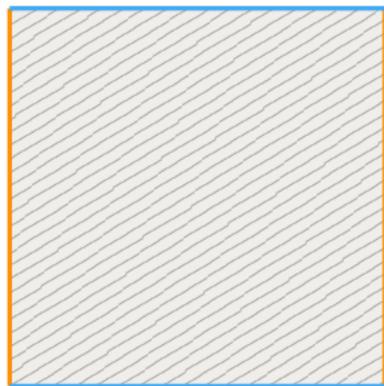


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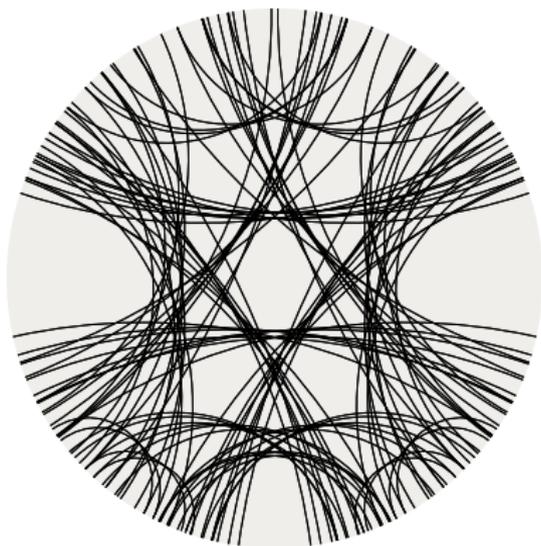


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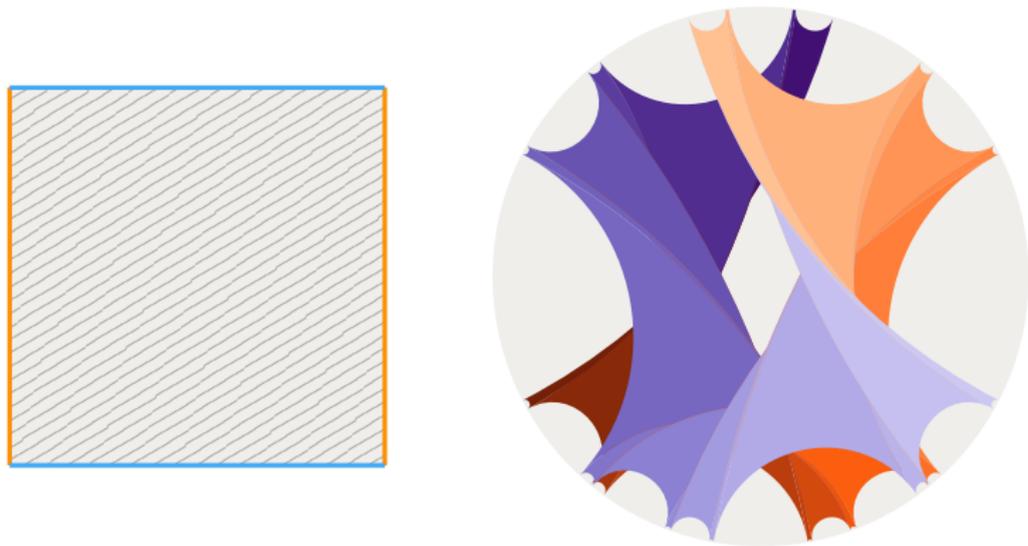


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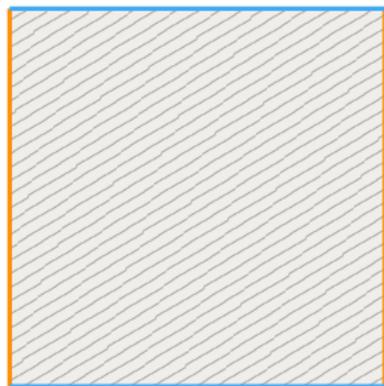
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They should form the pleating locus of a *pleated hyperbolic surface* with holonomy bundle  $\mathcal{V}(E)$ .

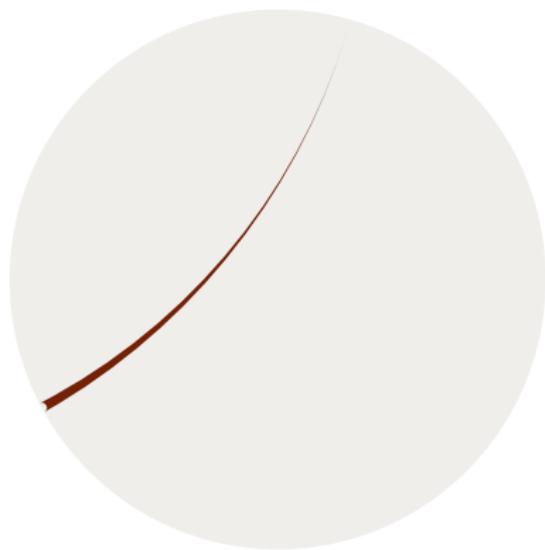
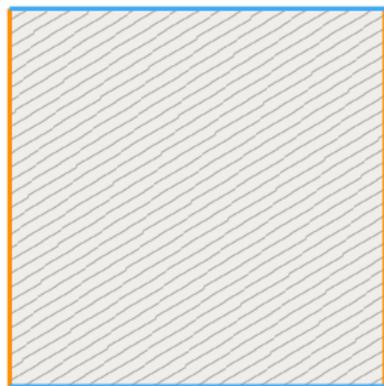
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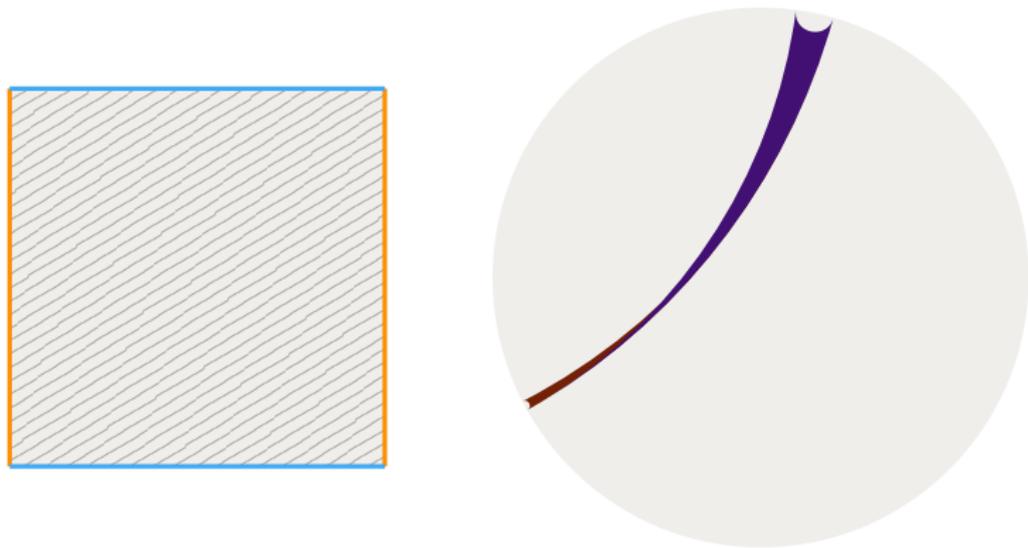
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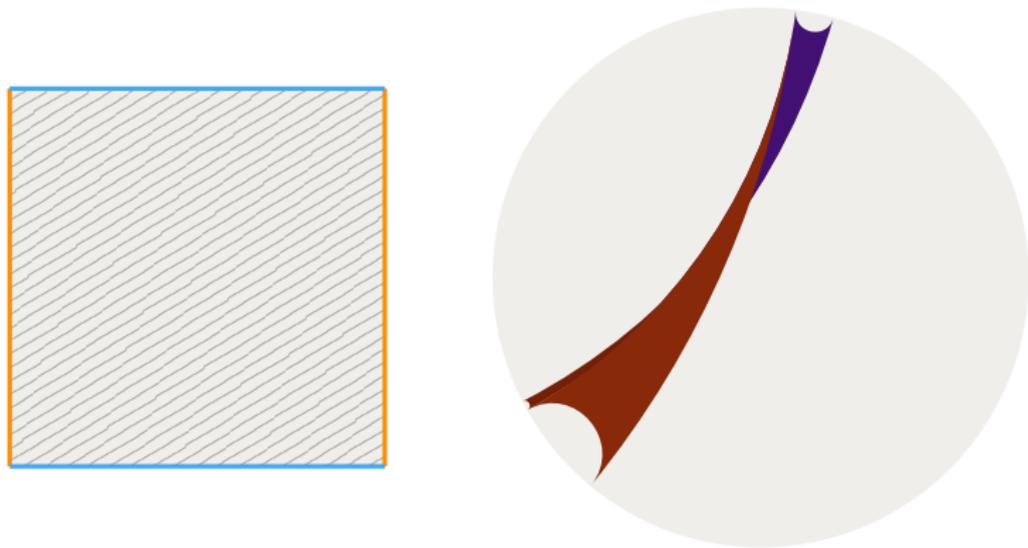
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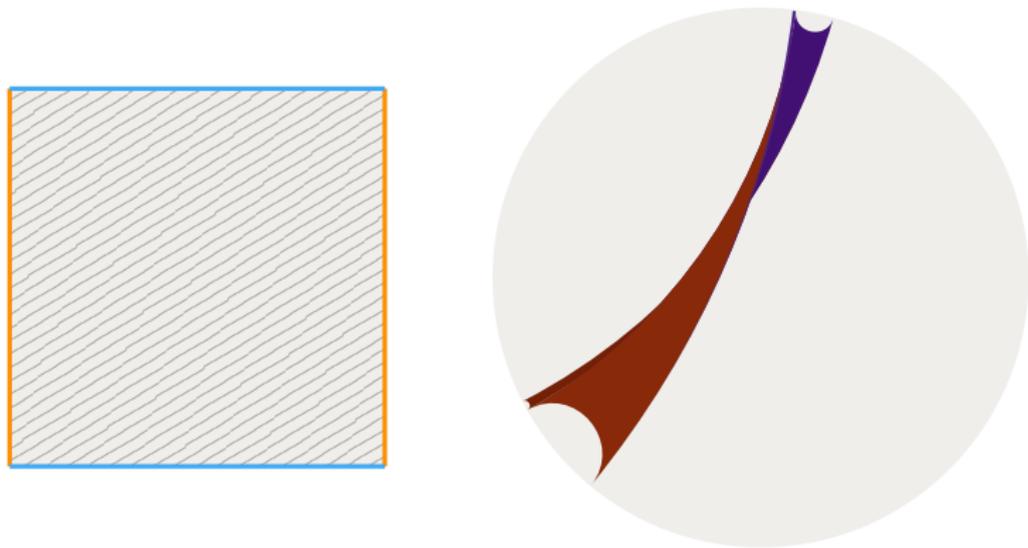
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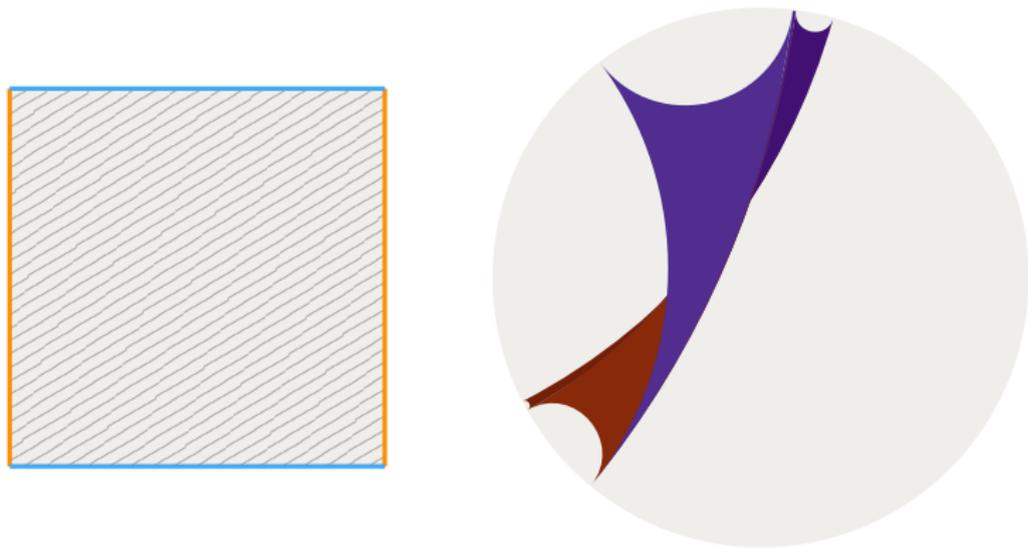
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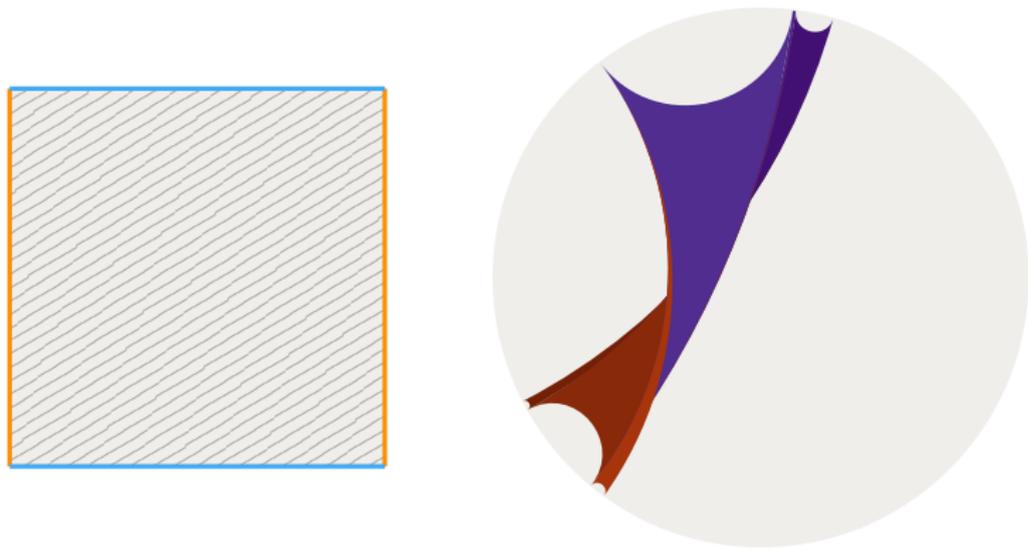
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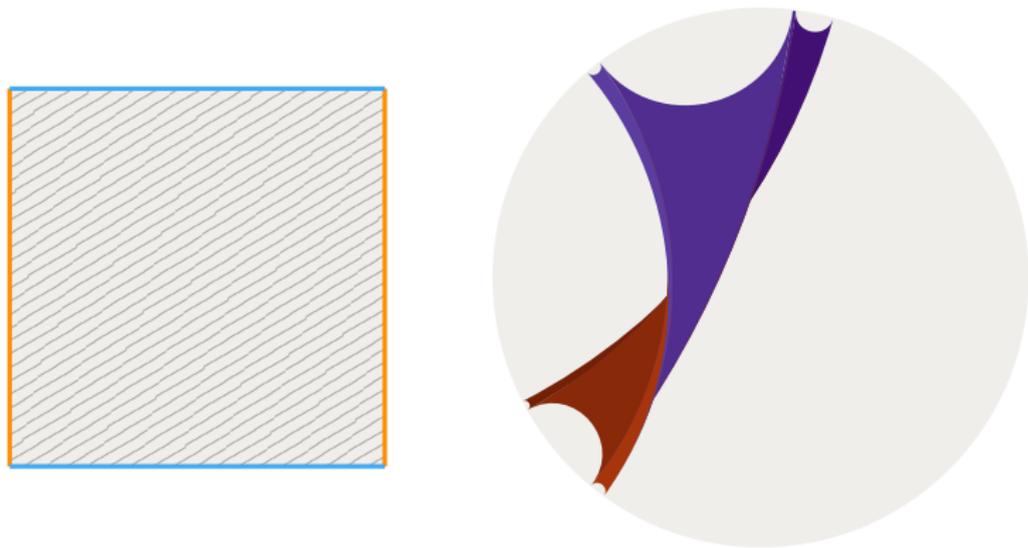
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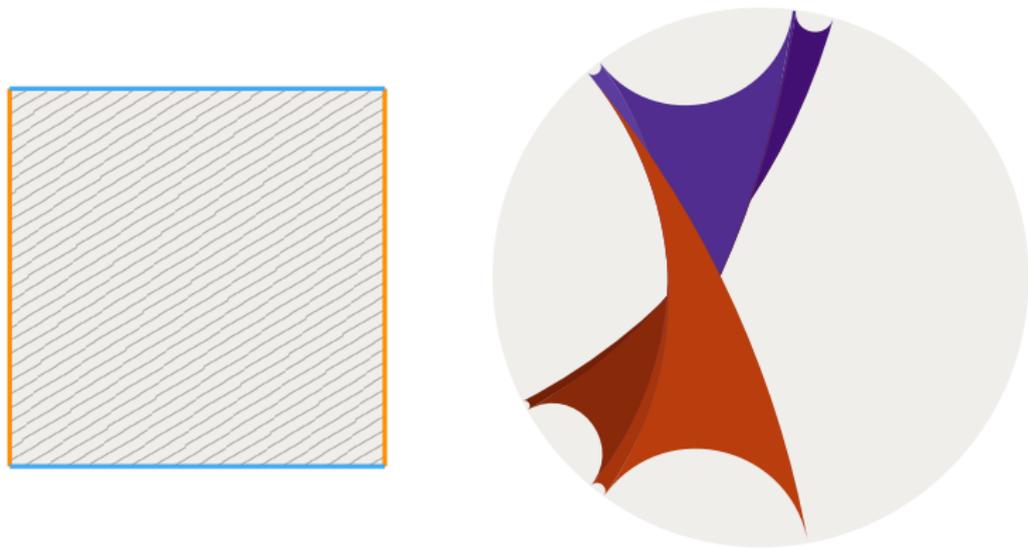
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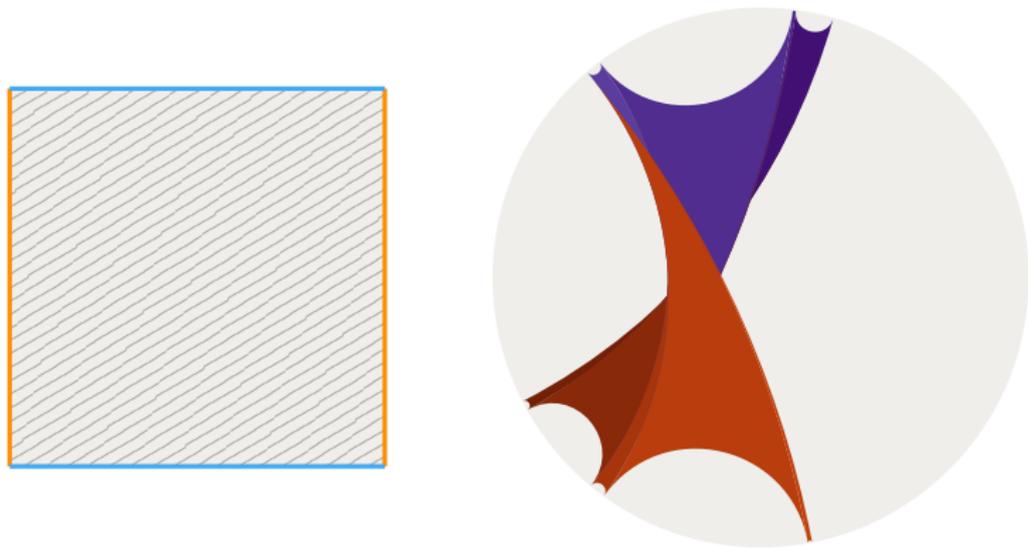
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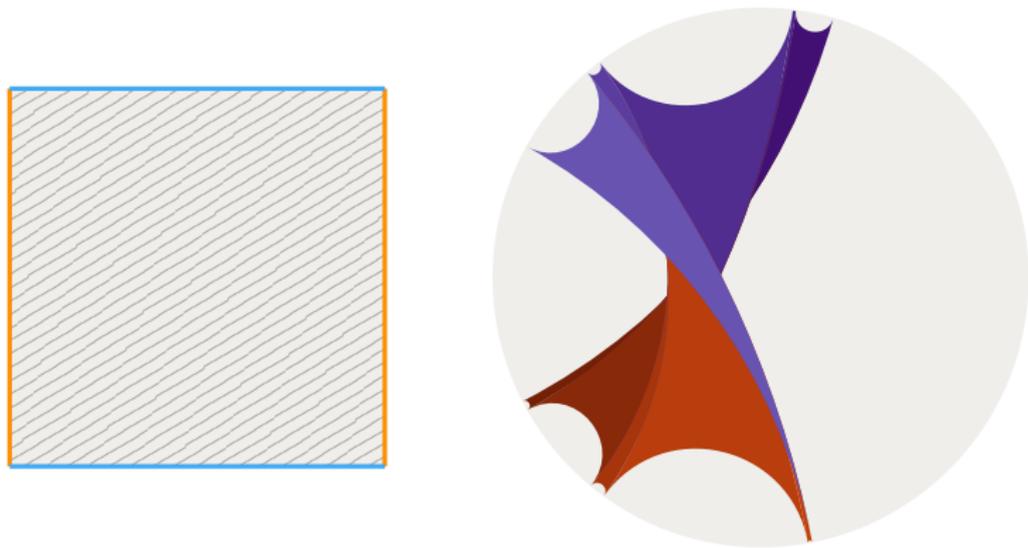
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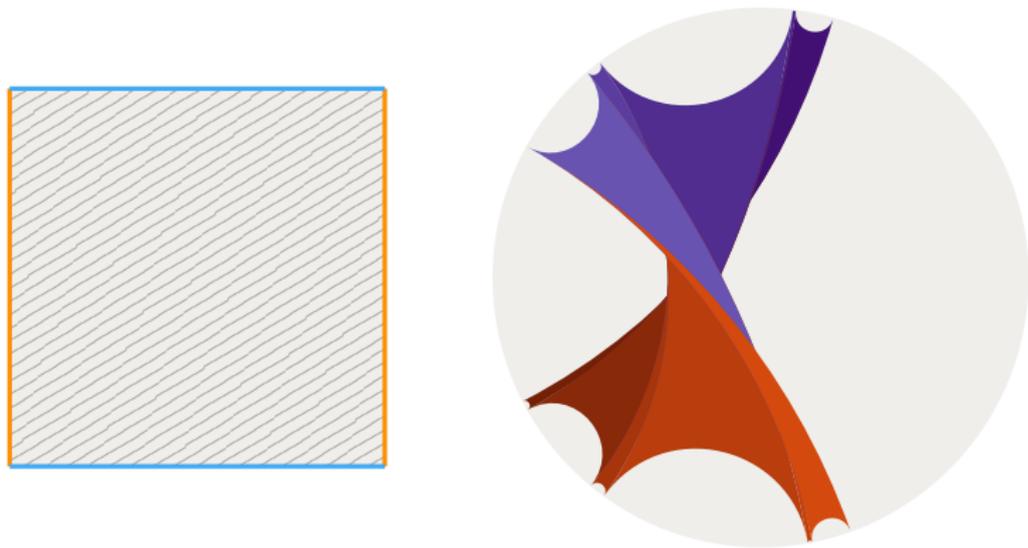
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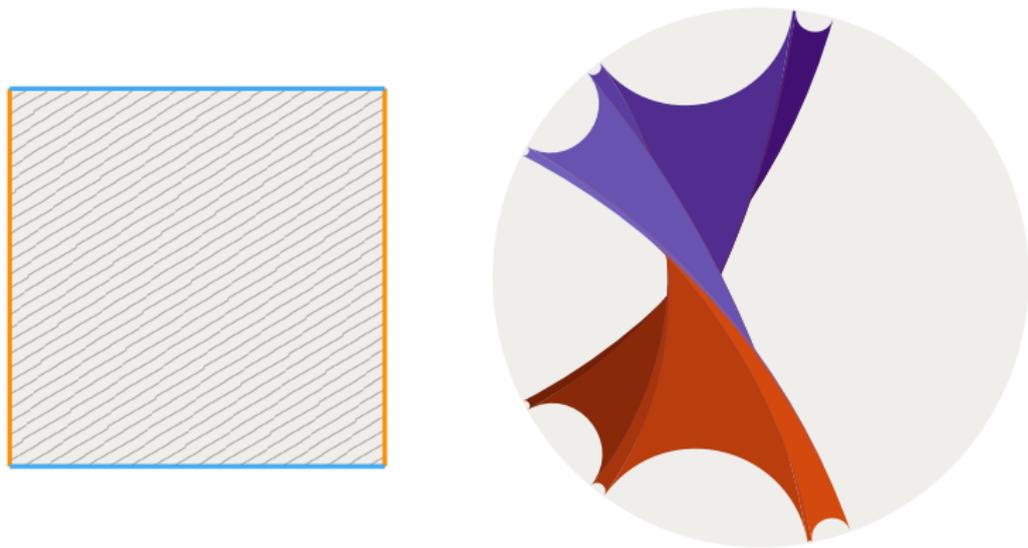
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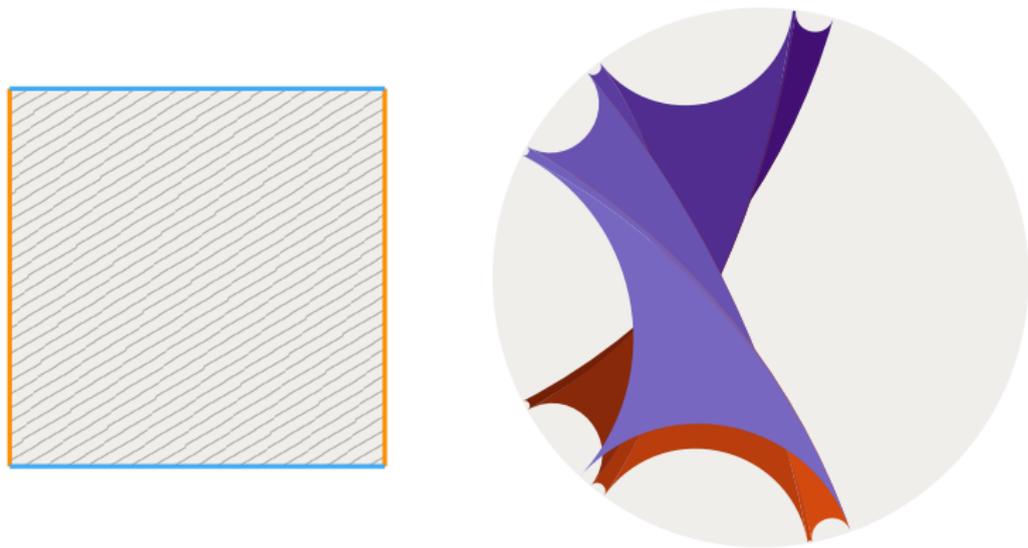
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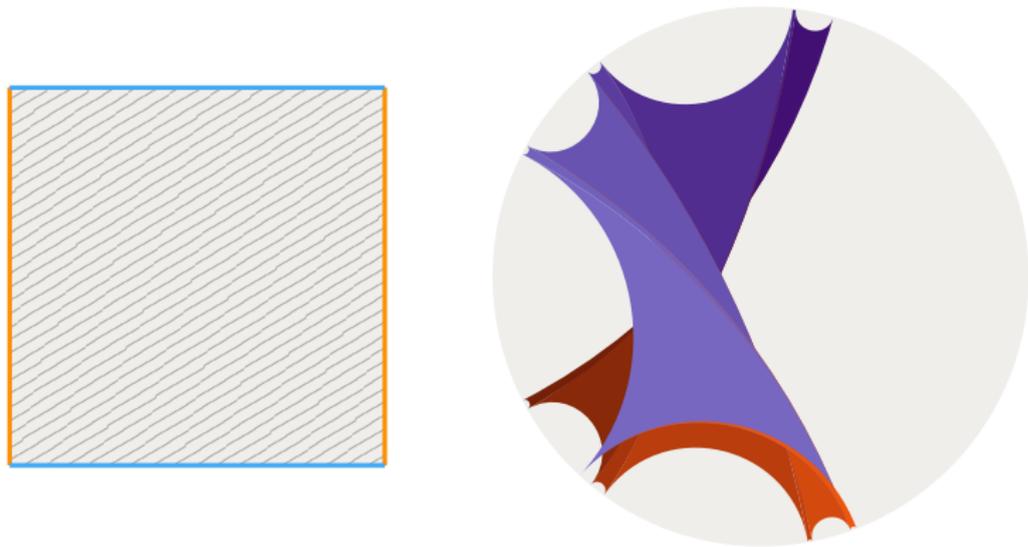
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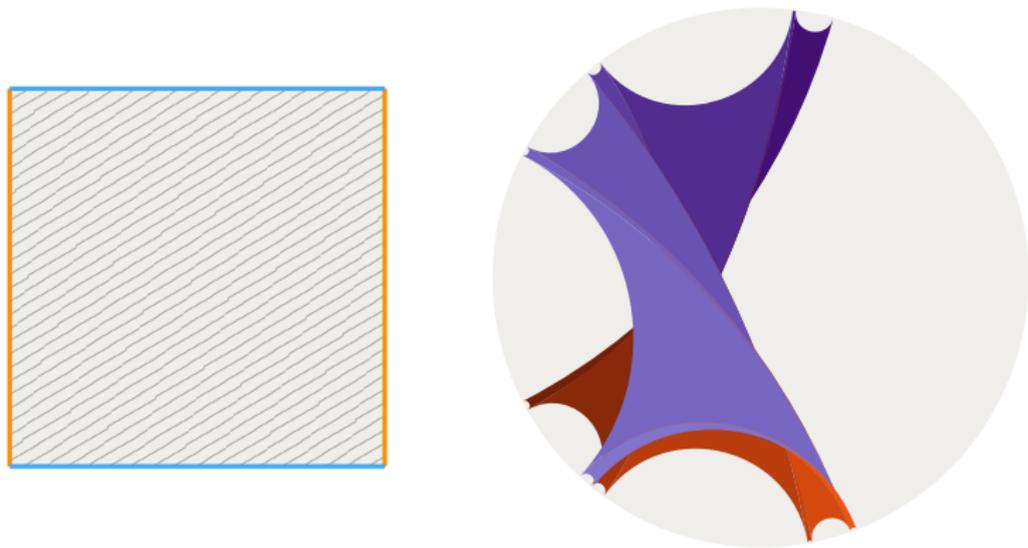
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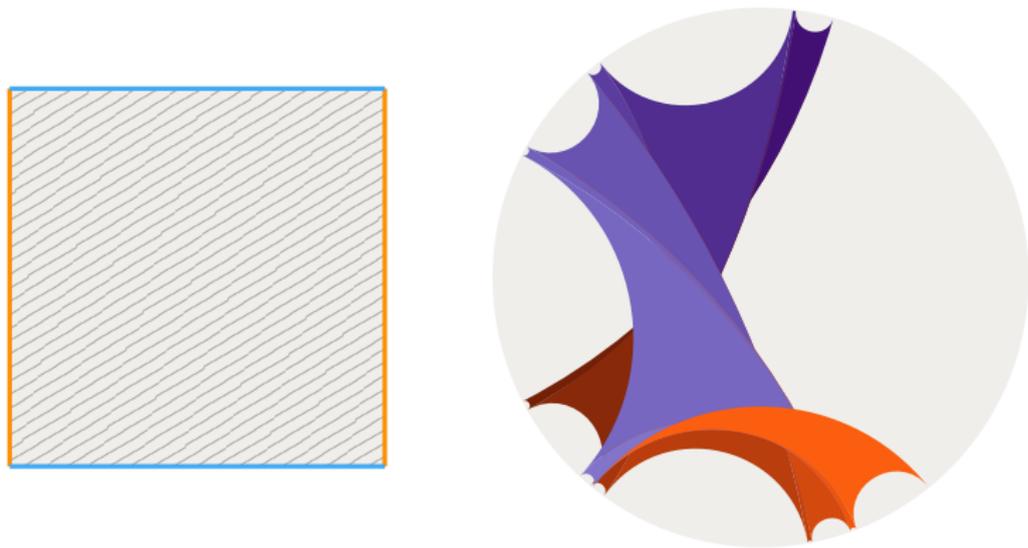
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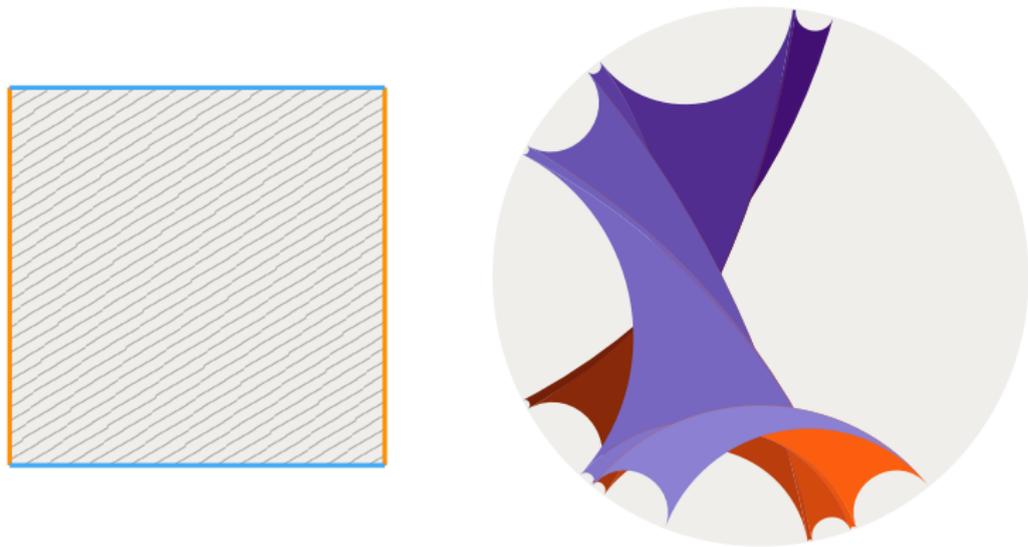
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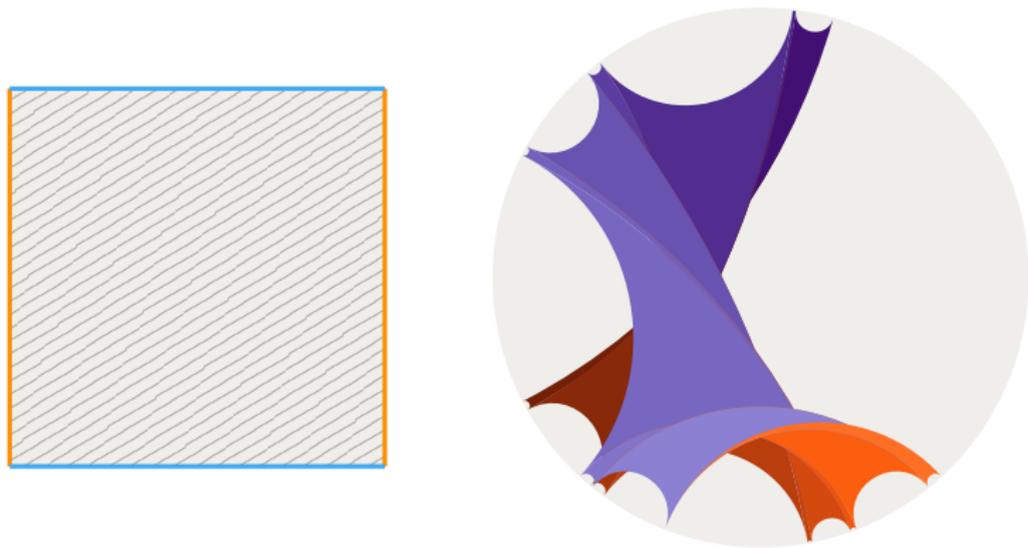
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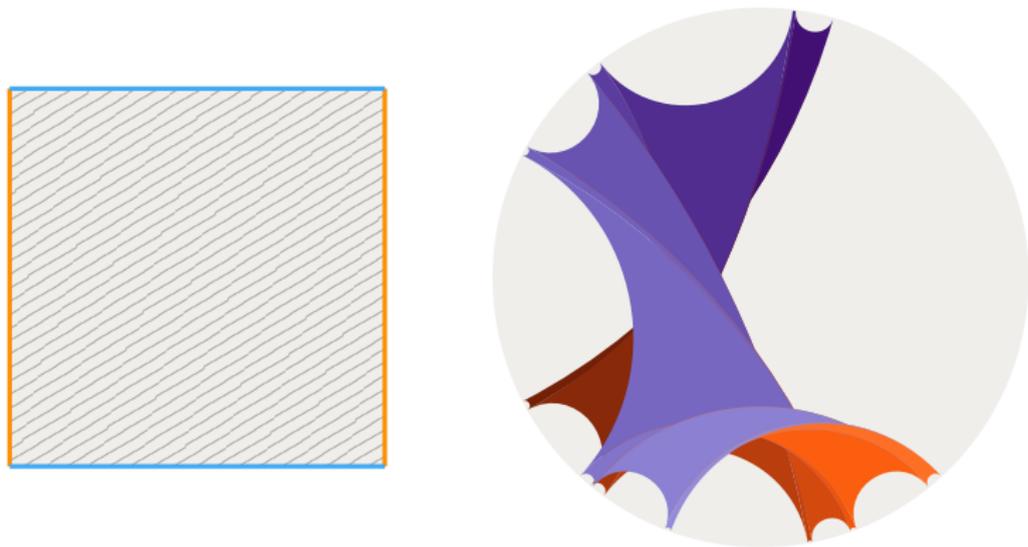
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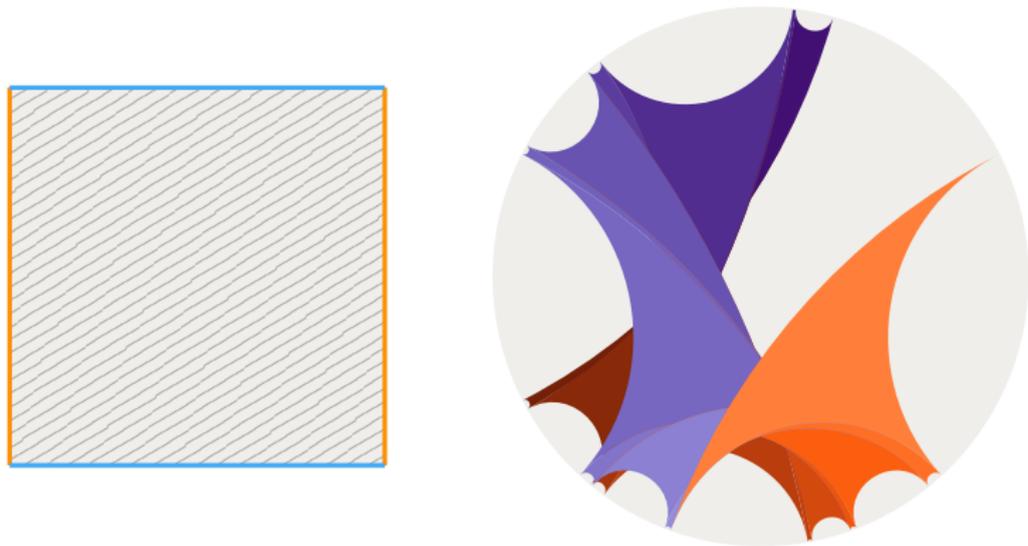
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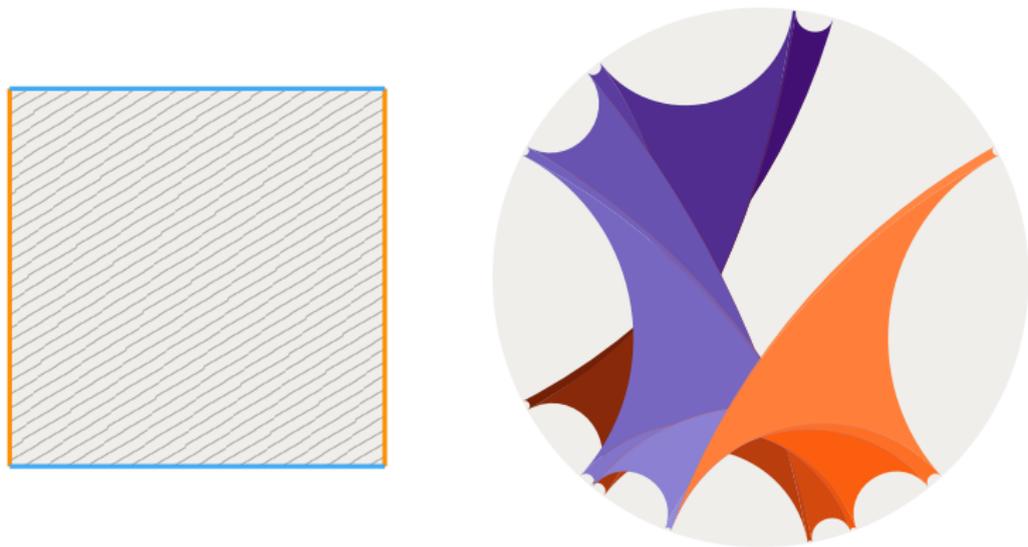
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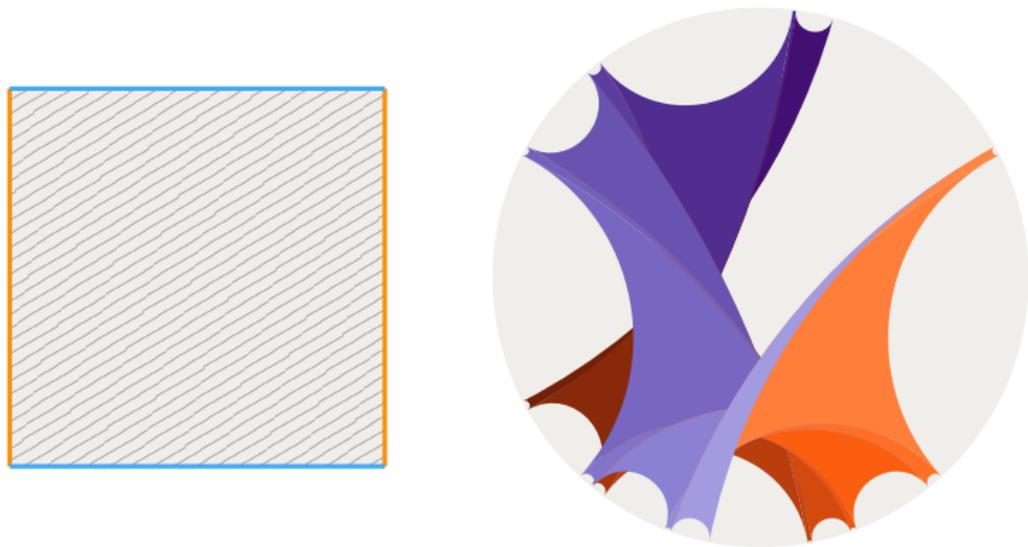
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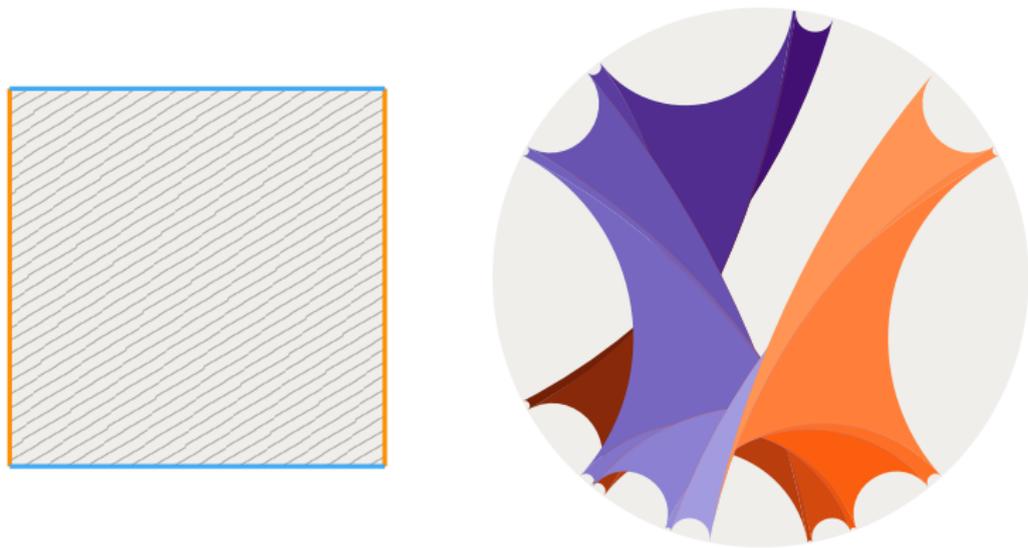
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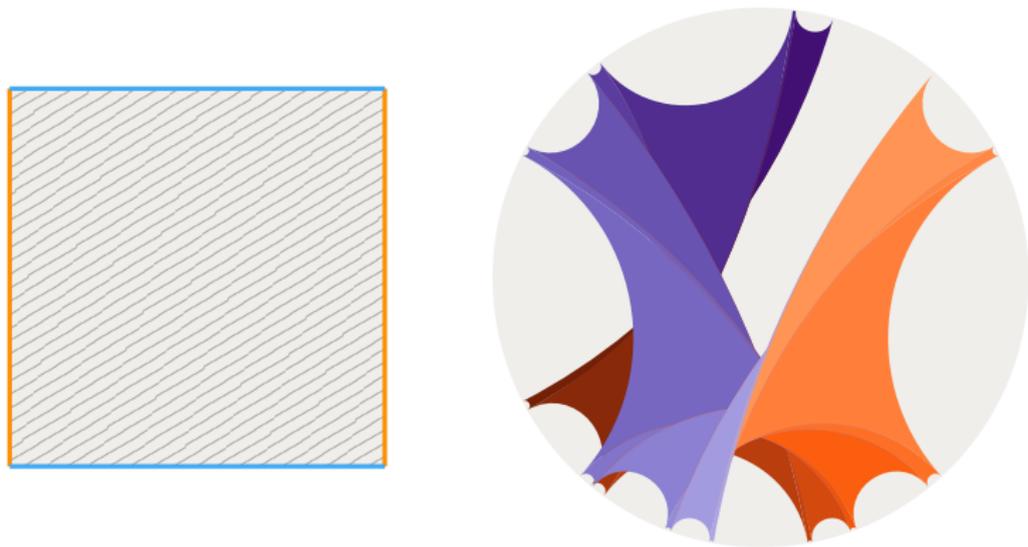
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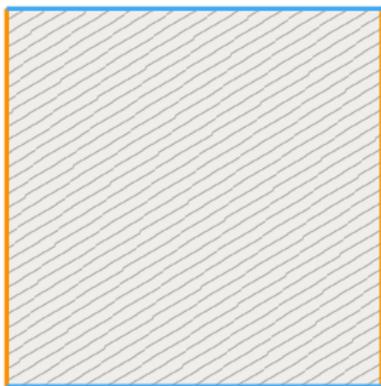
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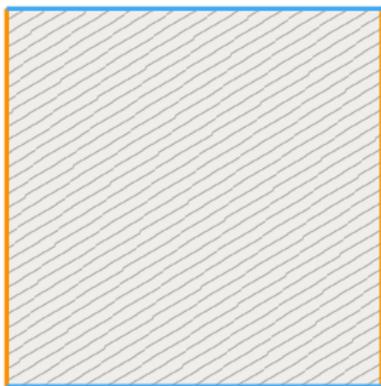
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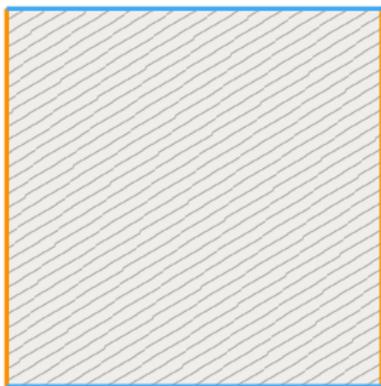
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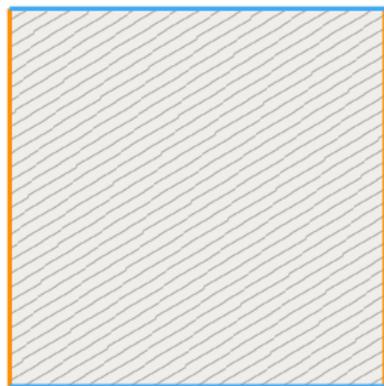
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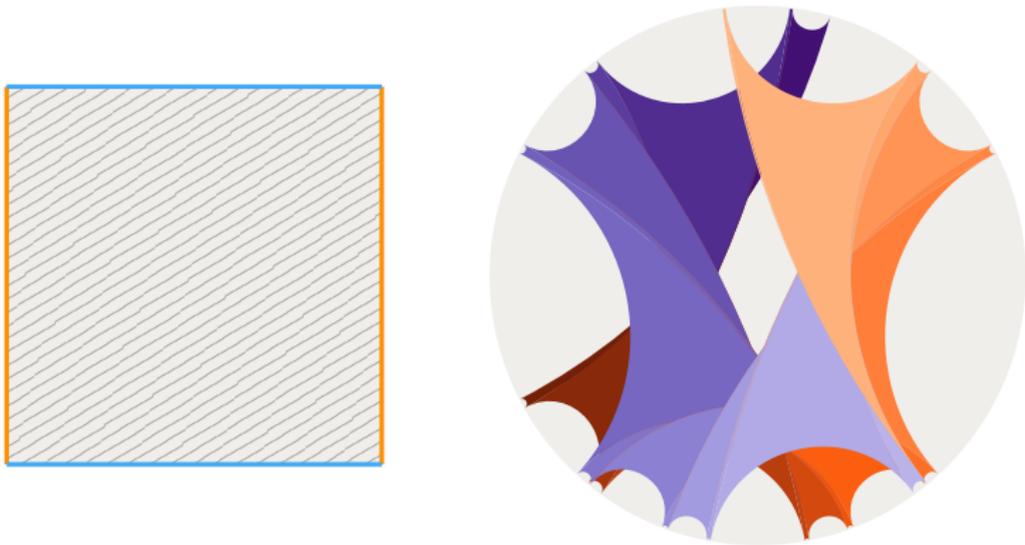
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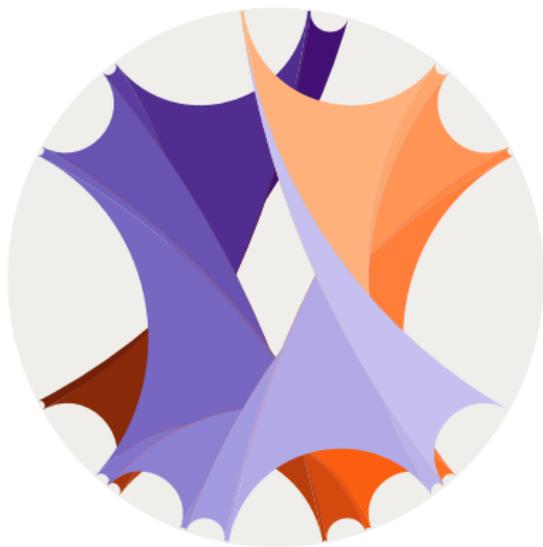
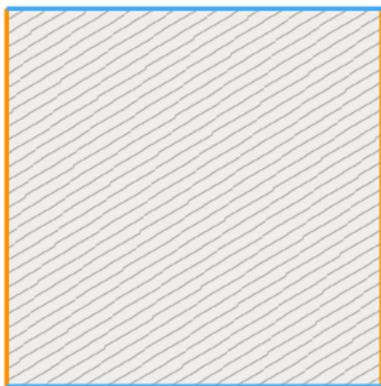
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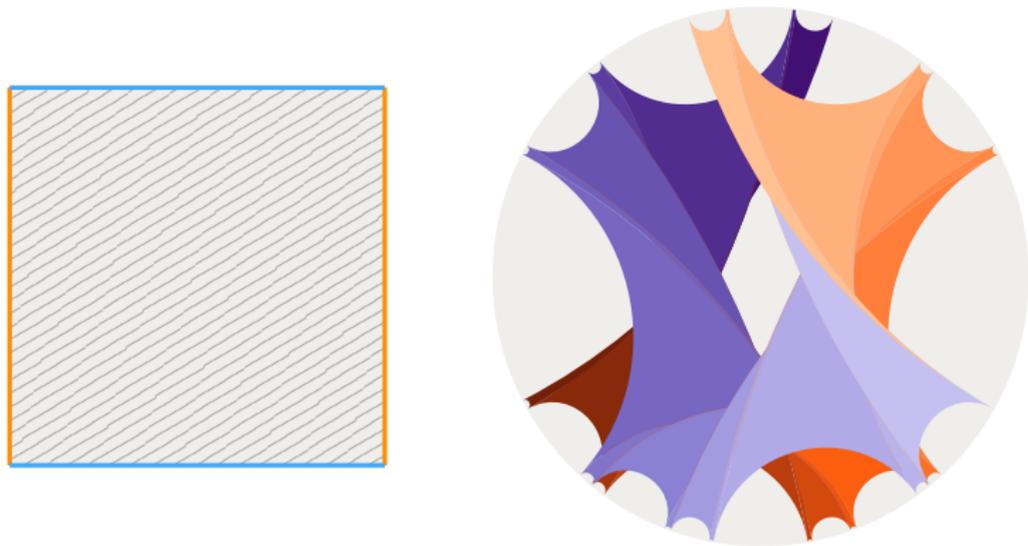
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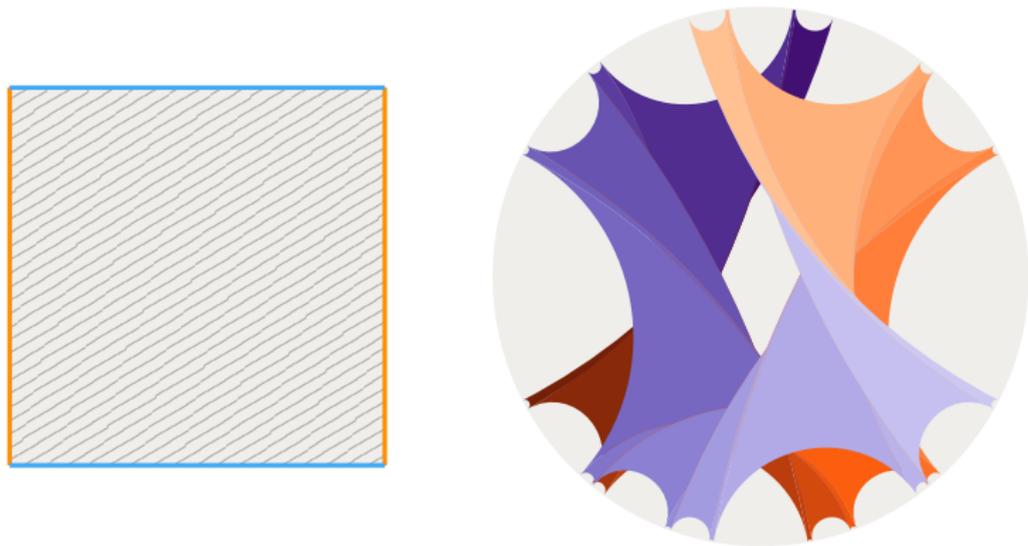
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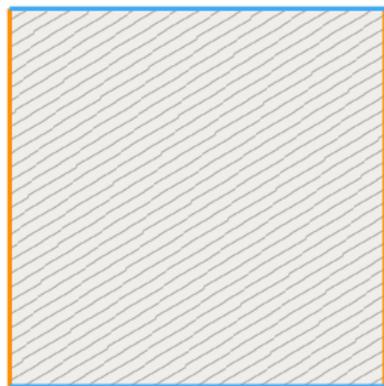
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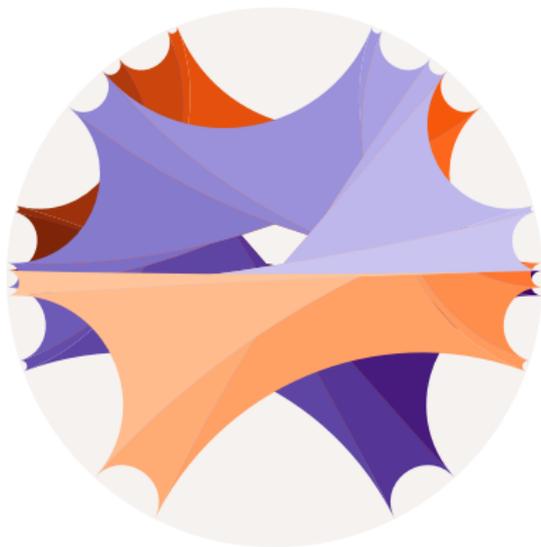
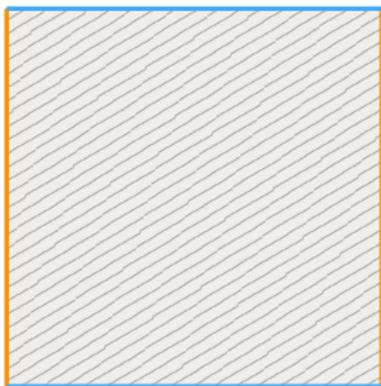


$$E = -0.95$$

The resulting geodesics are bound together by some extra structure.

They should form the pleating locus of a *pleated hyperbolic surface* with holonomy bundle  $\mathcal{V}(E)$ .

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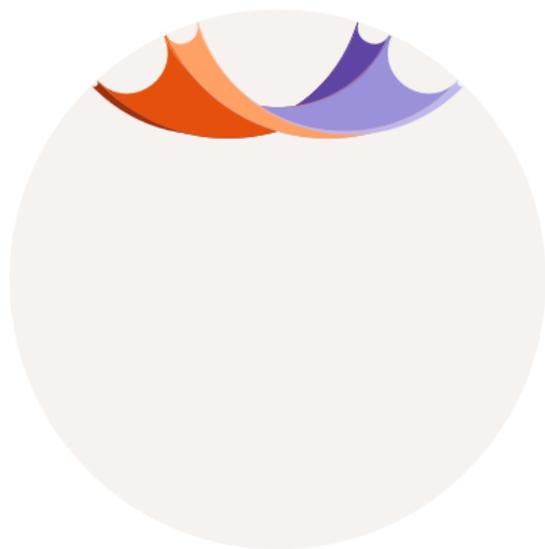
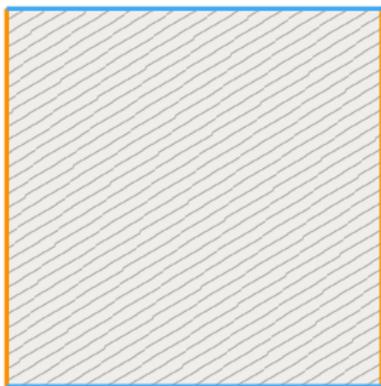


$$E = +0.50$$

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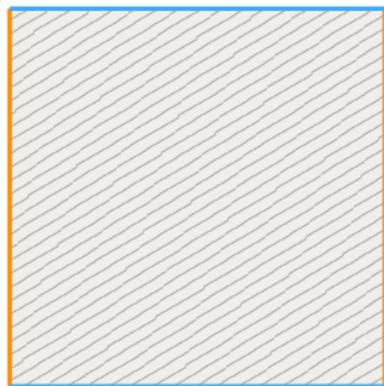


$$E = +2.00$$

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## Uniform hyperbolicity: geometric picture



$$E = -3.00$$

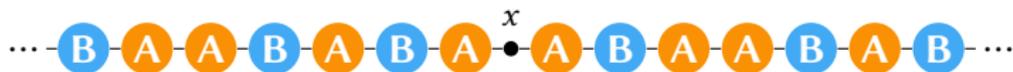
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## Geometry encodes the electron's motion

Our  $E$ -dependent pleated surface encodes  $(H_x - E)^{-1}$  for all  $x \in \Sigma$ .

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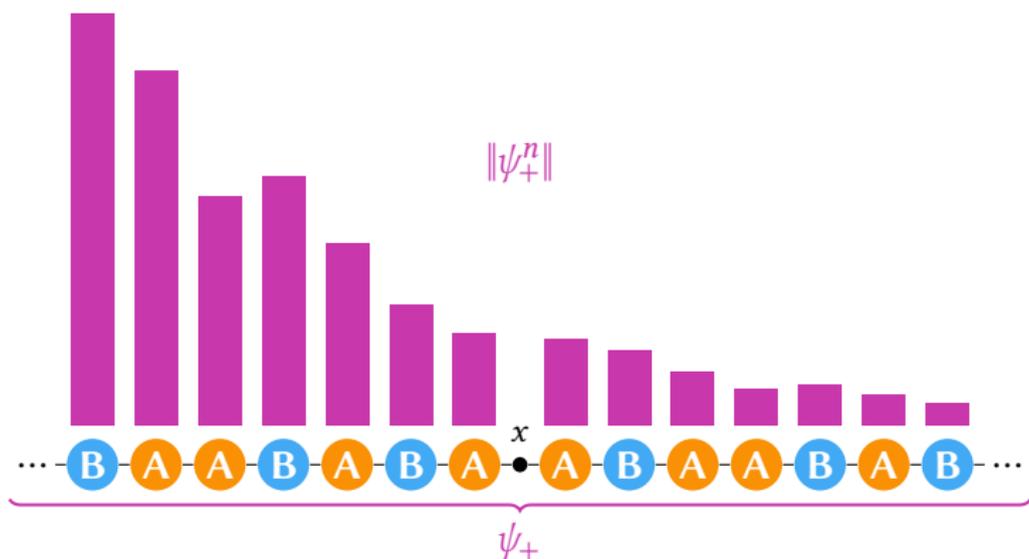


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Choose  $E$ -eigenvectors  $\psi_{\pm} \in \mathbb{C}^{\mathbb{Z}}$  with  $\psi_+^0 = \psi_-^0$  and  $v_{\pm}^0 \in \mathcal{V}^{\pm}(E)_x$ .

Splice and rescale to get unique  $\psi_{\star} \in L^2(\mathbb{Z})$  with  $(H_x - E)\psi_{\star} = \delta_0$ .

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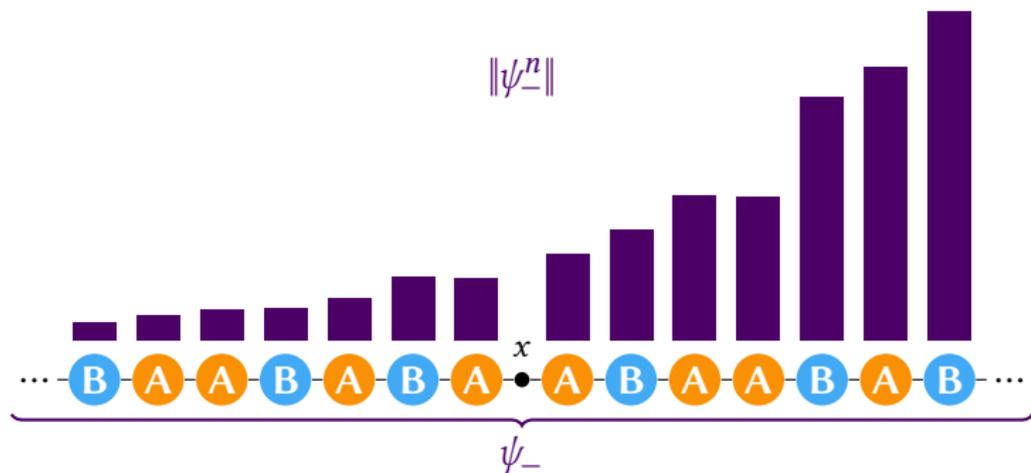


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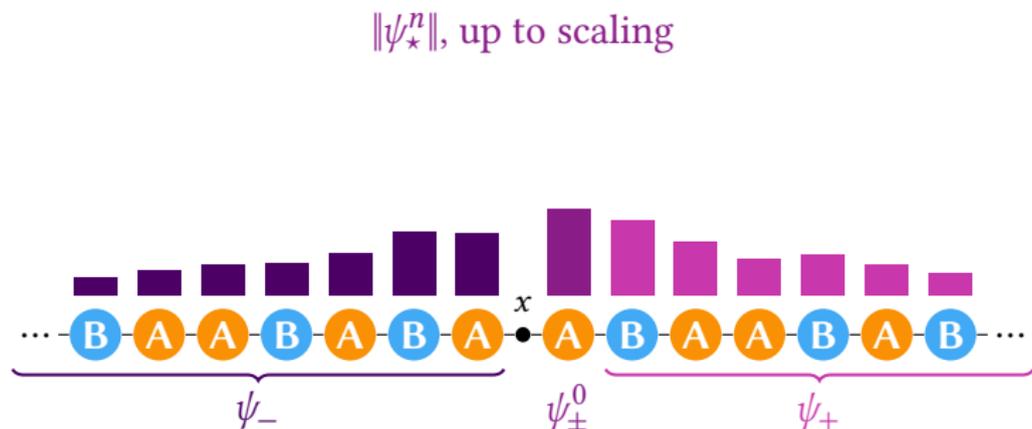


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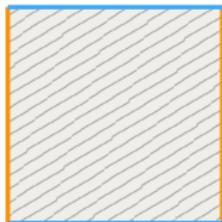
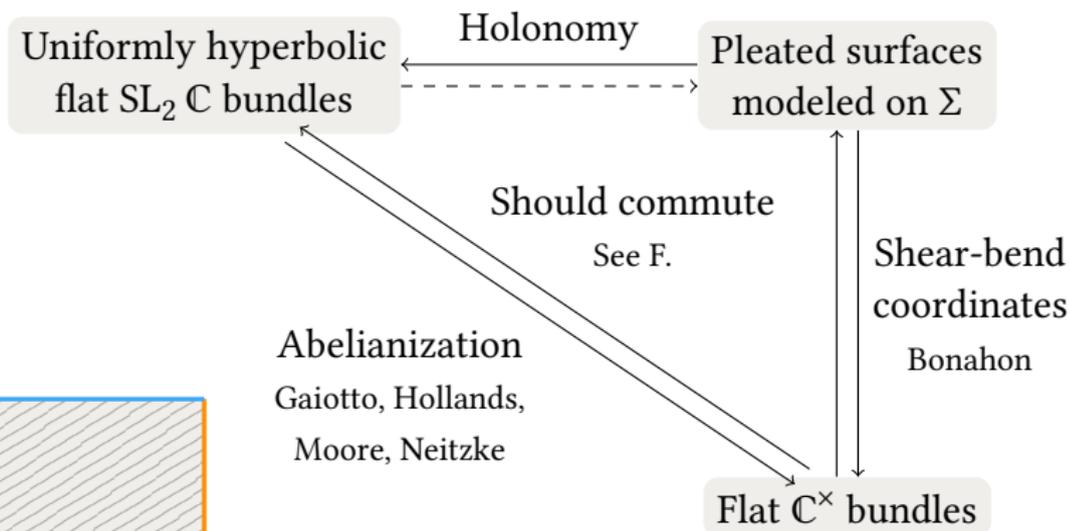


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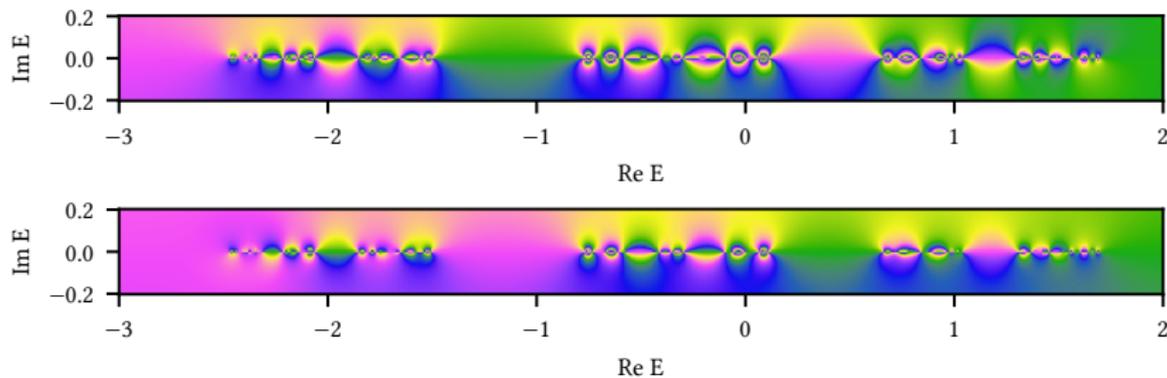
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# Shear-bend coordinates describe the geometry



Translation surface  $\Sigma$   
with chosen direction

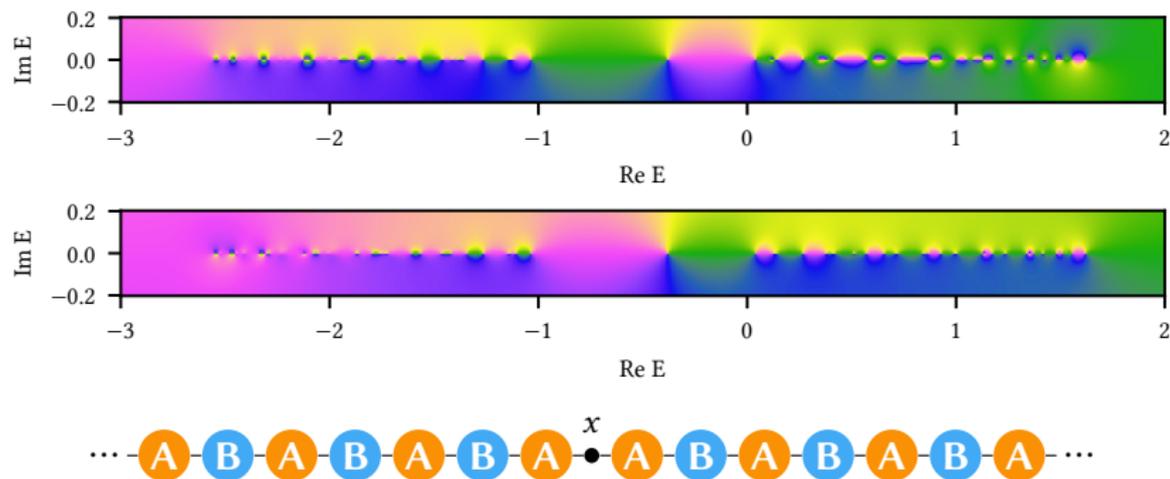
## Shear-bend coordinates encode the electron's motion



The shear-bend coordinates depend holomorphically on  $E$  away from the spectrum.

Their singularities show us some part of the spectrum.

## Shear-bend coordinates depend nicely on flow direction

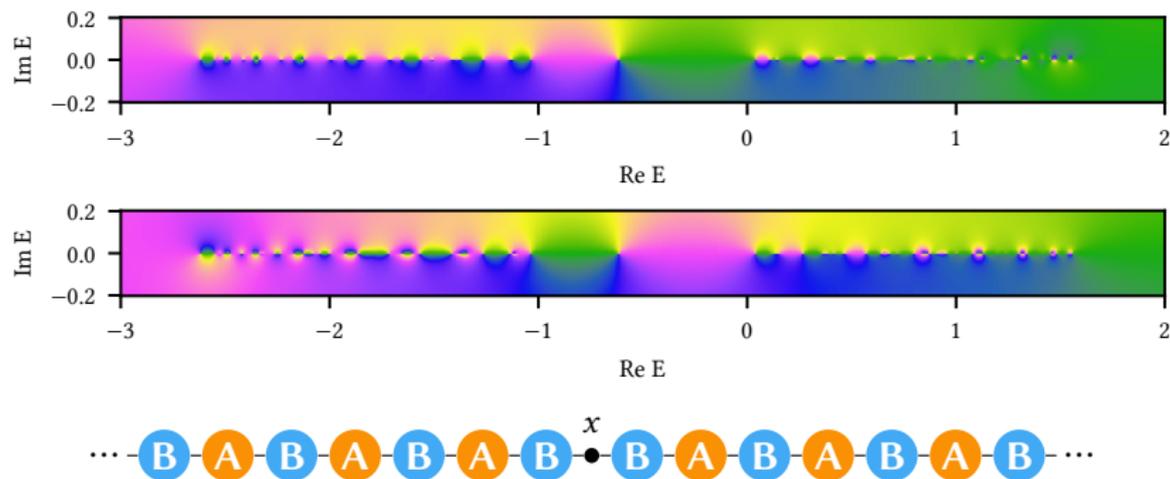


Varying  $\phi$  changes the quasiperiodicity of our material.

For pleated surfaces with finitely many pleats, the shear-bend coordinates only change when  $\phi$  connects vertices of  $\Sigma$ .

The same should be true in our infinitely pleated case.

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The same should be true in our infinitely pleated case.

## Questions

How do we rigorously construct a pleated surface from a uniformly hyperbolic  $SL_2 \mathbb{C}$  local system?

If we change the fundamental polygon of  $\Sigma$ , can we interpret the new transition matrices physically?

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