

The pressure of the geodesic flow on a negatively curved manifold

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Manning [5] has identified the rate of exponential growth of the volume of a ball of radius r on the universal cover of a compact manifold M of negative curvature: it is the entropy of the geodesic flow on M . See also Sullivan [7], Chen [3], Chen and Manning [4]. Here we indicate an extension of Manning's result, where the entropy is replaced by the topological pressure $P(A)$ associated with a function A on the tangent bundle. It turns out that the Riemann volume used by Manning plays no special role and may be replaced by many other measures.

Let M be a compact Riemann manifold with strictly negative sectional curvatures everywhere. We denote by \tilde{M} the universal cover of M (with the induced metric), by $p:\tilde{M}\rightarrow M$ the canonical projection, and by N a fundamental domain of finite diameter a . We call $B(x,r)$ the ball with center x and radius r in \tilde{M} . Let μ be a positive Radon measure on \tilde{M} , such that there are $\alpha, \beta, b > 0$ with

$$(1) \quad \alpha \leq \mu(B(x,b)) \leq \beta$$

for all $x \in \tilde{M}$.

We denote by $T^{(1)}M$ the unit tangent bundle and let

$$A:T^{(1)}M \rightarrow \mathbb{R}$$

be a continuous function. For any pair $x, y \in \tilde{M}$, let $\sigma(t)$ be the point of abscissa $t \in [0, d(x,y)]$ on the unique geodesic segment xy from x to y . We define

$$A_{xy} = \int_0^{d(x,y)} A(T_t(p\sigma(t))) dt$$

and, for $0 < r_1 < r_2$,

$$Z(x, r_1, r_2) = \int_{B(x, r_2) \setminus B(x, r_1)} \mu(dy) \exp A_{x,y}.$$

Theorem. *Let $c \geq 2(a+b)$, then*

$$(2) \quad \lim_{r \rightarrow \infty} \frac{1}{r} \log Z(x, r, r-c) = P(A)$$

uniformly with respect to x , where $P(A)$ is the pressure of A with respect to the geodesic flow (f^t) on $T^{(1)}M$.

Our proof will closely follow that of Manning for the case $A = 0$ (see [5]).

We shall use the formulae (cf. [2])

$$P(A) = \lim_{\delta \rightarrow 0} P^\pm(A, \delta)$$

$$P^\pm(A, \delta) = \lim_{r \rightarrow \infty} \sup \frac{1}{r} Z_r^\pm(A, \delta)$$

$$Z_r^+(A, \delta) = \sup \left\{ \sum_{\xi \in S} \exp \int_0^r A(f^t \xi) dt : S \text{ is } (r, \delta) \text{ separated} \right\}$$

$$Z_r^-(A, \delta) = \inf \left\{ \sum_{\xi \in S} \exp \int_0^r A(f^t \xi) dt : S \text{ is } (r, \delta) \text{ spanning} \right\}$$

These formulae are easily related to those for the time 1 map f^1 and the function $A^1 = \int_0^1 dt A \cdot f^t$.

Lemma. *Given $\delta, \Delta > 0$ there is R such that if $\sigma, \tau : [0, r] \rightarrow \bar{M}$ are two geodesics with $\sigma(0) = \tau(0)$, then $d(\sigma(r), \tau(r)) \leq \Delta$ and $r \geq R$ imply*

$$d(T_t \sigma(t), T_t \tau(t)) \leq \delta$$

in $T^{(1)}\bar{M}$ for $t \in [0, r-R]$.

This is a form of Lemmas 1 and 2 of Manning corresponding to strictly negative curvature: geodesics diverge exponentially.

We shall use the fact, given $\epsilon > 0$, for $d(y, z) \leq \text{constant}$, and sufficiently large $d(x, y)$,

$$(3) \quad |A_{xy} - A_{xz}| < \frac{1}{2} \epsilon d(x, y)$$

This follows from the lemma and the uniform continuity of A .

To prove (2) we first show that, for $\delta \leq \frac{1}{2} b$ and all $\epsilon > 0$,

$$(4) \quad \limsup_{r \rightarrow \infty} \frac{1}{r} \log Z(x, r, r + \delta/2) \leq P^*(A, \delta) + \epsilon$$

(uniformly in x). Following Manning's proof of Theorem 1 in [5] we take a maximal 2δ -separated set $Q \subset B(x, r + \delta/2) \setminus B(x, r)$. Then, for r sufficiently large,

$$\begin{aligned} Z(x, r, r + \delta/2) &\leq \beta \sum_{y \in Q} \exp(A_{xy} + \frac{1}{2} \epsilon(r + \delta/2)) \leq \\ &\leq \beta e^{\epsilon r} \sum_{y \in Q} \exp A_{xy} \end{aligned}$$

where we have used (1) and the fact that (3) holds for $d(y, z) \leq 2\delta$. The estimate (4) follows from the remark that the unit initial vectors of the geodesics xy with $y \in Q$ are (r, δ) separated.

Now we show that

$$(5) \quad \liminf_{r \rightarrow \infty} \frac{1}{r} \log Z(x, r + a + b, r - a - b) \geq P(A)$$

(uniformly in x). Following Manning's proof of Theorem 2 in [5] we construct a maximal $2b$ -separated set $Q' \subset B(x, r + a) \setminus B(x, r - a)$. Using the lemma and the estimate (3) we see that, for given $\epsilon > 0$ and large r ,

$$(6) \quad Z(x, r + a + b, r - a - b) \geq \alpha \sum_{y \in Q'} \exp(A_{xy} - \frac{1}{2} \epsilon d(x, y)) \leq$$

An arbitrary geodesic segment of length r in M is the image by p of a geodesic segment uv in \bar{M} , with $u \in N$ and $v \in B(x, r + a) \setminus B(x, r - a)$. There is thus a geodesic segment xy with $y \in Q'$ such that $d(u, x) \leq a$, $d(y, v) \leq 2b$. Given $\delta > 0$, the lemma applied to uv , uy , then to yu , yx yields $R > 0$ such that $d(T_t \sigma(t), T_t \tau_y(t)) \leq 2\delta$ in $T^{(1)}\bar{M}$ for $t \in [R, r - R]$, where σ, τ_y denote the geodesics along uv , xy suitably parametrized. If ξ_y is the tangent vector to τ_y at R , the set $\{\xi_y : y \in Q'\}$ is a $(r - 2R, 2\delta)$ spanning set for the geodesic flow. The right-hand side of (6) is, for large r ,

$$\geq \alpha \sum_{y \in Q'} \exp \left[\int_0^{r - 2R} A(f^t \xi_y) dt - \epsilon r \right].$$

From this (5) follows.

Finally, (2) is a consequence of (4) and (5).

Remarks. (a) From (2) we also obtain

$$\begin{aligned} \lim_{r_2 \rightarrow \infty, r_2/r_1 \rightarrow 1} \frac{1}{r_2} \log Z(x, r_1, r_2) &= P(A) \\ \lim_{r_2 \rightarrow \infty, r_2 - r_1 \geq c} \frac{1}{r_2} \log Z(x, r_1, r_2) &= P(A), \text{ if } P(A) \geq 0 \\ \lim_{r_1 \rightarrow \infty, r_2, r_1 \geq c} \frac{1}{r_1} \log Z(x, r_1, r_2) &= P(A), \text{ if } P(A) < 0 \end{aligned}$$

In particular

$$\begin{aligned} (7) \quad \lim_{r \rightarrow \infty} \frac{1}{r} \log Z(x, 0, r) &= P(A), \text{ if } P(A) \geq 0 \\ \lim_{r \rightarrow \infty} \frac{1}{r} \log Z(x, r, \infty) &= P(A), \text{ if } P(A) < 0 \end{aligned}$$

(b) The limit does not depend on the choice of μ . If one uses for μ the measure defined by the Riemann metric, and $A = 0$, then (7) is a theorem of Manning (Theorem 2 of [5]).

(c) One can take for μ the sum of a unit mass at each $y \in p^{-1}x$, so that

$$Z(x, r_1, r_2) = \sum_{y \in p^{-1}x \cap B(x, r_2) \setminus B(x, r_1)} \exp A_{xy}.$$

(d) Since the geodesic flow on M is Anosov, Bowen's specification property holds (see [1] Theorem (3.8)). Therefore (as in the proof of Lemma (4.10) of [1]) one can check that $P(A)$ is the abscissa of convergence of the product

$$\zeta_A(u) = \prod_{\gamma} [1 - \exp \int_0^{\lambda(\gamma)} (A(f^t \xi_{\gamma}) - u) dt]^{-1}.$$

This product is extended over oriented closed geodesics γ , $\lambda(\gamma)$ is the length of γ , and $\xi_{\gamma} \in T^{(1)}M$ is any properly oriented tangent unit vector to γ . In fact, if A is Hölder continuous, $P(A)$ is a simple pole of ζ_A , and ζ_A is analytic in some neighborhood of $P(A)$ (see [5]).

References

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